

BEST AVAILABLE COPY

12/6
(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property
Organization
International Bureau



(43) International Publication Date
5 February 2004 (05.02.2004)

PCT

(10) International Publication Number
WO 2004/010845 A2

(51) International Patent Classification⁷: **A61B**

(21) International Application Number: **PCT/US2003/023295**

(22) International Filing Date: 25 July 2003 (25.07.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/399,273 26 July 2002 (26.07.2002) US
60/429,902 27 November 2002 (27.11.2002) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier applications:
US 60/399,273 (CIP)
Filed on 26 July 2002 (26.07.2002)
US 60/429,902 (CIP)
Filed on 27 November 2002 (27.11.2002)

(71) Applicant (for all designated States except US): **EM-PHASYS MEDICAL, INC. [US/US]**; 2686 Middlefield Road, Suite A, Redwood City, CA 94063 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **HENDRICKSEN, Michael [US/US]**; 1733 Virginia Avenue, Redwood City, CA 94061 (US). **WILSON, Peter, M. [US/US]**; 1595

(74) Agents: **SEIDMAN, Stephanie, L. et al.**; Heller Ehrman White & McAuliffe LLP, 4350 La Jolla Village Drive, 7th Floor, San Diego, CA 92122-1246 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

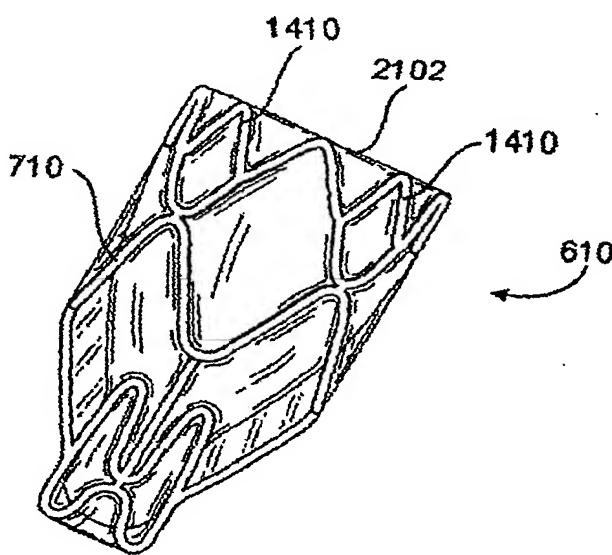
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA,

[Continued on next page]

(54) Title: BRONCHIAL FLOW CONTROL DEVICES WITH MEMBRANE SEAL



(57) Abstract: Disclosed is a flow control device for a bronchial passageway. The device can include a valve member that regulates fluid flow through the flow control device, a frame coupled to the valve member, and a membrane attached to the frame. At least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway. The membrane forms a fluid pathway from the seal into the valve member to direct fluid flowing through the bronchial passageway into the valve member.

WO 2004/010845 A2



— *CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*

— *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*

— *DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*

— *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*

Published:

— *without international search report and to be republished upon receipt of that report*

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

BRONCHIAL FLOW CONTROL DEVICES WITH MEMBRANE SEAL**TECHNICAL FIELD**

This invention relates generally to methods and devices for use in performing pulmonary procedures and, more particularly, to devices and 5 procedures for treating lung diseases.

BACKGROUND ART

Pulmonary diseases, such as chronic obstructive pulmonary disease, (COPD), reduce the ability of one or both lungs to fully expel air during the exhalation phase of the breathing cycle. The term "Chronic Obstructive 10 Pulmonary Disease" (COPD) refers to a group of diseases that share a major symptom, dyspnea. Such diseases are accompanied by chronic or recurrent obstruction to air flow within the lung. Because of the increase in environmental pollutants, cigarette smoking, and other noxious exposures, the incidence of COPD has increased dramatically in the last few decades and now ranks as a 15 major cause of activity-restricting or bed-confining disability in the United States. COPD can include such disorders as chronic bronchitis, bronchiectasis, asthma, and emphysema. While each has distinct anatomic and clinical considerations, many patients may have overlapping characteristics of damage at both the acinar (as seen in emphysema) and the bronchial (as seen in 20 bronchitis) levels.

Emphysema is a condition of the lung characterized by the abnormal permanent enlargement of the airspaces distal to the terminal bronchiole, accompanied by the destruction of their walls, and without obvious fibrosis. It is

known that emphysema and other pulmonary diseases reduce the ability of one or both lungs to fully expel air during the exhalation phase of the breathing cycle. One of the effects of such diseases is that the diseased lung tissue is less elastic than healthy lung tissue, which is one factor that prevents full

5 exhalation of air. During breathing, the diseased portion of the lung does not fully recoil due to the diseased (e.g., emphysematic) lung tissue being less elastic than healthy tissue. Consequently, the diseased lung tissue exerts a relatively low driving force, which results in the diseased lung expelling less air volume than a healthy lung.

10 The problem is further compounded by the diseased, less elastic tissue that surrounds the very narrow airways that lead to the alveoli, which are the air sacs where oxygen-carbon dioxide exchange occurs. The diseased tissue has less tone than healthy tissue and is typically unable to maintain the narrow airways open until the end of the exhalation cycle. This traps air in the lungs

15 and exacerbates the already-inefficient breathing cycle. The trapped air causes the tissue to become hyper-expanded and no longer able to effect efficient oxygen-carbon dioxide exchange.

In addition, hyper-expanded, diseased lung tissue occupies more of the pleural space than healthy lung tissue. In most cases, a portion of the lung is

20 diseased while the remaining part is relatively healthy and, therefore, still able to somewhat efficiently carry out oxygen exchange. By taking up more of the pleural space, the hyper-expanded lung tissue reduces the amount of space available to accommodate the healthy, functioning lung tissue. As a result, the

hyper-expanded lung tissue causes inefficient breathing due to its own reduced functionality and because it adversely affects the functionality of adjacent relatively healthier tissue.

Lung reduction surgery is a conventional method of treating emphysema.

5 According to the lung reduction procedure, a diseased portion of the lung is surgically removed, which makes more of the pleural space available to accommodate the functioning, healthy portions of the lung. The lung is typically accessed through a median sternotomy or small lateral thoracotomy. A portion of the lung, typically the periphery of the upper lobe, is freed from the chest wall

10 and then resected, e.g., by a stapler lined with bovine pericardium to reinforce the lung tissue adjacent the cut line and also to prevent air or blood leakage. The chest is then closed and tubes are inserted to remove air and fluid from the pleural cavity. The conventional surgical approach is relatively traumatic and invasive, and, like most surgical procedures, is not a viable option for all

15 patients.

Some recently proposed treatments include the use of devices that isolate a diseased region of the lung in order to reduce the volume of the diseased region, such as by collapsing the diseased lung region. According to such treatments, isolation devices are implanted in airways feeding the targeted

20 region of the lung to regulate fluid flow to the diseased lung region in order to fluidly isolate the region of the lung. These implanted isolation devices can be, for example, one-way valves that allow flow in the exhalation direction only, occluders or plugs that prevent flow in either direction, or two-way valves that

control flow in both directions. However, such devices are still in the development stages. Thus, there is much need for improvement in the design and functionality of such isolation devices.

In view of the foregoing, there is a need for improved methods and
5 devices for regulating fluid flow to a diseased lung region.

DISCLOSURE OF INVENTION

Disclosed are methods and devices for regulating fluid flow to and from a region of a patient's lung, such as to achieve a desired fluid flow dynamic to a lung region during respiration and/or to induce collapse in one or more lung
10 regions. In one aspect of the invention, there is disclosed a flow control device for a bronchial passageway. The flow control device comprises a valve member that regulates fluid flow through the flow control device, the valve having a default shape. The device further comprises a frame coupled to the valve member, the frame including a valve protector region that at least partially
15 surrounds the valve member to maintain the default shape; and a retainer region connected to the valve protector region, the retainer region being formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein. The retainer region is movable from a contracted state suitable for
20 introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway. The device further comprises a membrane covering at least a portion of the retainer region, wherein at least a portion of the flow control device forms a seal with the interior

wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

5 Also disclosed is a flow control device for a bronchial passageway. The device comprises a valve member that regulates fluid flow through the flow control device and has a default shape. The device further comprises a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed 10 location therein. The frame is movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway. The device further comprises a valve protector at least partially surrounding the valve member configured to maintain the valve member in the default shape, the valve 15 protector being collapsible from the default shape to a collapsed shape. The device further comprises a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway 20 from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

Also disclosed is a flow control device for a bronchial passageway. The device comprises a valve member that regulates fluid flow through the flow

control device; a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and at least one retention prong extending from the frame and configured to engage the interior wall of the bronchial passageway to resist migration therein.

5 state suitable for engaging the interior wall of the bronchial passageway; and at least one retention prong extending from the frame and configured to engage the interior wall of the bronchial passageway to resist migration therein.

Also disclosed is a flow control device for a bronchial passageway, the flow control device comprises a valve member that regulates fluid flow through the flow control device; a frame configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

10 the flow control device; a frame configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

15 the flow control device; a frame configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

20 the flow control device; a frame configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

Also disclosed is a flow control device for a bronchial passageway. The device comprises a valve member that regulates fluid flow through the flow control device; a frame coupled to the valve member; and a membrane

attached to the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane forms a fluid pathway from the seal into the valve member to direct fluid flowing 5 through the bronchial passageway into the valve member.

Other features and advantages of the present invention should be apparent from the following description of various embodiments, which illustrate, by way of example, the principles of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

10 Figure 1 shows an anterior view of a pair of human lungs and a bronchial tree with a bronchial isolation device implanted in a bronchial passageway to bronchially isolate a region of the lung.

Figure 2 shows an anterior view of a pair of human lungs and a bronchial tree.

15 Figure 3 shows a lateral view of the right lung.

Figure 4 shows a lateral view of the left lung.

Figure 5 shows an anterior view of the trachea and a portion of the bronchial tree.

Figure 6 shows a perspective view of a bronchial isolation device.

20 Figure 7 shows a side view of a frame of the bronchial isolation device.

Figure 8 shows a front view of the frame of Figure 7.

Figure 9 shows the frame of Figure 10 in a contracted state.

Figure 10 shows another embodiment of the frame of the bronchial isolation device.

Figure 11 shows another embodiment of the frame of the bronchial isolation device.

5 Figure 12 shows another embodiment of a bronchial isolation device including a frame with dual retainer portions.

Figure 13 shows an embodiment of the frame with cross struts, the frame in an expanded, annular state.

Figure 14 shows another embodiment of the frame with retention prongs.
10 Figure 15 shows a front view of another embodiment of the frame.

Figure 16 shows a cross-sectional, side view of the frame of Figure 16 along line 16-16 of Figure 15.

15 Figure 17A-17D each show a cross-sectional view of a portion of a frame strut and an embodiment of the membrane of the bronchial isolation device, the view along the line 17-17 of Figure 13.

Figure 18 shows a step in the process of forming a membrane on the frame of the bronchial isolation device.

Figure 19 shows another step in the process of forming a membrane on the frame of the bronchial isolation device.

20 Figure 20 shows another step in the process of forming a membrane on the frame of the bronchial isolation device.

Figure 21 shows an embodiment of the flow control device having an untrimmed membrane edge.

Figure 22 shows an embodiment of the flow control device having a reinforced membrane edge.

Figure 23 shows a perspective view of a duckbill valve member of the bronchial isolation device.

5 Figure 24 shows a top view of the duckbill valve member of Figure 23.

Figure 25 shows a cross-sectional, side view of the duckbill valve member of Figure 23.

Figure 26 shows a perspective view of another embodiment of a duckbill valve member with a straight leading edge.

10 Figure 27 shows a top view of the duckbill valve member of Figure 26.

Figure 28A shows a perspective view of an embodiment of a one-way duckbill valve that provides a controlled flow in a reverse direction.

Figure 28B shows an enlarged, perspective view of a mouth region of the valve of Figure 28A.

15 Figure 29 shows a cross-sectional view of the bronchial isolation device employing the frame of Figure 15 and 16.

Figure 30 shows a bronchial isolation device deployed in a bronchial passageway.

20 Figure 31 shows a bronchial isolation device deployed in a bronchial passageway and partially embedded in a bronchial wall of the passageway.

Figure 32A-32C each show an enlarged view of the portion of the flow control device that forms a seal with the bronchial wall.

Figure 33 shows a bronchoscope deployed within a bronchial tree of a patient for delivering a bronchial isolation device into a bronchial passageway.

BEST MODE FOR CARRYING OUT THE INVENTION

Unless defined otherwise, all technical and scientific terms used herein 5 have the same meaning as is commonly understood by one of skill in the art to which the invention(s) belong. Disclosed are various devices and method for treating bronchopulmonary diseases.

Disclosed are various embodiments of bronchial isolation devices 610 that can be used to isolate a diseased region of the lung in order to modify the 10 air flow to the lung region or to achieve volume reduction or collapse of the lung region. One or more of the bronchial isolation devices 610 are implanted in bronchial passageways that feed fluid to a targeted region of the lung. The bronchial isolation devices 610 block or regulate fluid flow to the diseased lung region through one or more bronchial passageways that feed air to the targeted 15 lung region.

As shown in Figure 1, the bronchial isolation of the targeted lung region is accomplished by implanting a bronchial isolation device 610 into a bronchial passageway 15 that feeds air to a targeted lung region 20. The bronchial isolation device 610 regulates airflow through the bronchial passageway 15 in 20 which the bronchial isolation device 10 is implanted. Various embodiments of bronchial isolation devices are described herein.

Exemplary Lung Regions

Throughout this disclosure, reference is made to the term "lung region".

As used herein, the term "lung region" refers to a defined division or portion of a lung. For purposes of example, lung regions are described herein with

- 5 reference to human lungs, wherein some exemplary lung regions include lung lobes and lung segments. Thus, the term "lung region" as used herein can refer, for example, to a lung lobe or a lung segment. Such nomenclature conforms to nomenclature for portions of the lungs that are known to those skilled in the art. However, it should be appreciated that the term "lung region" does not
- 10 necessarily refer to a lung lobe or a lung segment, but can refer to some other defined division or portion of a human or non-human lung.

Figure 2 shows an anterior view of a pair of human lungs 110, 115 and a bronchial tree 120 that provides a fluid pathway into and out of the lungs 110, 115 from a trachea 125, as will be known to those skilled in the art. As used 15 herein, the term "fluid" can refer to a gas, a liquid, or a combination of gas(es) and liquid(s). For clarity of illustration, Figure 2 shows only a portion of the bronchial tree 120, which is described in more detail below with reference to Figure 5.

Throughout this description, certain terms are used that refer to relative 20 directions or locations along a path defined from an entryway into the patient's body (e.g., the mouth or nose) to the patient's lungs. The path of airflow into the lungs generally begins at the patient's mouth or nose, travels through the trachea into one or more bronchial passageways, and terminates at some point

in the patient's lungs. For example, Figure 2 shows a path 102 that travels through the trachea 125 and through a bronchial passageway into a location in the right lung 110. The term "proximal direction" refers to the direction along such a path 102 that points toward the patient's mouth or nose and away from the patient's lungs. In other words, the proximal direction is generally the same as the expiration direction when the patient breathes. The arrow 104 in Figure 2 points in the proximal or expiratory direction. The term "distal direction" refers to the direction along such a path 102 that points toward the patient's lung and away from the mouth or nose. The distal direction is generally the same as the inhalation or inspiratory direction when the patient breathes. The arrow 106 in Figure 2 points in the distal or inhalation direction.

The lungs include a right lung 110 and a left lung 115. The right lung 110 includes lung regions comprised of three lobes, including a right upper lobe 130, a right middle lobe 135, and a right lower lobe 140. The lobes 130, 135, 140 are separated by two interlobar fissures, including a right oblique fissure 126 and a right transverse fissure 128. The right oblique fissure 126 separates the right lower lobe 140 from the right upper lobe 130 and from the right middle lobe 135. The right transverse fissure 128 separates the right upper lobe 130 from the right middle lobe 135.

As shown in Figure 2, the left lung 115 includes lung regions comprised of two lobes, including the left upper lobe 150 and the left lower lobe 155. An interlobar fissure comprised of a left oblique fissure 145 of the left lung 115 separates the left upper lobe 150 from the left lower lobe 155. The lobes 130,

135, 140, 150, 155 are directly supplied air via respective lobar bronchi, as described in detail below.

Figure 3 is a lateral view of the right lung 110. The right lung 110 is subdivided into lung regions comprised of a plurality of bronchopulmonary segments. Each bronchopulmonary segment is directly supplied air by a corresponding segmental tertiary bronchus, as described below. The bronchopulmonary segments of the right lung 110 include a right apical segment 210, a right posterior segment 220, and a right anterior segment 230, all of which are disposed in the right upper lobe 130. The right lung 10 bronchopulmonary segments further include a right lateral segment 240 and a right medial segment 250, which are disposed in the right middle lobe 135. The right lower lobe 140 includes bronchopulmonary segments comprised of a right superior segment 260, a right medial basal segment (which cannot be seen from the lateral view and is not shown in Figure 3), a right anterior basal segment 280, a right lateral basal segment 290, and a right posterior basal segment 295.

Figure 4 shows a lateral view of the left lung 115, which is subdivided into lung regions comprised of a plurality of bronchopulmonary segments. The bronchopulmonary segments include a left apical segment 310, a left posterior segment 320, a left anterior segment 330, a left superior segment 340, and a left inferior segment 350, which are disposed in the left lung upper lobe 150. The lower lobe 155 of the left lung 115 includes bronchopulmonary segments comprised of a left superior segment 360, a left medial basal segment (which

cannot be seen from the lateral view and is not shown in Figure 4), a left anterior basal segment 380, a left lateral basal segment 390, and a left posterior basal segment 395.

Figure 5 shows an anterior view of the trachea 125 and a portion of the 5 bronchial tree 120, which includes a network of bronchial passageways, as described below. In the context of describing the lung, the terms "pathway" and "lumen" are used interchangeably herein. The trachea 125 divides at a lower end into two bronchial passageways comprised of primary bronchi, including a right primary bronchus 410 that provides direct air flow to the right lung 110, and 10 a left primary bronchus 415 that provides direct air flow to the left lung 115. Each primary bronchus 410, 415 divides into a next generation of bronchial passageways comprised of a plurality of lobar bronchi. The right primary bronchus 410 divides into a right upper lobar bronchus 417, a right middle lobar bronchus 420, and a right lower lobar bronchus 422. The left primary bronchus 15 415 divides into a left upper lobar bronchus 425 and a left lower lobar bronchus 430. Each lobar bronchus, 417, 420, 422, 425, 430 directly feeds fluid to a respective lung lobe, as indicated by the respective names of the lobar bronchi. The lobar bronchi each divide into yet another generation of bronchial passageways comprised of segmental bronchi, which provide air flow to the 20 bronchopulmonary segments discussed above.

As is known to those skilled in the art, a bronchial passageway defines an internal lumen through which fluid can flow to and from a lung or lung region. The diameter of the internal lumen for a specific bronchial passageway can vary

based on the bronchial passageway's location in the bronchial tree (such as whether the bronchial passageway is a lobar bronchus or a segmental bronchus) and can also vary from patient to patient. However, the internal diameter of a bronchial passageway is generally in the range of 3 millimeters

5 (mm) to 10 mm, although the internal diameter of a bronchial passageway can be outside of this range. For example, a bronchial passageway can have an internal diameter of well below 1 mm at locations deep within the lung.

Bronchial Isolation Device

Figure 6 shows a perspective view of a bronchial isolation device 610 that includes a frame 615, a valve member 620 mounted in the frame 615, and a membrane 625. As mentioned above, the bronchial isolation device 610 can be positioned within a bronchial passageway to regulate fluid flow through the bronchial passageway.

Frame

Figure 7 shows a side, perspective view of a first embodiment of the frame 615, which includes a retainer portion 715 and an integrally-connected valve protector portion 720. The frame 615 is comprised of a plurality of interconnected struts 710 that collectively form the outer periphery of the bronchial isolation device 610. As shown in the front view of Figure 8, at least 20 some of the struts 710 are collectively arranged in an annular configuration such that the shape of the frame 615 approximates the internal shape of a bronchial passageway.

With reference to Figure 7, the struts 710 are arranged so as to form a plurality of diamond-shaped cells 712. The cells are referred to generally using the reference numeral 712. Cells within the retainer portion 715 are referred to as "retainer cells 712a" and cells within the valve protector portion 720 are

5 referred to as "valve protector cells 712b." The cells 712 can vary in size and shape. For example, the retainer cells 712a are longer and wider in size than the valve protector cells 712b. It should be appreciated that the size, shape and quantity of the struts 710 and the cells 712 can vary. For example, the struts 710 can be arranged in a z-pattern or a "zig-zag" pattern.

10 The retainer portion 715 of the frame 615 has a diameter that is larger than the diameter of the valve protector portion 720. When the bronchial isolation device 610 is deployed within a bronchial passageway, the diameter of the retainer portion 715 is sufficiently large to cause the retainer portion 715 to press against and anchor to the walls of the bronchial passageway to secure

15 the bronchial isolation device 610 in a fixed location relative to the bronchial passageway. Each retainer cell 712 of the frame 615 may be shaped to have a curved, distal edge 725 and a curved, proximal edge 730 that both assist in anchoring the retainer portion 715 to the bronchial passageway, as described more fully below. Each cell 712 is attached to an adjacent cell 712 at a cell

20 junction 735.

With reference still to Figure 7, the valve protector portion 720 has valve protector cells 712b, which are smaller than the retainer cells 712a of the retainer portion 715 in order to compensate for the smaller diameter of the valve

protector portion 720. Each valve protector cell 712b may have a curved, distal edge 735 and a curved, proximal edge 740. As mentioned, the size and shape of the valve protector cells 712 and the retainer cells 712a can vary. The valve protector portion 720 at least partially surrounds the valve member 620 to

5 maintain a default shape of the valve member, as described more fully below. The valve protector portion 720 can be rigid or flexible and it can be configured to collapse and expand.

A plurality of linking struts 745 connect the valve protector portion 720 to the retainer portion 715 of the frame 615. In the embodiment shown in Figure

10 7, at least one linking strut 745 connects each retainer cell 712a to each valve protector cell 712b. In this regard, each linking strut 745 has a first end that connects to the curved, proximal edge 730 of a respective retainer cell 712a. Each linking strut 745 also has a second end that connects to a cell junction 735 between two valve protector cells 712b or to a distal point of a zig-zag strut

15 of the valve protector portion 720. The linking struts 745 extend in a longitudinal direction from the valve protector portion 720 to the retainer portion 715. The linking struts 745 can be manufactured to be stiff or flexible.

The linking struts 745 curve radially outward from the valve protector portion 715 to provide the frame 615 with a smoothly-shaped transition between

20 the retainer portion 715 and the valve protector portion 720 so as to eliminate sharp edges. As can be seen in Figure 7, the linking struts 745 have a smooth, curved contour without sharp edges to lessen the likelihood of the frame 615 digging into the bronchial wall during removal of the bronchial isolation device

610. In particular, the connection between the curved, proximal edges 730 of the retainer cells 712a and the first end of each linking strut 745 eliminates the proximal edges 730 of the retainer cells 712a from hanging in midair, which reduces the likelihood of the proximal edges 730 digging into the bronchial wall 5 during removal. The linking struts 745 also provide a structural connection between the retainer portion 715 of the frame 615 and the distal edges 730 of the retainer cells 712a. This allows the distal edges 730 of the retainer cells 712a to be radially constricted by pulling or constricting the valve protector cells 712b, which assists removal of the bronchial flow control device 610 from a 10 bronchial passageway, as described in more detail below.

The frame 615 can transition between a contracted state and an expanded state. In the contracted state, the frame 615 has a diameter that is smaller than the diameter of the frame 615 in the expanded state. Figure 9 shows a perspective view of another embodiment of a frame 615 in the 15 contracted state, wherein the struts 710 form a series of undulating loops that can be, for example, in a zig-zag pattern. The struts 710 are arranged close to one another so that the cells 712 are constricted and the frame 615 has a reduced diameter. (The frame 615 in Figure 9 is a different embodiment than the frame of Figure 7. The frame 615 in Figure 9 is the frame of Figure 10 in a 20 contracted state.) Figure 7 shows the frame 615 in the expanded state, wherein the entire frame 615 is stretched radially-outward so that the struts 710 separate from one another to form enlarged cells 712. Thus, in the contracted state, the struts 710 can form at least two connected rows of undulating loops.

The connected rows of undulating loops transition to diamond-shaped cells in the expanded state. It should be appreciated that the pattern of the struts can vary in both the contracted and expanded states.

During transition from the contracted state to the expanded state, the
5 retainer portion 715 of the frame 615 radially expands to a larger diameter than the valve protector portion 720 so that the frame takes on the shape shown in Figure 7. When the frame 615 is in the compressed state, the bronchial isolation device 610 has a compressed profile that facilitates insertion of the bronchial isolation device 610 into a delivery device, such as a bronchoscope,
10 and also facilitates insertion into a bronchial passageway.

Various mechanisms can be employed to achieve the expanded and contracted states of the frame 615. In one embodiment, the frame 615 is manufactured of a malleable material. The frame 615 can be manually expanded to the anchoring state, such as by inserting an inflatable balloon
15 inside the frame 615 once the bronchial isolation device 610 is implanted in the bronchial passageway, and then inflating the balloon to expand the frame beyond the material's yield point into an interfering engagement with the wall of the bronchial passageway.

Another mechanism that can be employed to achieve the two-state frame
20 size is spring resilience. The insertion state can be achieved through a preconstraint of the frame 615 within the elastic range of the frame material. Once positioned in the bronchial passageway, the frame 615 can be released from constraint so that its spring resilience causes it to expand into an anchoring

state. Constraining tubes or other mechanisms may achieve the initial insertion state.

Another mechanism that can be used to achieve both the contracted and the expanded states of the frame 615 is the shape memory characteristics of

5 certain materials such as certain nickel titanium alloys, including Nitinol. The transition temperature of the frame 615 could be at a predetermined temperature, such as below body temperature. Under such a circumstance, a frame 615 that is at a temperature below the transition temperature can be deformed into a shape that is suitable for insertion, and will stay in this

10 unrecovered state until the temperature is brought above the transition temperature. The unrecovered state of the frame 615 would be in an insertion position with the frame 615 having a smaller diameter. Upon recovery of the frame material, the frame 615 would expand, such as when the frame achieves a predetermined temperature within the bronchial passageway.

15 The frame 615 can be manufactured of a variety of bio-compatible materials. In one embodiment, the frame 615 is manufactured of a superelastic material, such as Nitinol, that is heat-treated to attain the shape shown in Figure 7. In one embodiment, the entire frame 615 is manufactured out of a single piece of tubing made out of Nitinol or some other material. Figure 9 shows an

20 isometric view of a tubular piece of material 1010 that has been cut into a pattern that forms the desired strut pattern. Once the material 1010 has been cut, such as through laser-cutting or chemical etching, the material 1010 is

expanded and optionally heat treated so that it attains the shape shown in Figure 10.

Alternatively, the frame may be manufactured from a flat piece of material that is cut into a pattern that forms the desired strut pattern, rolled into 5 an annular configuration and then the mating edges are welded, bonded or otherwise joined. The material, as before, is then expanded and optionally heat treated so that it attains the shape shown in Figure 10. It should be appreciated that the frame 615 can be manufactured of other materials, such as plastic or stainless steel

10 Figure 10 shows a perspective view of another embodiment of the frame 615 wherein the linking struts 745 are connected to different locations on the retainer portion 715 and valve protector portion 720 of the frame. For each linking strut 745, the first end of the linking strut 745 is connected to the retainer portion 715 at the cell junction 735 between a pair of retainer cells 712a. The 15 second end of the linking strut 745 is connected to a cell junction 735 between a pair of valve protector cells 712b. Thus, the curved, proximal edges 730 of the retainer cells 712a are cantilevered in that they are not connected to or supported by linking struts 745.

Figure 11 shows yet another embodiment of the frame 615. In this 20 embodiment, valve protector portion 720 of the frame 615 comprises a tube 1210 formed of a solid wall rather than a plurality of struts. The tube 1210 can include at least one removal window 1215 that can be grasped by a removal device (such as a set of jaws) during removal of the bronchial isolation device

610 from a bronchial passageway. The retainer portion 710 comprises a plurality of struts 710 that are shown arranged in a zig-zag pattern, although the struts 710 can also form cells, as in the previous embodiments, or can be in other patterns. The valve protector portion 720 comprised of the tube 1210

5 does not undergo any expansion or contraction, but rather retains its size. However, the retainer portion 715 can expand and contract as in the previous embodiments.

Figure 12 shows another embodiment of the frame 615 (including the membrane 625) that includes two retainer portions including a proximal retainer

10 portion 715a and a distal retainer portion 715b. A central valve protector portion 720 is disposed in-between the proximal retainer portion 715a and the distal retainer portion 715b and connected thereto by linking struts 745. The retainer portions 715a,b have larger diameters than the valve protector portion 720 so that the retainer 615 has an hourglass-like shape. It should be appreciated that

15 the diameter of the proximal retainer portion 615a is not necessarily equal to the diameter of the distal retainer portion 615b.

The dual retainer portions 715a, 715b can provide an increase in stability when the bronchial isolation device 610 is mounted in a bronchial passageway, as both retainer portions 715a, 715b provide independent anchors to the

20 bronchial wall. Both retainer portions 715a, 715b also provide seals against the bronchial wall in which the bronchial isolation device is mounted. Thus, if the anchor or seal in one of the retainer portions weakens or fails, the other anchor portion 715a, 715 can compensate for the weakened or failed seal.

It is important to prevent the bronchial isolation device from migrating in either the proximal or the distal direction after implantation in the bronchial passageway. It has been determined that migration in the proximal (i.e., exhalation) direction can occur when the patient coughs, resulting in pressure buildup behind the bronchial isolation device. This can lead to migration or expulsion of the device from the bronchial passageway. One mitigating factor that can reduce the likelihood of this occurring is that the bronchial passageways are constricted by the pressure of the cough, and this can cause the passageway to tend to grip the device more firmly in place. In addition, exhalation is inherently difficult for patients with emphysema, and has the effect of reducing the pressure that can build up behind the device during exhalation. In addition, the exhalation flow produced by coughing or breathing will preferentially flow through the valve, and will thus reduce the pressure that can build up behind the device. Migration in the distal (i.e., inhalation) direction can occur when the patient takes a deep inhalation breath, which can result in the device being "sucked" deeper into the lung. This effect is exacerbated by the fact that the bronchial lumens expand when air is inhaled, and this can lead to a less secure retention of the device in the airway. In view of the foregoing, it is desirable to have features on the frame 615 to prevent or limit migration of the device after implantation, such as to prevent or limit migration in the distal direction.

Figures 13-16 show embodiments of the frame 615 that include features that prevent or limit migration of the device in the proximal or distal direction

once the device is implanted in a bronchial passageway. In one embodiment, the frame 615 includes retention prongs comprised of cross struts that resist migration of the bronchial isolation device in a bronchial passageway, such as by limiting the depth that the cell portions of the frame can penetrate into a bronchial wall in which the bronchial isolation device 610 is located. Figure 13 shows the frame 615 in an expanded, annular state, wherein the frame includes at least one distal cross strut 1410 and at least one proximal cross strut 1415. The cross struts 1410, 1415 comprise additional struts or projections that have v-shape in the expanded state and extend outward between the struts of the frame 615. The cross struts 1410, 1415 can be loop shaped in the contracted state. The cross struts 1410, 1415 extend outward from the cells 712 at connection locations 1420, which serve as stopping points that limit the cells 712 from penetrating into a bronchial wall beyond a predetermined distance, as described in more detail below. The cross struts 1410, 1415 also form additional cells 1425 that can be engaged with the membrane 625 to provide additional sealing capability between the frame 615 and the bronchial wall. It should be appreciated that the cross struts 1410, 1415 can be positioned at various locations on the frame beside that which is shown in Figure 13.

Figure 14 shows another embodiment of the frame 615 with retention prongs 1610 that project radially-outward from the struts 710 in the expanded state. The prongs 1610 are bent so that they protrude radially-outward from the radial periphery of the retainer portion of the frame 615. When the bronchial isolation device 610 is implanted in a bronchial passageway, the prongs 1610

can at least partially sink in the bronchial wall tissue and prevent migration of the device in the distal direction. Unlike the distal cross struts 1410 that limit migration in the distal direction by limiting the depth of penetration of the cells 712, the retention prongs 1610 limit migration in the distal direction by fixing the 5 frame 615 to the bronchial wall and thus preventing the cells 712 from penetrating the bronchial wall tissue.

With reference to Figures 15 and 16, there is shown another embodiment of the frame 615. Figure 15 shows a front view of the frame 615 and Figure 16 shows a cross-sectional view of the frame 615. The valve member 620 is 10 shown in Figure 16 mounted within the valve protector portion 720. Figure 16 does not show the membrane 625 for clarity of illustration, although it should be appreciated that the membrane 625 would be attached to the frame 615 and the valve member 620 and would thereby secure the valve member 620 to the frame 615. The frame 615 includes distal cross struts 1410 that form distal cells 1425 that can be smaller than the retainer cells 712a. The cells 1425 can move and flex independently of the retainer cells 712a that form the remainder of the retainer section 715 of the frame 615. This allows different portions of the retainer sections to independently conform to the shape of a bronchial wall. 15

Membrane

20 With reference again to Figure 13, the membrane 625 is connected to the frame 615. Figure 17A shows a cross-sectional view of a portion of the membrane 625 and a strut 710, cut along the line 17-17 of Figure 13. As shown in the embodiment of Figure 17A, the membrane 625 can fully encapsulate the

struts 710 of the frame 615 so that the membrane 625 is integrally attached to the frame 615. The encapsulation of the membrane 625 over the struts 710 can be accomplished by using a dipping manufacturing process described below. The membrane 625 can also be connected to the valve member 620.

5 As shown in Figure 13, the membrane 625 extends in a web-like manner between the struts 710 within the spaces of the cells 712 of the frame 615. The membrane 625 can be stretched in tension across the cells, although this is not necessary. As shown in Figure 17A, the membrane has a thickness T. The membrane 625 generally bulges outwardly from the struts 710 in the region 10 where the membrane 625 surrounds the struts. In one embodiment, the thickness T is not substantially larger than the diameter or thickness of the struts 710. In this manner, the membrane 625 does not substantially contribute to or increase the diameter of the frame 615. The membrane 625 can be disposed over the entire frame 615, including both the retainer portion 715 and 15 the valve protector portion 720, or the membrane can be disposed only over a portion of the frame, such as the enlarged-diameter retainer portion 715. It should be appreciated that the thickness T of the membrane can vary relative to the diameter of the struts 710 and that the thickness T need not be uniform across the entire membrane.

20 Figure 17B shows another embodiment of the configuration of the membrane 625 relative to the struts 710. In this embodiment, the membrane 710 does not entirely encapsulate the strut 710 but rather leaves a portion of the strut 710 uncovered or exposed. In this regard, the membrane 710 covers a

radially-outward portion 1602 and sides of the strut 710 and does not cover a radially-inward portion 1604 of the struts 710. An inner surface 1606 of the membrane 625 is positioned substantially flush with the radially-inward portion 1604 of the strut 710, thereby leaving the radially-inward portion 1604 exposed.

5 Figure 17C shows another embodiment of the configuration of the membrane 625 relative to the strut 710 wherein the membrane 625 does not entirely encapsulate the strut 710. In this embodiment, the membrane covers the radially-inward portion 1604 but does not cover the radially-outward portion 1602 of the strut 710. An outer surface 1608 of the membrane 625 is
10 positioned substantially flush with the radially-outward portion 1602 of the strut 710, thereby leaving the radially-outward portion 1602 exposed.

15 Figure 17D shows yet another embodiment of the configuration of the membrane 625 relative to the strut 710. In this embodiment, the membrane 625 is mounted on one side of the strut 710 and does not encapsulate or surround the strut 710. Figure 17D shows the membrane 625 mounted on the radially-inward portion 1604 of the strut 710 with the outer surface 1608 of the membrane juxtaposed with the radially-inward portion 1604. It should be appreciated that the membrane 625 can also be mounted on the radially-outward portion 1602. Furthermore, it should be appreciated that the
20 membrane 625 can be mounted on the struts 710 in other manners and that the membrane 625 can encapsulate other portions of the struts and leave various portions of the struts 710 uncovered or exposed.

In one embodiment, the membrane 625 is disposed over the entire retainer portion of the frame 615 such that the retainer portion can expand and contract without causing the membrane 625 to wrinkle against the bronchial wall when disposed in a bronchial passageway. The membrane 625 can be thin and

5 elastic so that the membrane 625 can expand along with the frame 615 without inhibiting the frame from exerting a sufficient radial force to grip the bronchial wall. Thus, the membrane 625 does not inhibit the frame 615 from expanding or contracting, but rather expands and contracts according to any expansion or contraction of the frame 615.

10 The membrane 625 is desirably attached to the valve member 620 in a leak-free manner. That is, when the bronchial isolation device 610 is positioned in a bronchial passageway, fluid is prevented from flowing in-between the membrane 625 and the valve member, but must rather flow through a fluid-flow opening in the valve member 620. In this regard, the membrane 625 provides a

15 fluid pathway into an entry mouth of the valve member that directs fluid in a bronchial passageway into the valve member, as described more fully below. It is not necessary that the membrane 625 completely cover the self-expanding valve protector section of the frame or that the membrane 625 completely cover the valve member 620.

20 The membrane 625 is firmly attached to the self-expanding, retainer portion 715 of the frame 615. When the bronchial isolation device 610 is properly positioned within a bronchial passageway, the self-expanding retainer portion 715 radially expands to sealingly anchor against the bronchial

passageway wall. It should be appreciated that the seal can be either between the membrane 625 and the bronchial wall or the frame 615 and the bronchial wall, as described more fully below. Thus, the bronchial isolation device 610 seals inside the bronchial passageway, and flow of fluid around the bronchial

5 isolation device 610 is prevented in a desired direction, such as the inhalation direction or in the exhalation direction. In general, the bronchial isolation device 610 also prevents flow around the device in the exhalation direction, and the only flow that is allowed is through the valve member 620 in the exhalation direction. However, the self-expanding retainer portion 715 of the frame 615

10 can be configured to allow fluid flowing in the exhalation direction to flow between the frame and the bronchial lumen wall as well as through the one-way valve, yet still prevent flow in the inhalation direction (either through the one-way valve or between the frame and the bronchial lumen wall).

The flexible membrane 625 can be thin so that it provides a relatively

15 small amount of material that must be compressed when the bronchial isolation device 610 is in the compressed state. In one embodiment, the membrane 625 is contoured so as to increase the tendency of the membrane 625 to deform in a desired manner when the flow control device 610 is compressed. The thickness of the membrane 625 can vary. In one embodiment, the membrane

20 625 has a thickness in the range of about 0.0005 inch to about 0.005 inch, although other thickness outside this range are possible. In one embodiment, the membrane 625 has a thickness in the range of about 0.001 inch to about 0.010 inch. In one embodiment, the thickness of the membrane is 0.003 inch.

The flexible membrane 625 is formed of a biocompatible, flexible material, such as, for example, silicone, urethane, or a urethane/silicone composite. It should be appreciated that the membrane can be manufactured of other materials.

5 The thin membrane 625 can be manufactured according to a variety of processes. In an exemplary process, described with reference to Figure 18, the membrane 625 is manufactured by dipping a frame 615 into a dispersion 1810 of material used to manufacture the membrane 625. The dispersion 1810 is located within a container 1815. According to the exemplary process, the frame 10 615 is first expanded, such as to the maximum possible diameter. This may be accomplished, for example, by mounting the frame 615 onto a mounting tool, such as a mandrel 1820 that is sized to receive the frame 615. The frame 615 is then dipped into the dispersion 1810, such as in a dispersion of silicone, polyurethane or other polymer. In one exemplary embodiment, the dispersion 15 1810 is generated by mixing platinum cure silicone (uncured) with xylene. Another exemplary dispersion 1810 comprises polyurethane dissolved in a solvent such as THF (tetrahydrofuran) or DMAC (dimethyl acetamide).

When the frame 615 is dipped into the dispersion 1810, the material of the dispersion adheres to the frame 615. The adhesion of a polymeric 20 dispersion to a Nitinol frame can be enhanced with a primer such as a naphtha solution, which is especially useful for silicone membranes. After dipping the frame 615, the frame 615 is removed from the dispersion so that a portion of the

dispersion adheres to the frame 615. The dispersion is then allowed to cure and form the membrane 625.

The thickness of the membrane 625 can be controlled by adjusting the percent solids of the dispersion and the dip rate, or through the use of a dipping 5 mandrel, such as the mandrel 1810 shown in Figure 18. In one embodiment, the dipped frame 615 is rotated during the cure process about an axis that is either in a vertical orientation, a horizontal orientation, or both. The rotation of the frame 615 uniformly coats the dispersion about the frame 615. A variety of membrane thicknesses can be achieved using this process.

10 One specific method of applying the dispersion 1810 to the frame 615 is to dip the frame 615 into the dispersion 1810 (such as silicone), rotate the frame 615 to a horizontal position, and spin the frame 615 about a horizontal axis 1915 to evenly distribute the dispersion 1810 about the frame 615, as shown in Figure 19. After a period of time, such as about three minutes, the frame 615 is 15 rotated to a vertical position that is upside down relative to the original dipped position, and spun about a vertical axis 2010 to continue to evenly distribute the dispersion, as shown in Figure 20. The silicone can then be cured in an oven. One curing method includes a two stage cure. In the first stage, the silicone is cured at a first temperature for a first amount of time, such as at 140°F for 10 20 minutes. In the second stage, the silicone is cured at a second temperature for a second amount, such as at 325°F for 10 minutes.

As mentioned, the membrane 625 can have a contoured shape that increases the tendency of the membrane to deform in a desired manner when

the flow control device is compressed. The contours on the membrane 625 can be created by dipping a stent that has a number of closed cells or perimeters, such as the cells 712. The dispersion fills the cells and creates a surface tension within the cells, which creates a dispersion membrane across each 5 closed perimeter so that the membrane solidifies upon curing. The membrane contours can be altered by conducting the dipping process with the stent placed over a formed tool or mandrel 1820. In one embodiment, the mandrel 1820 is manufactured of a material that does not adhere to the dispersion, such as PFA or some other fluoropolymer, in order to eliminate or reduce adhesion of the 10 membrane 625 to the mandrel 1820. By using this method, the shape of the membrane 625 can be altered when the frame 615 is compressed for delivery. For example, the mandrel 1820 can be contoured to create concave surfaces 15 on the membrane, wherein the concave surfaces will pleat inward during compression of the frame 615. This protects the membrane during compression, loading, and deployment of the bronchial isolation device.

In the embodiment shown in Figure 6, the membrane 625 does not extend distally or proximally past the frame 615. That is, the membrane 625 is trimmed so that its distal edge follows the contours of the distal-most struts 710. In another embodiment, shown in Figure 21 using the embodiment of the frame 20 of Figures 15 and 16, the membrane 625 extends distally past the struts 710 such that the membrane 625 has an untrimmed, distal edge 2102. In another embodiment of the untrimmed-membrane, shown in Figure 22, the distal edge 2102 is folded over and bonded to itself to form a flap. It can be folded over

either inside or outside of the membrane 625. The folded-over flap provides a thicker distal edge 2102 to reinforce the structural integrity of the distal edge 2102. Alternately, the distal edge 2102 can be structurally-reinforced by dipping the distal edge 2102 into a dispersion of the membrane material or by applying 5 a section of the material to the distal edge 2102 with a syringe, and then curing the membrane 625 to form a reinforcing bead on the distal edge 2102.

Valve Member

With reference to Figure 6, the valve member 620 is disposed within the valve protection portion 720 of the frame 615. The valve member 620 can be 10 configured to either permit fluid flow in two directions (i.e., proximal and distal directions), permit fluid flow in only one direction (proximal or distal direction), completely restrict fluid flow in any direction through the bronchial isolation device 610, or any combination of the above. The valve member 620 can be configured such that when fluid flow is permitted, it is only permitted above a 15 certain pressure, referred to as the cracking pressure. The valve member 620 is desirably formed of an elastic, biocompatible material, such as silicone, although other materials can be used.

When the valve member 620 comprises a one-way valve, it desirably has the following characteristics: low cracking pressure, high flow in the forward 20 direction, rapid closure upon flow reversal, and complete sealing in the reverse direction, as discussed below.

Low cracking pressure

During exhalation from a lung that is damaged by emphysema or COPD, the amount of driving pressure that can be generated to force air out of the lung is quite low. Given this condition, in order for air to be exhaled from the isolated lung region, it is desirable that the cracking pressure in the exhalation direction

5 be as low as possible. In one embodiment, the cracking pressure is in the range of 0 to 10 inches of water. In another embodiment, the cracking pressure is in the range of 0 to 5 inches of water. In another embodiment, the cracking pressure is in the range of 0 to 2 inch of water. Given the 100% humidity conditions that exist in the lungs, these cracking pressures can be measured

10 under similar or wet conditions in order to simulate the conditions of the lungs.

High flow rate in the forward direction

Rapid exhalation of air from the region of the lung isolated behind a one-way or two-way valve device is important for the rapid and complete collapse of the isolated lung portion, or for the maximal improvement in flow dynamics in

15 the absence of collapse. Thus, the higher the flow through the bronchial isolation device at a given pressure across the device, the better the performance of the device. To this end, in one embodiment, the pressure differential across the valve at a flow of 50 ml/min in the exhalation direction is in the range of 0 to 4 inches of water. In another embodiment, the pressure

20 differential across the valve at a flow of 50 ml/min in the exhalation direction is in the range of 0 to 2 inch of water. In another embodiment, the pressure differential across the valve at a flow of 50 ml/min in the exhalation direction is in the range of 0 to 1 inches of water.

Rapid valve closure when flow is reversed

The responsiveness of the valve is a measure of how quickly the valve closes once flow through the valve in the exhalation direction is stopped. For example, a high-performing valve would close instantly when the valve

5 encounters a reverse-direction flow and not allow any flow in the reverse direction back through the valve. When a flow of 120 ml/min is sent in the exhalation direction of the valve, and then reversed to flow in the inhalation direction, the valve closes and seal completely in less than 4 seconds in one embodiment, in less than 2 seconds in another embodiment, and in less than 1

10 second in another embodiment.

Complete sealing of valve in reverse direction

It is desirable for implanted one-way valve bronchial isolation devices to seal completely in the inhalation direction at all times after deployment in a bronchial passageway under all conditions. The valve desirably stays sealed

15 regardless of the orientation of the patient's body, regardless of the rotational orientation of the valve in the bronchial lumen, regardless of whether or not the implanted device is being compressed by external compression of the bronchial lumen, regardless of whether or not there is mucus present on the valve seal surfaces, etc. In addition, the valve should remain sealed even if there is little

20 or no pressure differential across the valve

Exemplary Valves

In one embodiment, the valve member 620 comprises a duckbill valve that permits flow in one direction and prevents or restricts flow in a second

direction. Figures 23-25 show an embodiment of a valve member 620 comprised of a duckbill valve 2310 that can be used in the bronchial isolation device 610. The duckbill valve 2310 includes a tubular base 2315 that has an outer diameter that fits within the annular valve protector portion 720 of the frame 615. As best shown in Figures 23 and 25, the duckbill valve 2310 includes a pair of opposed, inclined walls 2320 having ends that meet at lips 2325. The lips 2325 meet at two opposed corners. The walls 2320 can move with respect to one another so as to separate at the lips 2325 and form an opening through which fluid can travel. When exposed to fluid flow in a first direction (represented by the arrow 2330 in Figure 23) at the cracking pressure, the walls 2320 separate from one another to form the opening. When exposed to fluid flow in a second direction (represented by the arrow 2335 in Figure 23), the walls 2320 remain closed and prevent fluid from flowing through the duckbill valve 2320.

15 The valve protector portion 720 of the frame 615 provides structural support to the valve member 620 and serves to prevent the valve member 620 from being deformed to the extent that the performance of the valve member 620 is adversely affected. For example, a bronchial passageway can constrict or otherwise change shape during inhalation, exhalation, or cough. The valve 20 protector portion 720 substantially shields the valve member 620 from excessive deformation when the bronchial passageway changes shape. The valve member 620 can optionally include a reinforcement member 2202 comprised of a curved or looped wire that extends between the two corners of

the lips and around the duckbill valve 2310 or other type of valve. For clarity of illustration, the reinforcement member is only shown in Figure 24. The curved wire is rigid so as to provide structural protection to the valve 2310, such as to maintain the distance between the corners of the lips, in the event that the

5 valve 2310 is exposed to forces that might deform the valve 2310. The reinforcement member 2202 may be used in combination with the valve protector portion 720 of the frame, or may be used as a substitute for the valve protector portion 720.

With reference to Figures 23-25, the walls 2320 have an outwardly-
10 domed outer surface 2342 and as a leading edge 1910 that forms an outward curve. The curved-shape of the leading edge 1910 provides a longer lips 2325 than the lip for a straight leading edge, such as the straight leading edge 2502 of the duckbill valve 2504 shown in Figures 26 and 27. The result is that for a given valve size, the flow rate at a given differential pressure across the lips is
15 higher for a curved leading edge valve than for a straight leading edge valve. Table 1 lists the pressure drop across two duckbill valves, including a duckbill valve with a curved leading edge (i.e., a curved mouth) and a diameter of about 0.128 inches and a duckbill valve with a straight leading edge (i.e., a straight mouth) and a diameter of about 0.116 inches. As shown in Table 1, even
20 though the curved-mouth valve has a smaller diameter, the pressure drop across the curved-mouth valve is lower than the straight mouth valve at both 50 ml/min and 500 ml/min.

Table 1: Curved vs. Straight Duckbill Valve Pressure Drop

Valve Type	Valve Diameter, inches	Pressure Drop Across Valve, in-H ₂ O	
		50 ml/min	500 ml/min
Straight Mouth	0.128	0.5	2.8
Curved Mouth	0.116	0.4	2.4

Thus, the curved-mouth duckbill valve provides a higher flow rate than the straight-mouthed duckbill valve, which makes the curved mouth duckbill 5 valve particularly well suited for use in a one-way valve bronchial isolation device. As mentioned above, for bronchial implantation, the higher the flow rate once the valve is cracked open, the better the performance of the valve.

It has been determined that the cracking pressure of a duckbill valve can be altered by changing the angle between the faces of the two lips of the 10 valves. The cracking pressure will be reduced if this angle is reduced, and the cracking pressure will be increased if this angle is increased. As the angle is reduced, the length of the valve increases, and this should be taken into account if a very short valve is desired.

There may be situations where a controlled reverse flow through a one- 15 way valve might be desirable. Figures 28A and 28B shows an embodiment of a one-way duckbill valve 2702 that provides a controlled flow in a reverse direction. The valve 2702 behaves as a one-way valve in the forward direction (represented by the arrow 2704 in Figure 28A) in that the valve 2702 allows free flow of fluid through the valve at or above the valve's cracking pressure. The 20 valve 2704 also allows a small, controlled rate of flow in the reverse direction (represented by the arrow 2706 in Figure 28A). In this regard, the duckbill valve

2704 includes a small flow channel 2708 that extends through the lips of the valve, as best shown in the enlarged view of Figure 28B. The flow channel 2708 allows fluid to flow in the reverse direction through the lips. The rate of flow is a function of the diameter and length of the flow channel 2530.

5 It should be appreciated that the valve member 620 can comprise valve types other than a duckbill valve. For example, the one-way duckbill valve could be replaced with another type of one-way valve, such as a flap valve, a Heimlich valve, a tri-lobe duckbill valve or other multi-lobe valves, a diaphragm valve, a ball valve, etc. In addition, the one-way valve can be replaced with a
10 blocking element to prevent flow through the device in either direction, or a two-way valve to allow controlled flow in both directions, or one-way or two-way valves that are designed to allow the passage of a catheter to suction, inject therapeutic substances or otherwise treat the isolated lung region distal to the implanted bronchial isolation device.

15 Use and Deployment of the Bronchial Isolation Device

Figure 29 shows a cross-sectional view of the flow control device 610 employing the embodiment of the frame 615 shown in Figures 15 and 16. The valve member 620 is mounted within the valve protector portion 720 of the frame 615. The membrane 625 is attached to the valve member 620 at one or
20 more locations to secure the valve member 620 to the frame 615. The valve member is positioned such that an entry mouth 2903 is located at a distal region of the valve protector portion 720 and an exit mouth 2905 is located at a proximal region. The valve protector portion 720 at least partially surrounds the

valve member 620 to maintain a default shape of the valve member 620. For example, if the bronchial passageway deforms while the bronchial isolation device 610 is mounted therein, the valve protector portion 720 protects the valve member 620 from deformation. The default shape of the valve member 5 620 can be a closed configuration that prohibits fluid flow or the default shape can be some other default shape.

The membrane 625 is positioned in a web-like manner within the cells 712. The membrane 625 covers or is connected to at least a portion of the frame 615. The membrane covers at least a portion of the retainer portion 715 10 and can also cover at least a portion of the valve protector portion 720. The membrane 625 can cover the entire retainer portion 715 or it can cover a portion of the retainer portion 715. In addition, the membrane 625 can cover the entire valve protector portion 720 or it can cover a portion of the valve protector portion 720.

15 The membrane 625 defines a fluid pathway that is open at a distal end of the frame 615 and that leads into the entry mouth 2903 of the valve 620. The general outer contour of the fluid pathway is represented by a bold line 2907 in Figure 29. In the valve protector portion 715, the membrane 625 follows the outer contour of the frame 615 such that the fluid pathway is generally 20 cylindrical in this portion of the frame 615. However, it is not necessary that the fluid pathway be cylindrical as long as it leads into the entry mouth 2903 of the valve member 620. Moreover, it is not necessary that the membrane cover the entire bronchial isolation device 610 as long as the membrane forms the fluid

pathway. Moving toward the valve member 625, the outer contour of the membrane 625 slopes radially inward (as exhibited by the bold lines 2911) moving toward the entry mouth 2903 of the valve member 620. In this manner, the membrane 625 forms a funnel-shaped fluid pathway that leads into the 5 valve member 620. The membrane 625 also forms radially-extending fins 2914 between the outer membrane wall of the funnel-shaped fluid pathway and the linking struts 745.

Figure 30 shows a cross-sectional view of bronchial passageway 2910 having interior walls 2915 that define a lumen of the bronchial passageway 10 2910. As is known to those skilled in the art, fluids can travel to and from a region of the lung through the lumen of the bronchial passageway 2910. The embodiment of the bronchial isolation device 610 of Figure 29 is shown positioned within the bronchial passageway 2915. The bronchial isolation device 610 is shown in Figure 30 with the membrane 625 trimmed along the 15 distal edge of the frame 615. When the bronchial isolation device 610 is positioned within the bronchial passageway 2910, the frame 615 is in an expanded state so that it exerts a radial force against the interior walls 2915, as represented by the arrows 2912 in Figure 29. The radial force causes the frame 615 to press against the bronchial wall 2915 with a pressure sufficient to retain 20 the bronchial isolation device 610 in a fixed position relative to the bronchial passageway. The distal edges 725 of the retainer cells 712a are positioned such that they lodge against the interior walls 2915 and inhibit the bronchial isolation device 610 from migrating in the distal direction 206.

As discussed above, the proximal edges 730 of the retainer cells 712a are attached to the linking struts 745. The linking struts 745 provide a smooth transition between the retainer section and the valve protector section of the frame 615. The linking struts 745 also lessen any sharpness of the proximal 5 edges 730 of the retainer cells 712a and prevent the proximal edges 730 from penetrating into the bronchial wall 2915 if the bronchial isolation device 610 is pulled in the proximal direction 204 during removal. In addition, the linking struts 745 assist in radially constricting the retainer section of the frame 615 during removal of the bronchial isolation device 610. When the valve protector 10 section is radially constricted (such as by using forceps that are deployed to the location of the bronchial isolation device 610), the linking struts 745 transfer the radial constriction to the retainer section of the frame 615.

As discussed above, the frame 615 can optionally include one or more distal cross struts 1410 and one or more proximal cross struts 1415. The cross 15 struts 1410, 1415 function to limit the distance that the cells 712 can penetrate into the bronchial wall 2915. For example, Figure 31 shows the bronchial isolation device 610 after it has migrated in the distal direction 206 such that some of the distal edges 725 of the retainer cells 712a have penetrated into the bronchial wall 2915. The connection point 3010 between the distal cross strut 20 1410 and the cell 712a provides a stopping point that will prevent the retainer cell 712a from penetrating any deeper beyond the connection point 3010.

With reference again to Figure 30, the expanded frame 615 presses against the bronchial wall 2915 so that the bronchial isolation device 610

sealingly engages the bronchial wall 2915 around the entire circumference of the bronchial isolation device 610. The seal can be formed between the frame 615 and the bronchial wall 2915, between the membrane 625 and the bronchial wall 2915, between both the membrane 625/frame 615 and the bronchial wall 2915, or any combination thereof. For example, Figure 32A shows an enlarged view of the portion of the flow control device 610 that forms a seal with the bronchial wall 2915. In the embodiment of Figure 32A, the membrane 625 is positioned between a frame strut 710 and the bronchial wall 2915 such that the membrane 625 seals with the bronchial wall 2915. The situation shown in 10 Figure 32A corresponds to use of the frame/membrane configuration shown in Figures 17A, 17B.

In another embodiment, shown in Figure 32B, both the membrane 625 and the frame strut 710 seal with the bronchial wall 2915. The situation shown in Figure 32B corresponds to use of the frame/membrane configuration shown 15 in Figures 17C.

In another embodiment, shown in Figure 32C, the frame strut 710 seals with the bronchial wall 2915 but the membrane 710 does not necessarily seal with or contact the bronchial wall 2915. The situation shown in Figure 32C corresponds to use of the frame/membrane configuration shown in Figures 17D.

20 In the embodiment shown in Figure 30, the frame 615 is sealed with the bronchial wall 2915. It should be appreciated that the seal can occur at any location along the frame 615 as long as the membrane 625 provides a fluid pathway between the location of the seal and the valve member 620. As

mentioned, the cross struts 1410 create additional cells that permit the frame to flex at different locations along its length. In this manner, the struts that form the cells can form independent and redundant seals with the bronchial wall 2915.

5 Thus, the frame 615 and/or the membrane 625 provide a seal that prevents fluid from flowing between the interior walls 2915 and the bronchial isolation device 610. The membrane 625 engages with the portion of the bronchial isolation device that is sealed to the bronchial wall 2915 such that the fluid pathway formed by the membrane directs fluid into the valve member 620 10 so that fluid must flow through the valve member 620 in order to flow from a proximal side 2730 of the bronchial isolation device 610 to a distal side 2735 or vice-versa. That is, the sealing engagement between the bronchial isolation device 610 and the bronchial wall 2915 prevents fluid from flowing around the periphery of the bronchial isolation device 610, thereby forcing fluid flow to 15 occur through the membrane path and into the valve member 620. In this manner, the membrane 625 provides a fluid pathway into the valve member 620. It is not necessary for the membrane 625 to cover the entire bronchial isolation device as long as the membrane 625 forms a pathway from the location of the seal to the entry mouth of the valve member 620.

20 It should be appreciated that in certain circumstances it may be desirable to permit a limited amount of fluid to flow around the bronchial isolation device rather than through the valve member. In such circumstances, the bronchial isolation device 610 can be modified to permit such limited flow.

The bronchial isolation device 610 can be deployed to a desired location in a bronchial passageway according to a variety of methods. In one embodiment, the bronchial isolation device is coupled to the distal end of a delivery catheter, which is then inserted through the patient's mouth or nose, 5 into the trachea, and to the location in the bronchial passageway. The bronchial isolation device 610 is then uncoupled from the delivery catheter such that it is positioned at a desired location in the bronchial passageway.

With reference to Figure 33, a delivery catheter 3102 can be deployed using a bronchoscope 3104, which in an exemplary embodiment has a steering 10 mechanism 3106, a shaft 3108, a working channel entry port 3112, and a visualization eyepiece 3115. The bronchoscope 3104 has been passed into a patient's trachea 125 and guided into the right primary bronchus 510 according to well-known methods. The delivery catheter 3102 is then inserted into the bronchoscope through the working channel entry port 3112 and fed into the 15 working channel so the distal end 3120 of the delivery catheter (and the attached bronchial isolation device 610) protrude out of the distal end of the bronchoscope. The bronchial isolation device 610 is then released from the delivery catheter so that the frame 615 expands and anchors at a desired location, as shown in Figure 1.

20 Although embodiments of various methods and devices are described herein in detail with reference to certain versions, it should be appreciated that other versions, embodiments, methods of use, and combinations thereof are

also possible. Therefore the spirit and scope of the appended claims should not be limited to the description of the embodiments contained herein.

CLAIMS

What is claimed:

1. A flow control device for a bronchial passageway, comprising:

5 a valve member that regulates fluid flow through the flow control device, the valve having a default shape;

a frame coupled to the valve member, the frame including:

a valve protector region that at least partially surrounds the valve member to maintain the default shape; and

10 a retainer region connected to the valve protector region, the retainer region being formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the retainer region being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for

15 engaging the interior wall of the bronchial passageway; and

a membrane covering at least a portion of the retainer region, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve

20 member to direct fluid flowing through the bronchial passageway into the valve member.

2. A flow control device as defined in claim 1, wherein the membrane covers the entire retainer region of the frame.

3. A flow control device as defined in claim 1, wherein the membrane covers the retainer region of the frame and the valve protector region of the frame.

4. A flow control device as defined in claim 1, wherein the struts of the
5 frame are arranged to form a plurality of cells, and wherein the cells are covered by the membrane.

5. A flow control device as defined in claim 1, wherein the frame comprises a superelastic material.

10

6. A flow control device as defined in claim 1, wherein the valve member limits fluid flow in an inhalation direction and permits fluid flow in the exhalation direction.

15

7. A flow control device as defined in claim 1, wherein the valve member blocks fluid flow in an inhalation direction and permits fluid flow in an exhalation direction.

20

8. A flow control device as defined in claim 1, wherein the valve member blocks fluid flow in both an inhalation direction and in an exhalation direction.

9. A flow control device as defined in claim 1, wherein the valve member comprises a duckbill valve having a pair of opposed walls having edges that form opposed lips of a mouth, wherein the walls can move with respect to one another to open and close the mouth.

5

10. A flow control device as defined in claim 9, wherein the lips of the mouth are curved.

11. A flow control device as defined in claim 9, wherein the lips of the mouth
10 are straight.

12. A flow control device as defined in claim 9, wherein the opposed walls have domed outer surfaces.

15 13. A flow control device as defined in claim 9, wherein the opposed walls have flat outer surfaces.

14. A flow control device as defined in claim 9, wherein a flow channel is located between the lips of the valve mouth.

20

15. A flow control device as defined in claim 1, wherein the valve protector region comprises a tube.

16. A flow control device as defined in claim 15, wherein the tube has at least one window formed therein.

17. A flow control device as defined in claim 15, wherein the tube is non-
5 expandable.

18. A flow control device as defined in claim 1, wherein the valve protector region comprises a plurality of struts.

10 19. A flow control device as defined in claim 1, wherein the valve protector region is flexible.

20. A flow control device as defined in claim 1, wherein the valve protector region is rigid.

15

21. A flow control device as defined in claim 1, wherein the valve protector region and the retainer region are formed from a single piece of material.

20 22. A flow control device as defined in claim 21, wherein the single piece of material comprises a tube.

23. A flow control device as defined in claim 1, wherein the valve protector region and the retainer region are composed of the same material.

24. A flow control device as defined in claim 23, wherein the material is metal.

25. A flow control device as defined in claim 1, wherein the struts form a 5 series of undulating loops in the contracted state.

26. A flow control device as defined in claim 25, wherein the undulating loops form a series of zig-zags in the expanded state.

10 27. A flow control device as defined in claim 1, wherein the struts form at least two connected rows of undulating loops in the contracted state, the connected rows of undulating loops forming a series of diamond-shaped cells in the expanded state.

15 28. A flow control device as defined in claim 1, further comprising a plurality of linking struts connecting the valve protector region to the retainer region.

20 29. A flow control device as defined in claim 28, wherein the linking struts extend in a longitudinal direction from the valve protector region to the retainer region.

30. A flow control device as defined in claim 28, wherein the membrane secures the valve member to the frame.

31. A flow control device as defined in claim 28, wherein the linking struts are flexible.

32. A flow control device as defined in claim 28, wherein the linking struts 5 and the retainer region are formed of the same material.

33. A flow control device as defined in claim 32, wherein the linking struts, retainer region and valve protector region are formed of the same material.

10 34. A flow control device as defined in claim 28, wherein valve protector region has a first diameter and the retainer region has a second diameter larger than the first diameter, the linking struts extending radially outwardly from the valve protector region to the retainer region.

15 35. A flow control device as defined in claim 34, wherein the linking struts are curved radially outwardly from the valve protector region to the retainer region.

36. A flow control device as defined in claim 28, wherein the struts of the retainer region form a plurality of zig-zags in the expanded state, each zig-zag 20 having a proximal point and a distal point with a strut extending therebetween, each linking strut being connected to one of the proximal points.

37. A flow control device as defined in claim 28, wherein the struts of the retainer region form a plurality of zig-zags in the expanded state, each zig-zag having a proximal point and a distal point with a strut extending therebetween, each linking strut being connected to one of the distal points.

5

38. A flow control device as defined in claim 1, wherein the frame comprises a second retainer region connected to the valve protector region.

39. A flow control device as defined in claim 38, wherein the retainer region
10 is on a first side of the valve protector region and the second retainer region is on a
second side of the valve protector region.

40. A flow control device as defined in claim 39, wherein the valve protector
region has a diameter less than both of the retainer region and the second retainer
15 region such that the frame has an hourglass-like shape.

41. A flow control device as defined in claim 38, wherein the membrane at
least partially covers the second retainer region.

20 42. A flow control device as defined in claim 1, wherein the retainer region
is self-expanding from the contracted state to the expanded state.

43. A flow control device as defined in claim 1, wherein the valve protector
region is collapsible from a normal shape to a collapsed shape.

25

44. A flow control device as defined in claim 43, wherein the valve protector region is self-expandable from the collapsed shape to the normal shape.

45. A flow control device for a bronchial passageway, comprising:
5 a valve member that regulates fluid flow through the flow control device and has a default shape;

a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for 10 introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway;

a valve protector at least partially surrounding the valve member configured to maintain the valve member in the default shape, the valve protector being collapsible from the default shape to a collapsed shape;

15 a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve 20 member.

46. A flow control device as defined in claim 45, wherein the valve member has a mouth that is movable between open and closed configurations, and wherein the valve protector comprises a loop extending around the mouth.

47. A flow control device as defined in claim 46, wherein the mouth has a pair of lips connected at two corners, the loop extending from one corner to the other corner to maintain the distance therebetween.

5 48. A flow control device as defined in claim 45, wherein the valve protector is formed of a plurality of struts.

49. A flow control device as defined in claim 45, wherein membrane covers at least a portion of the valve protector.

10

50. A flow control device as defined in claim 45, further comprising a plurality of linking struts connecting the valve protector to the frame.

51. A flow control device as defined in claim 45, wherein the valve protector 15 has a first diameter and the frame has a second diameter, the first diameter being smaller than the second diameter.

52. A flow control device as defined in claim 45, wherein the frame and valve protector are formed of the same material.

20

53. A flow control device as defined in claim 52, wherein the material is superelastic.

25 54. A flow control device for a bronchial passageway, comprising:
a valve member that regulates fluid flow through the flow control device;

a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for

5 engaging the interior wall of the bronchial passageway;

at least one retention prong extending from the frame and configured to engage the interior wall of the bronchial passageway to resist migration therein.

55. The flow control device of claim 54, wherein the retention prong is fixed
10 to the struts of the frame.

56. The flow control device of claim 55, wherein the struts form a series of undulating loops in the contracted state, the retention prong being disposed between at least a portion of the loops.

15

57. The flow control device of claim 56, wherein the retention prong is loop shaped in the contracted state, and are expandable to have a V-shape in the expanded state.

20 58. The flow control device of claim 54, wherein the retention prong comprises an axial post having a first end connected to the frame and a free end opposite the first end.

25 59. The flow control device of claim 54, wherein the retention prong is configured to project radially outwardly in the expanded state.

60. A flow control device for a bronchial passageway, comprising:

a valve member that regulates fluid flow through the flow control device;

a frame configured to engage an interior wall of the bronchial passageway to

5 retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and

a membrane covering at least a portion of the frame, wherein at least a

10 portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

15

61. A flow control device as defined in claim 60, wherein the membrane has a thickness of about .001-.010 inch.

62. A flow control device as defined in claim 60, wherein the membrane

20 encapsulates the struts.

63. A flow control device as defined in claim 60, wherein the membrane is positioned on top of the struts.

64. A flow control device as defined in claim 60, wherein the membrane is expandable and collapsible with the frame.

65. A flow control device as defined in claim 60 wherein the membrane is
5 elastic.

66. A flow control device for a bronchial passageway, comprising:
a valve member that regulates fluid flow through the flow control device;
a frame coupled to the valve member; and
10 a membrane attached to the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane forms a fluid pathway from the seal into the valve member to direct fluid flowing through the bronchial passageway into the valve member.

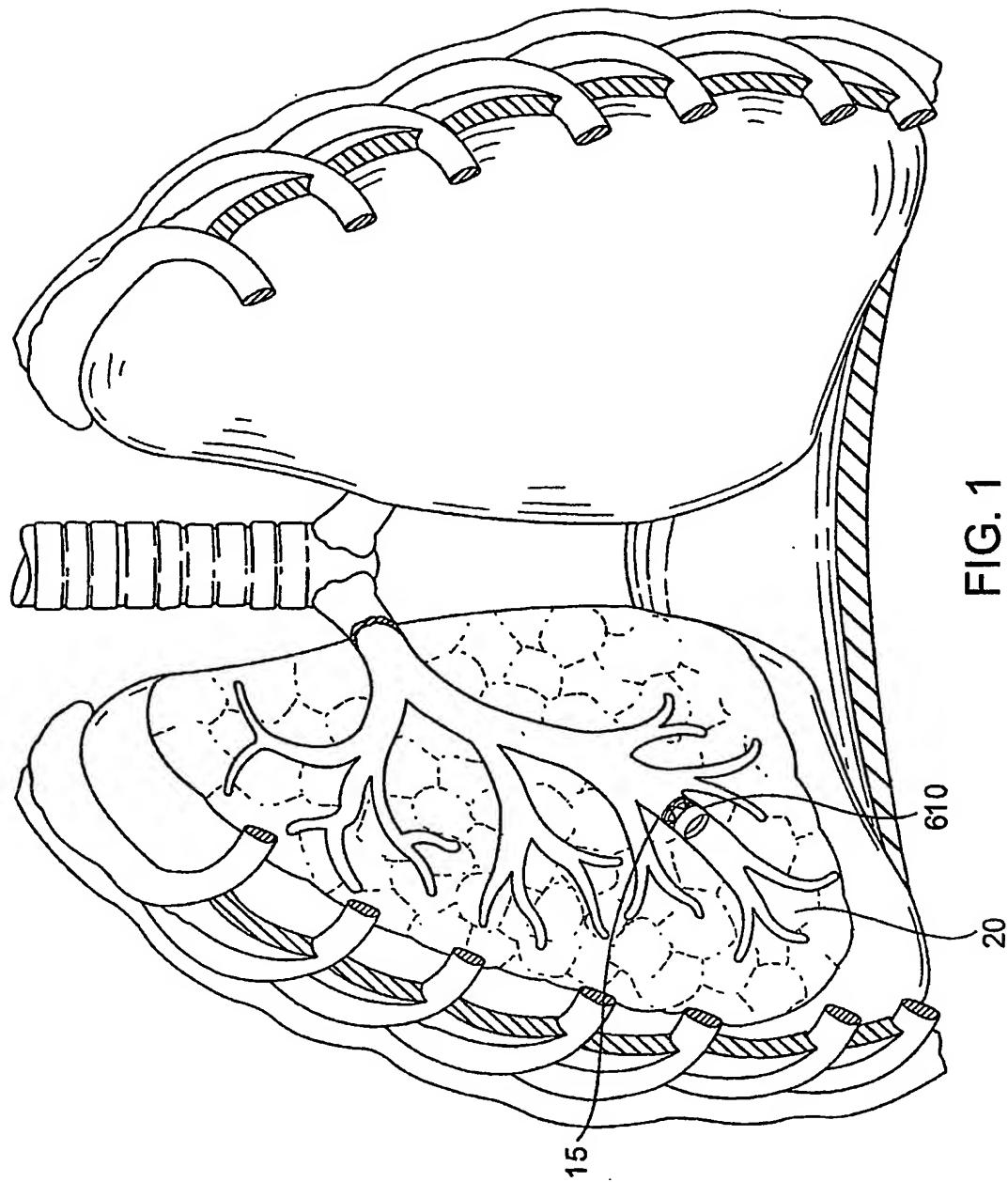
15

67. A flow control device as defined in claim 66, wherein the frame forms a seal with the interior wall of the bronchial passageway.

68. A flow control device as defined in claim 66, wherein the membrane
20 forms a seal with the interior wall of the bronchial passageway.

69. A flow control device as defined in claim 66, wherein the both the frame and the membrane form a seal with the interior wall of the bronchial passageway.

1 / 27



2 / 27

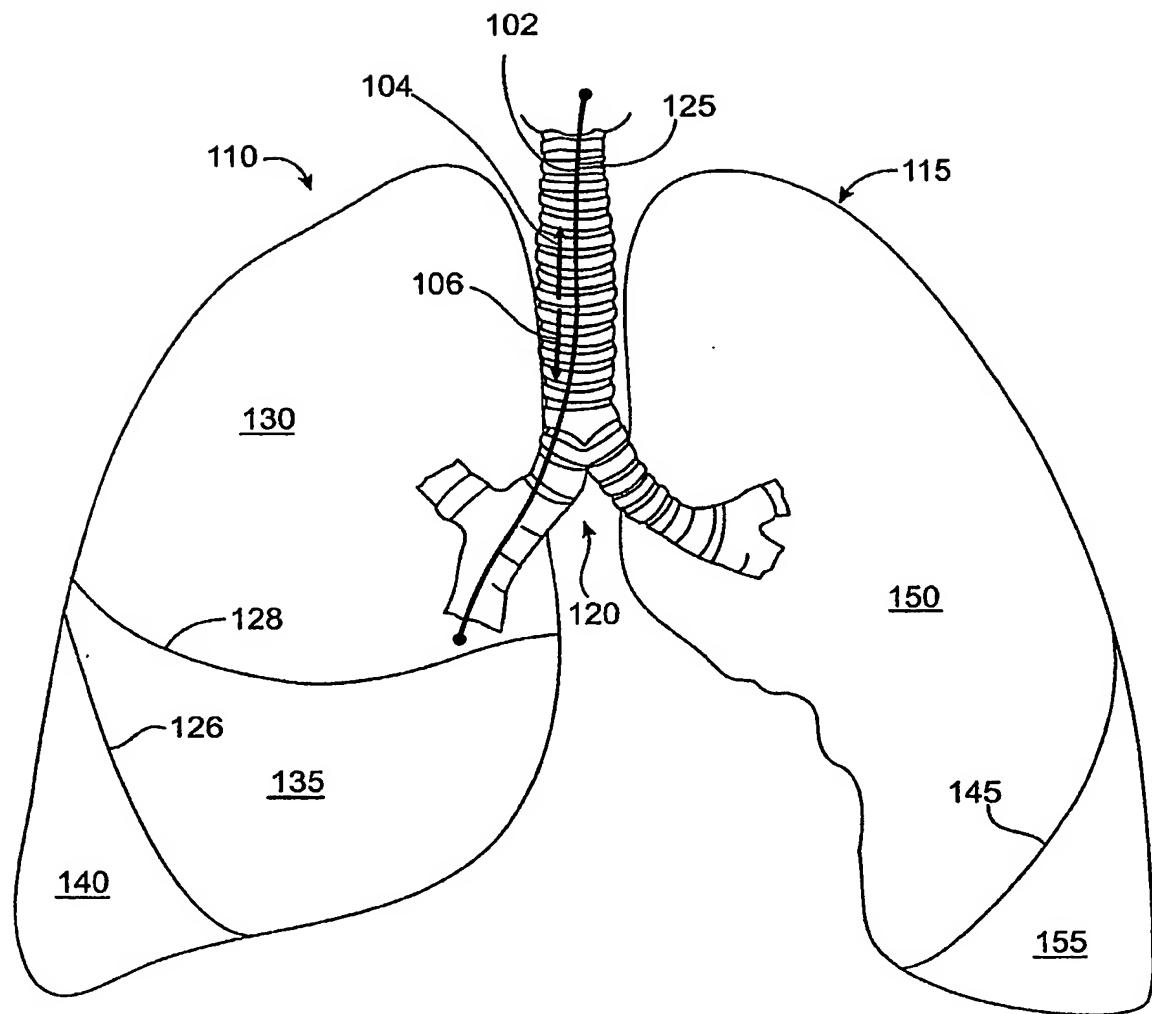


FIG. 2

3 / 27

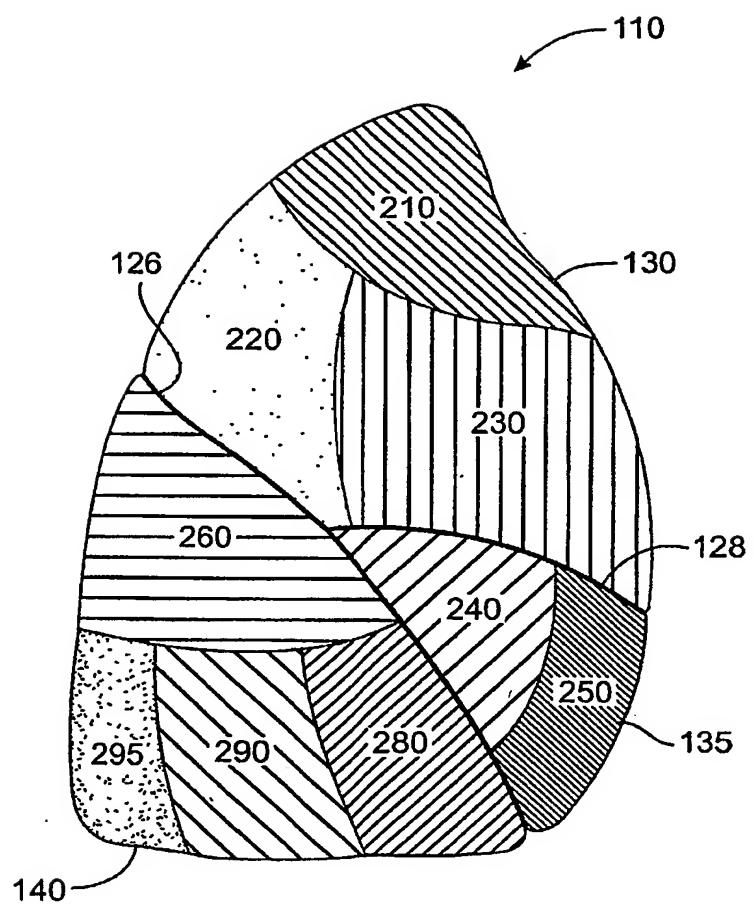


FIG. 3

4 / 27

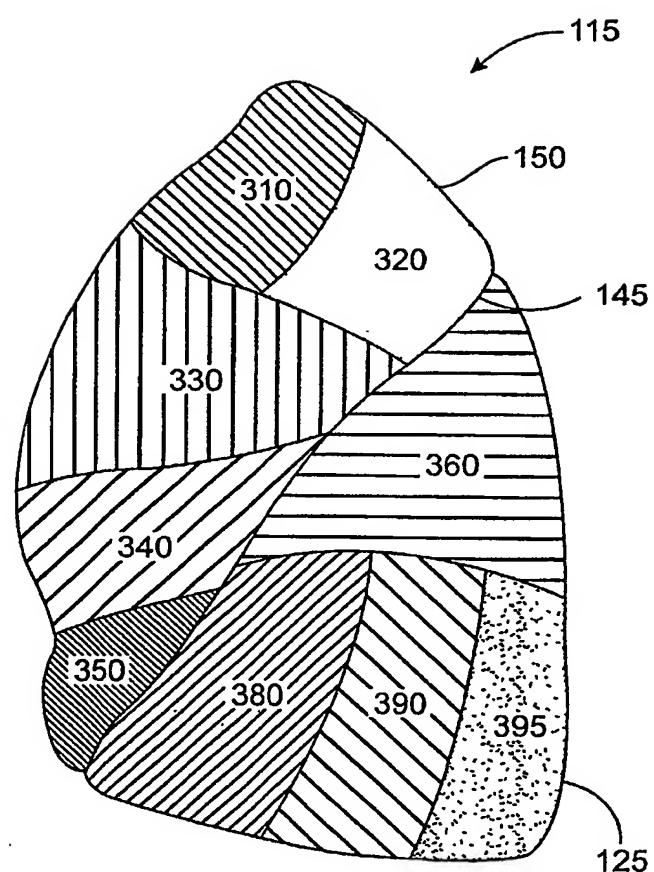


FIG. 4

5 / 27

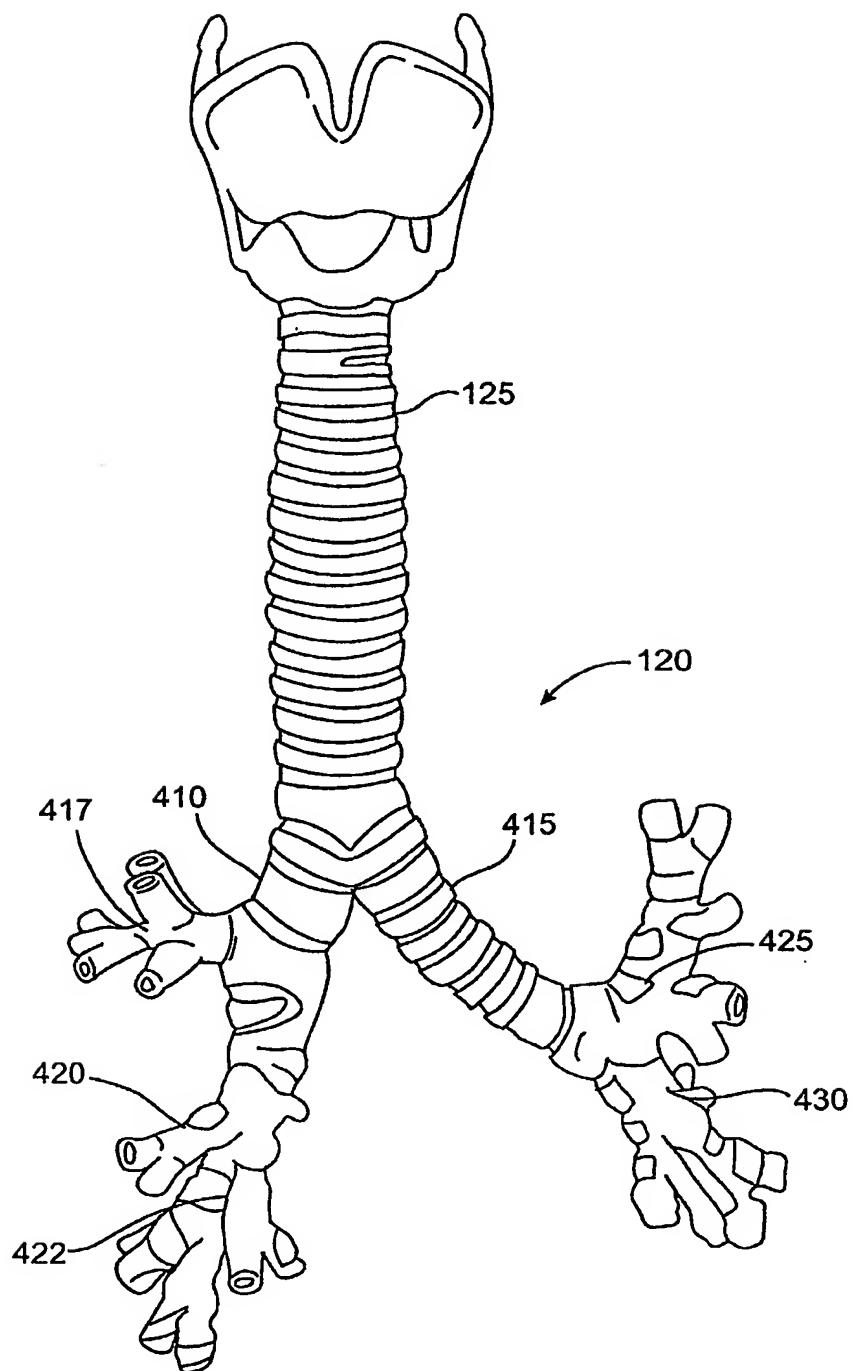


FIG. 5
SUBSTITUTE SHEET (RULE 26)

6 / 27

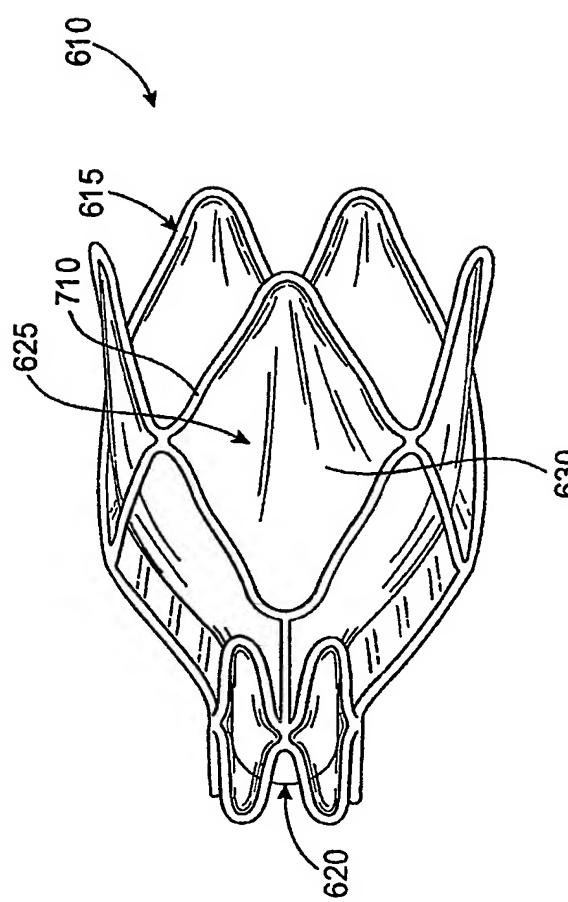


FIG. 6

7 / 27

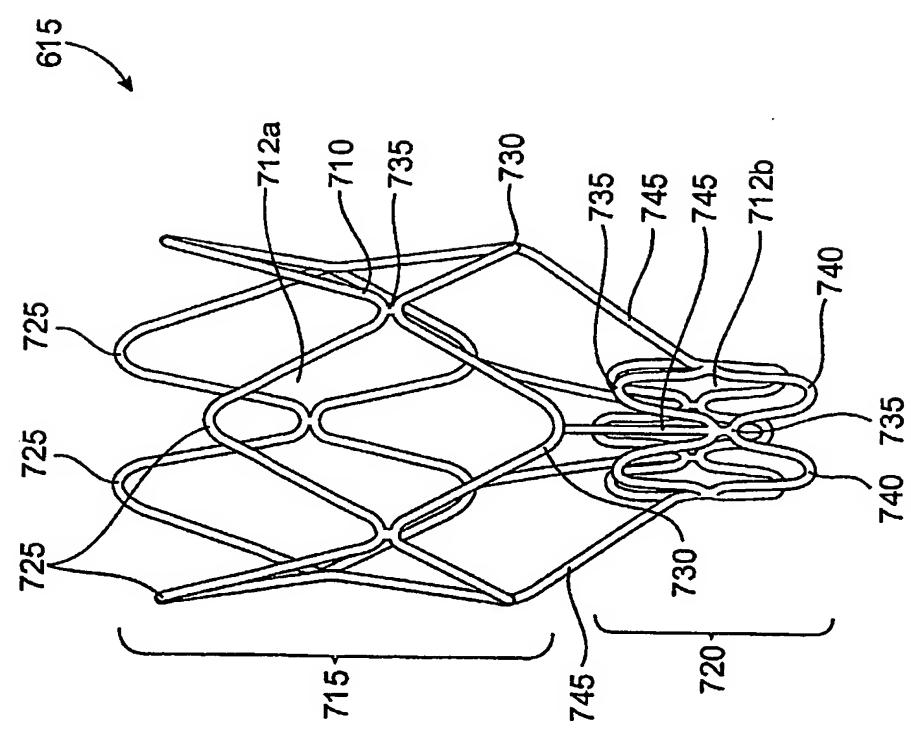


FIG. 7

8 / 27

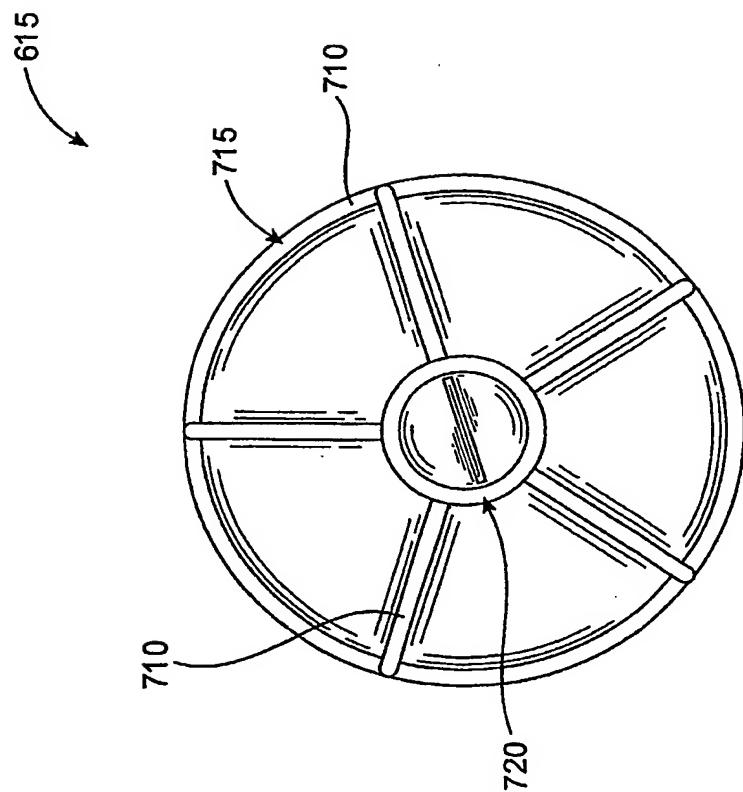
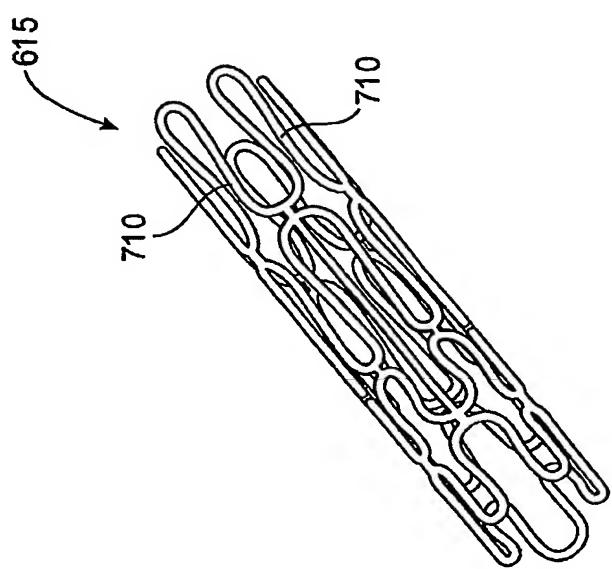


FIG. 8

9 / 27

FIG. 9



SUBSTITUTE SHEET (RULE 26)

10 / 27

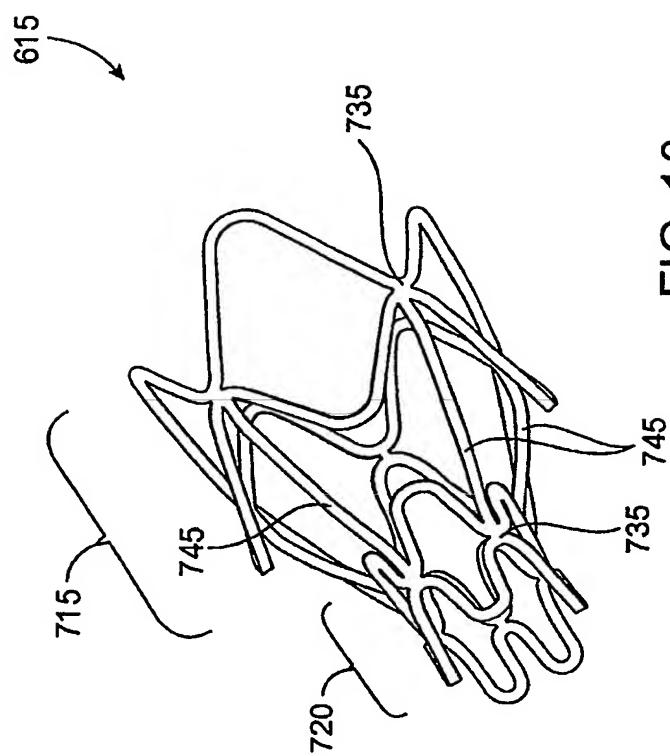


FIG. 10

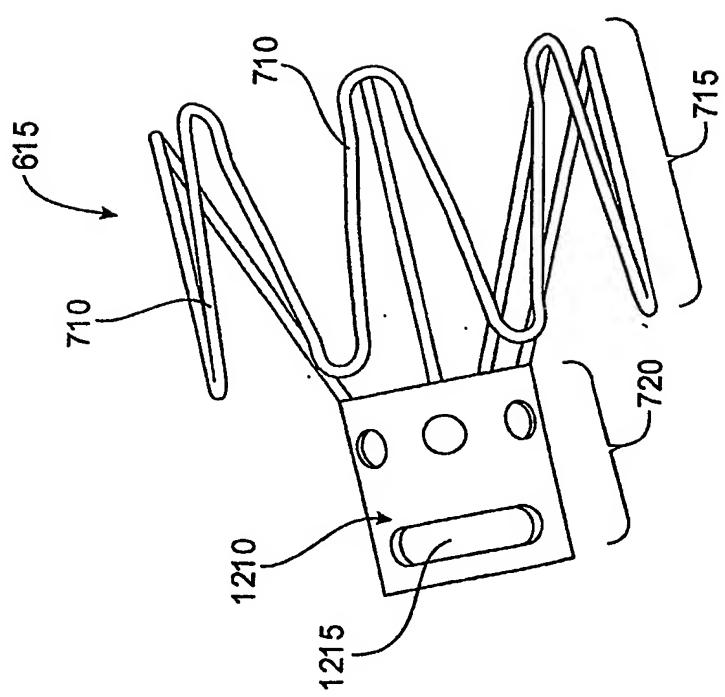


FIG. 11

12 / 27

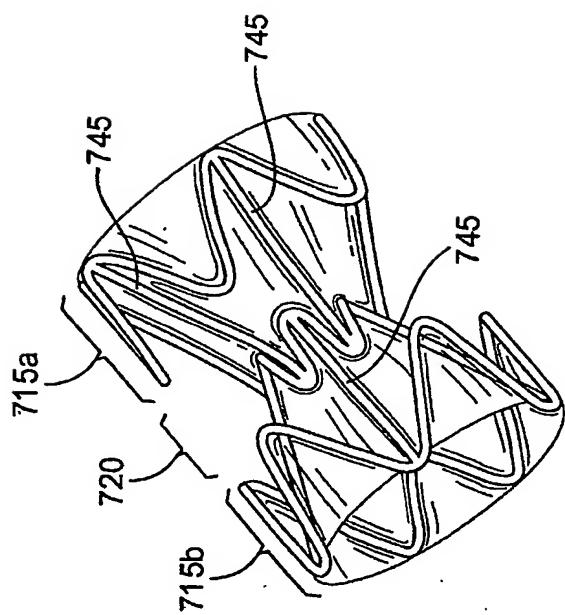


FIG. 12

13 / 27

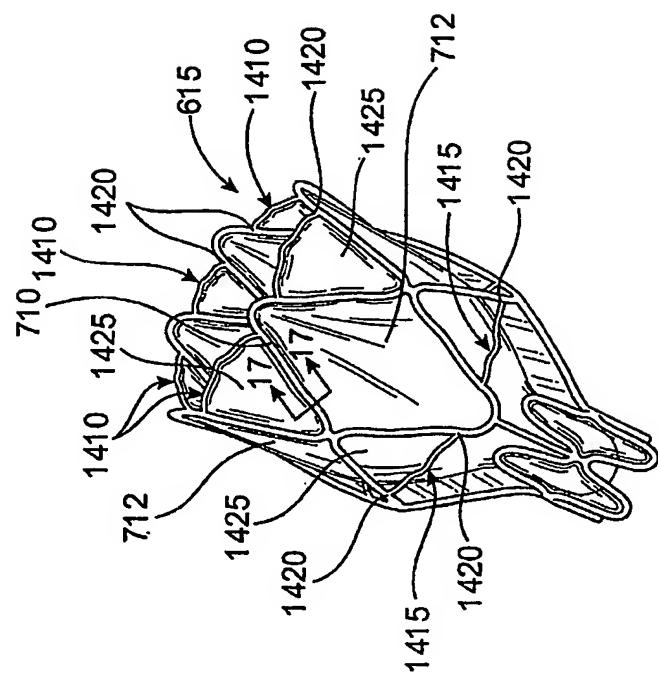


FIG. 13

14 / 27

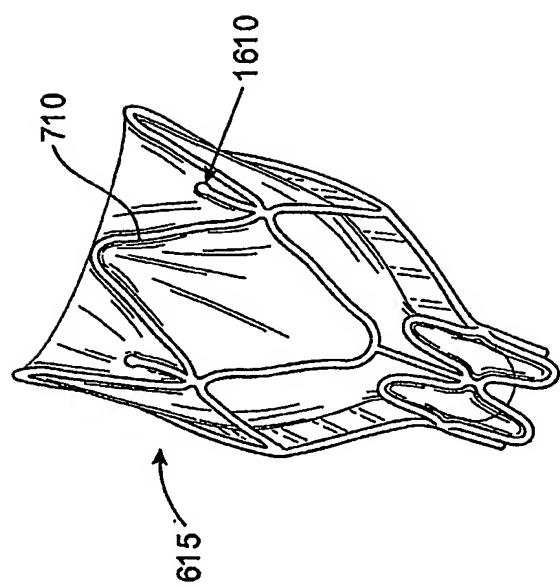


FIG. 14

15 / 27

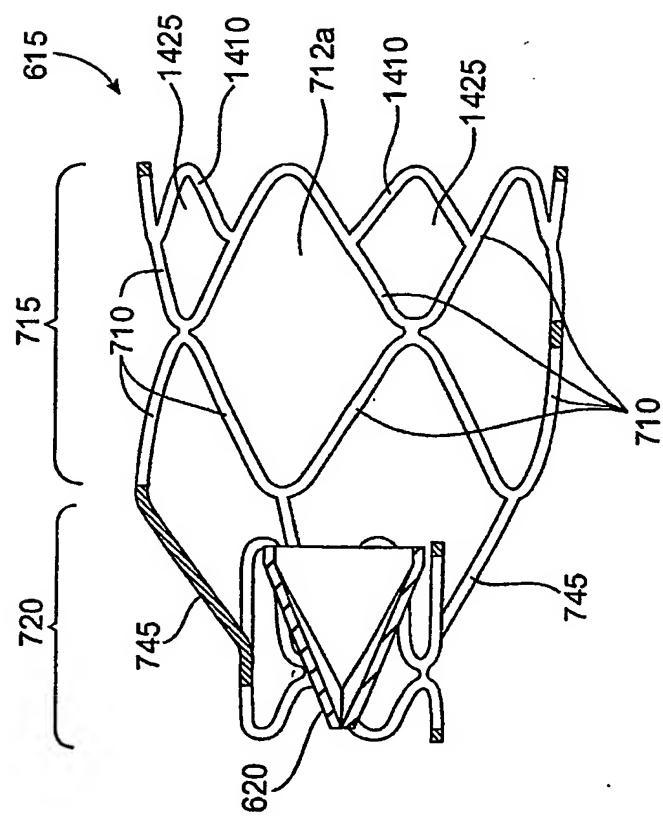


FIG. 16

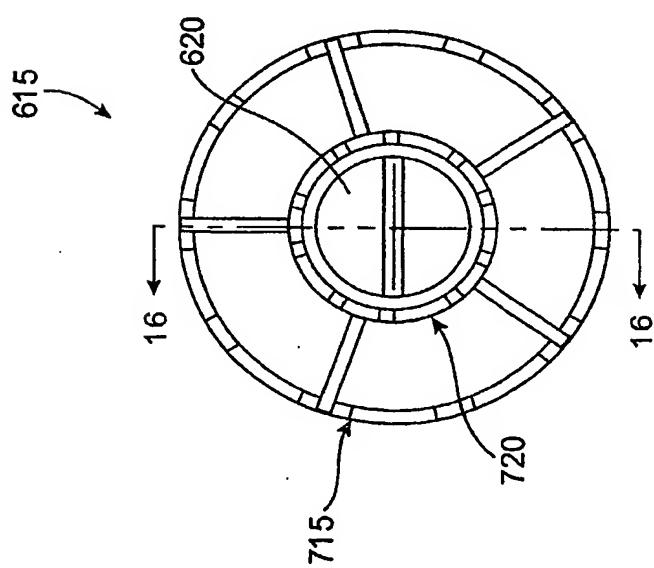


FIG. 15

SUBSTITUTE SHEET (RULE 26)

16 / 27

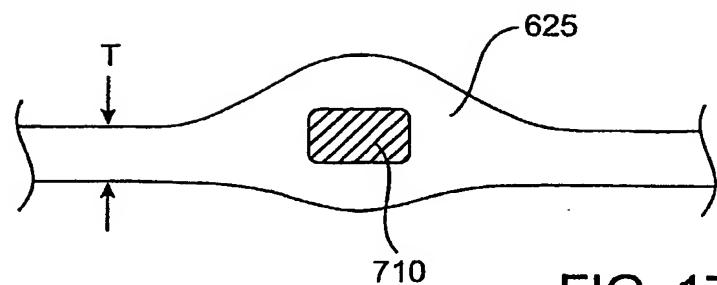


FIG. 17A

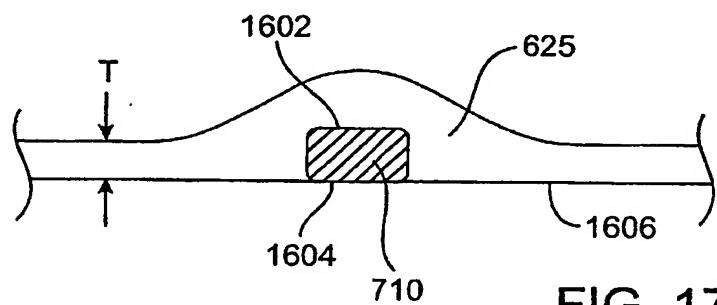


FIG. 17B

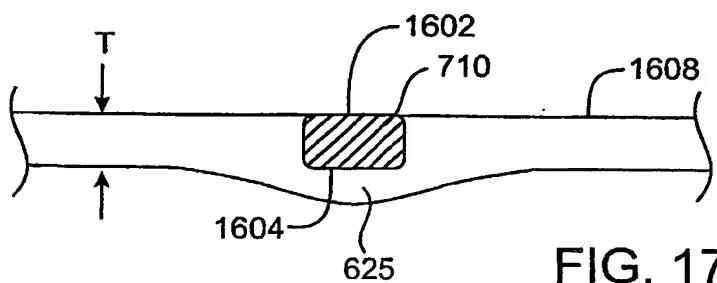


FIG. 17C

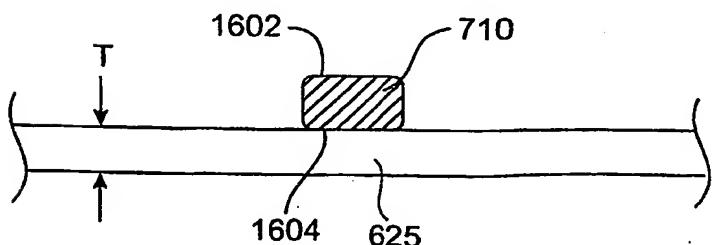


FIG. 17D

17 / 27

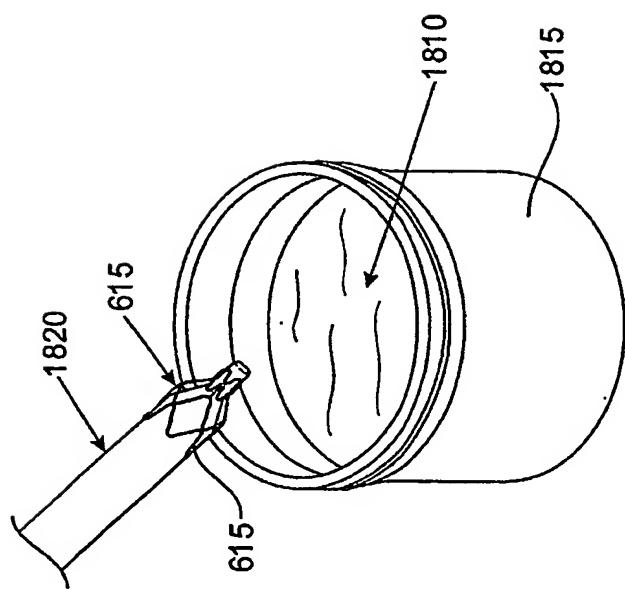


FIG. 18

18 / 27

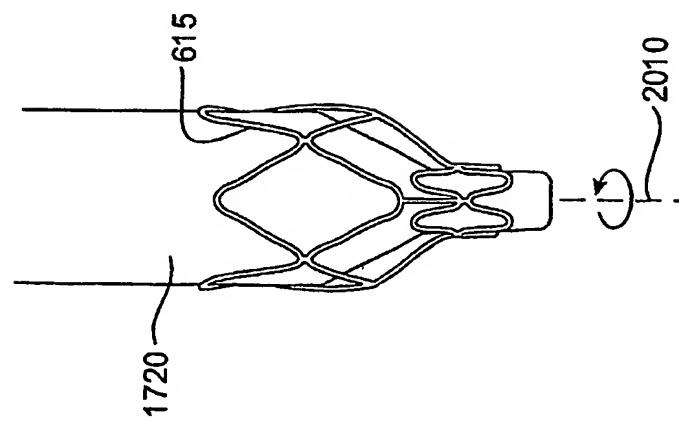


FIG. 20

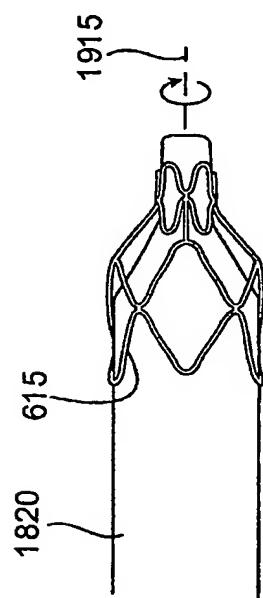
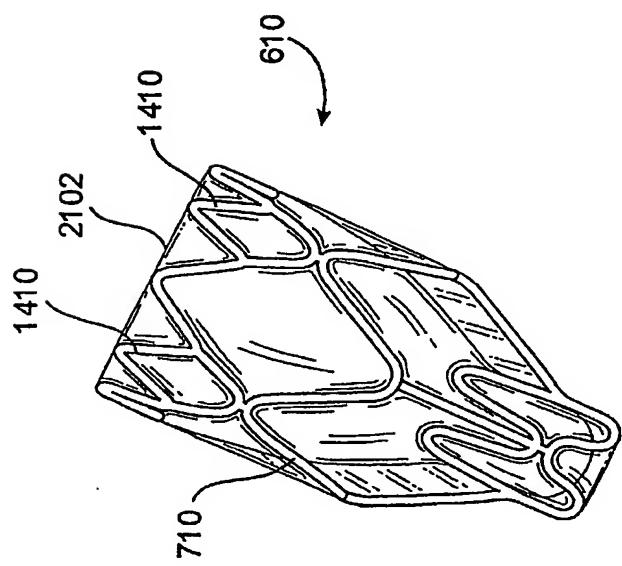
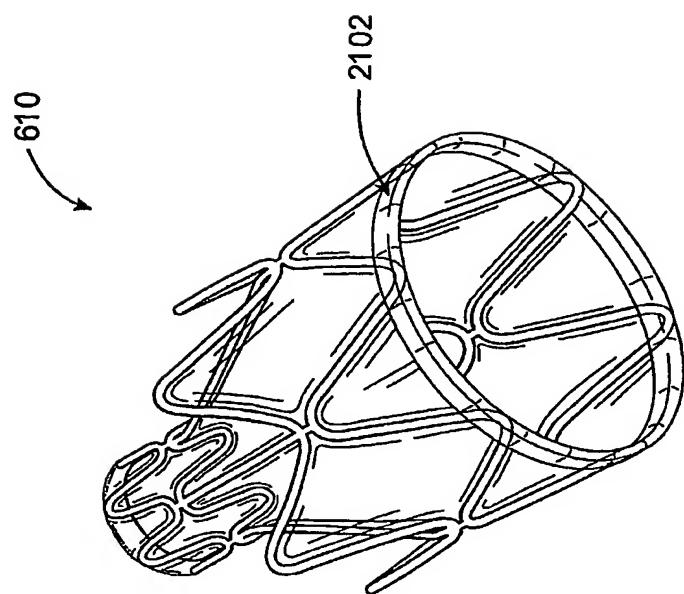


FIG. 19

19 / 27



20 / 27

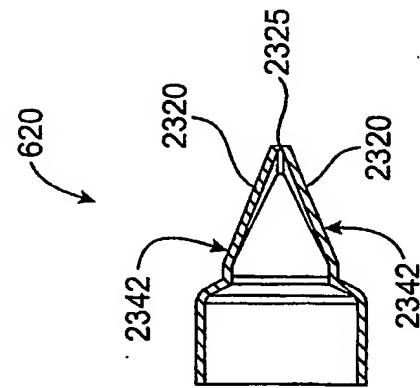


FIG. 25

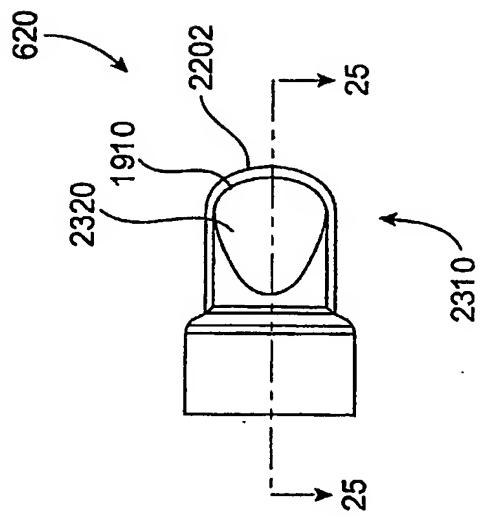


FIG. 24

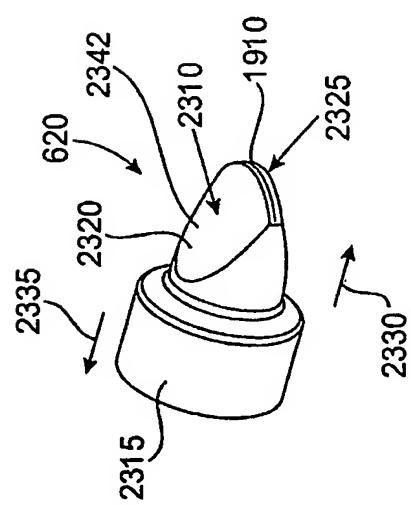


FIG. 23

21 / 27

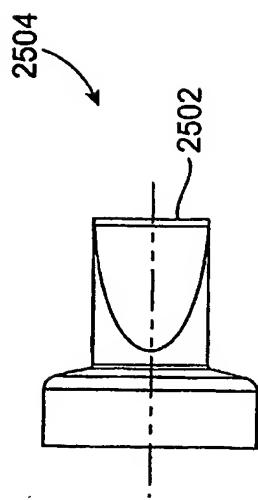


FIG. 27

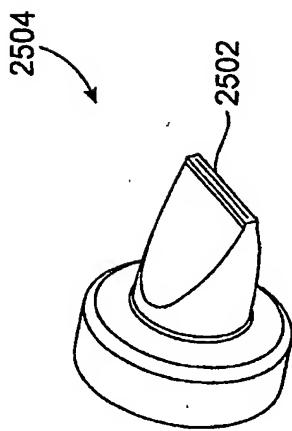
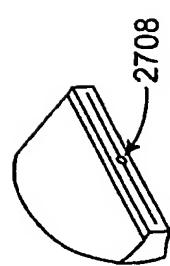
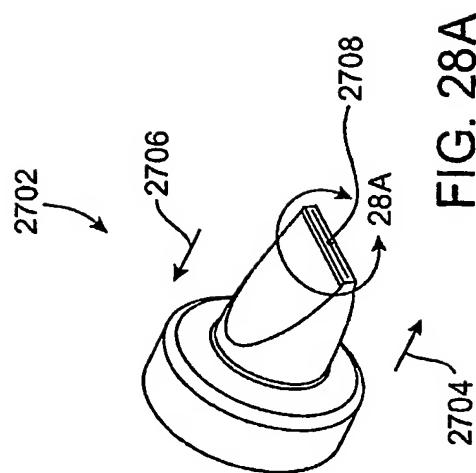


FIG. 26

22 / 27



23 / 27

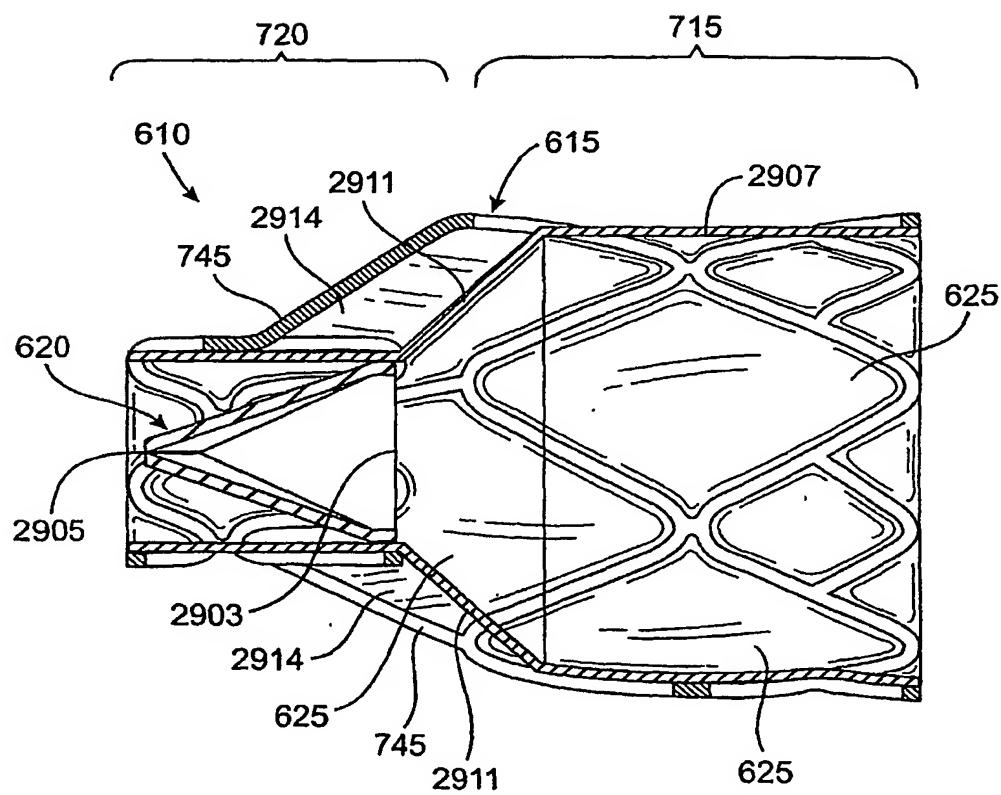


FIG. 29

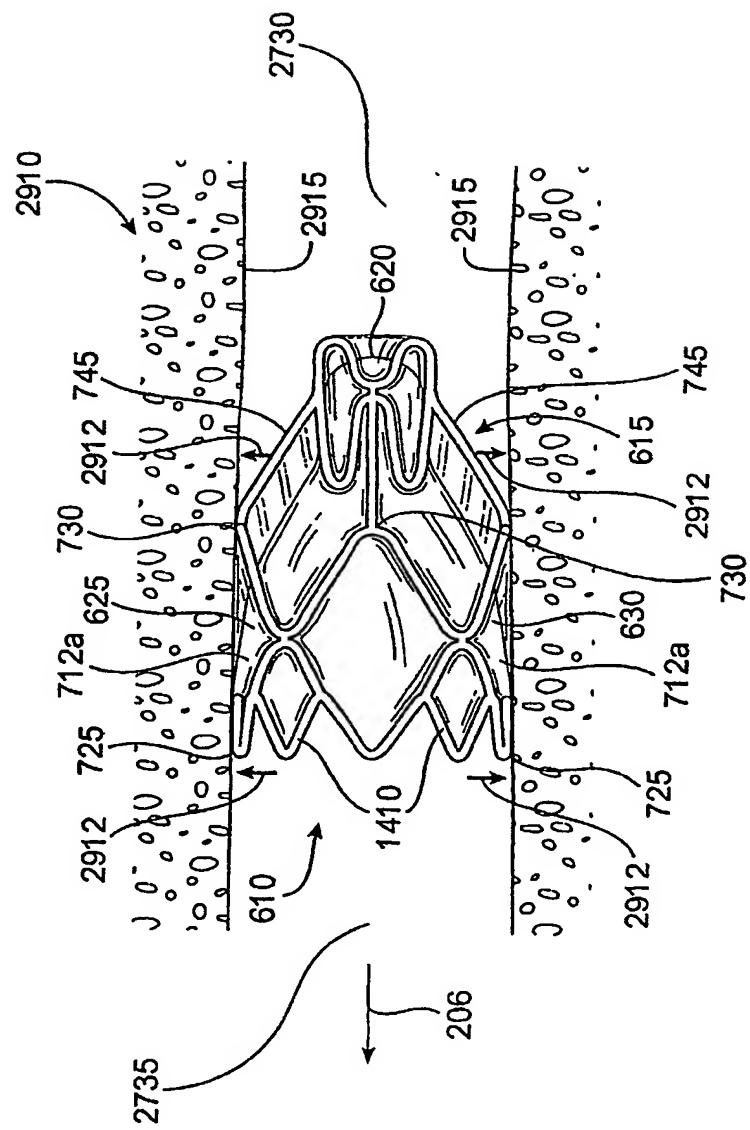


FIG. 30

25 / 27

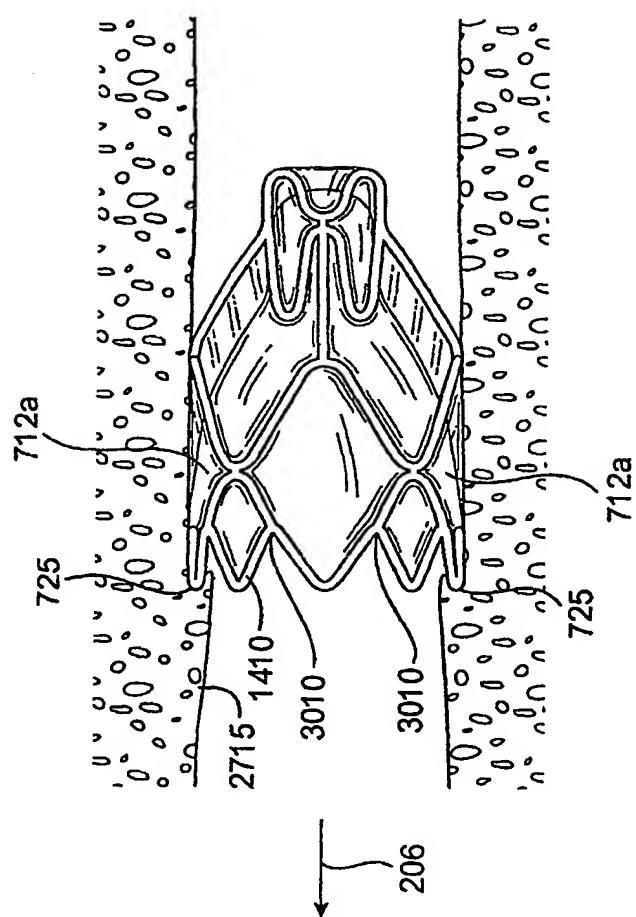


FIG. 31

26 / 27

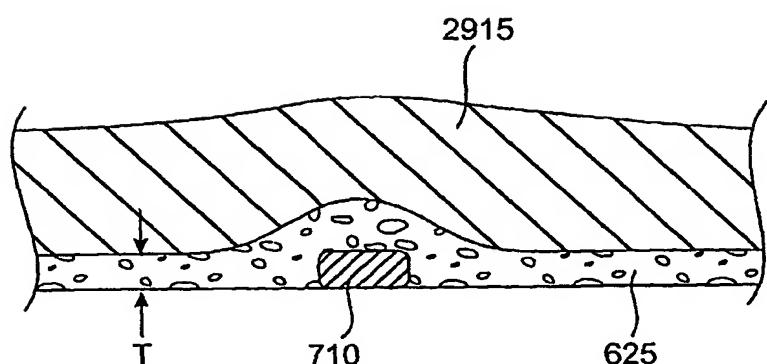


FIG. 32A

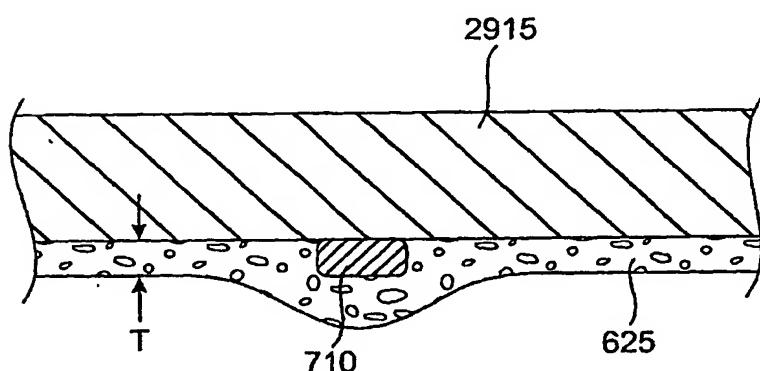


FIG. 32B

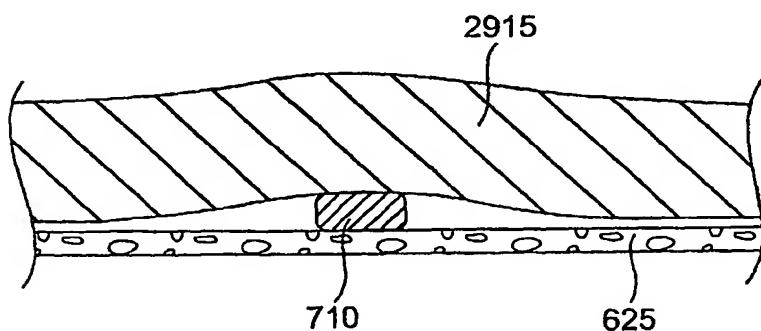


FIG. 32C

27 / 27

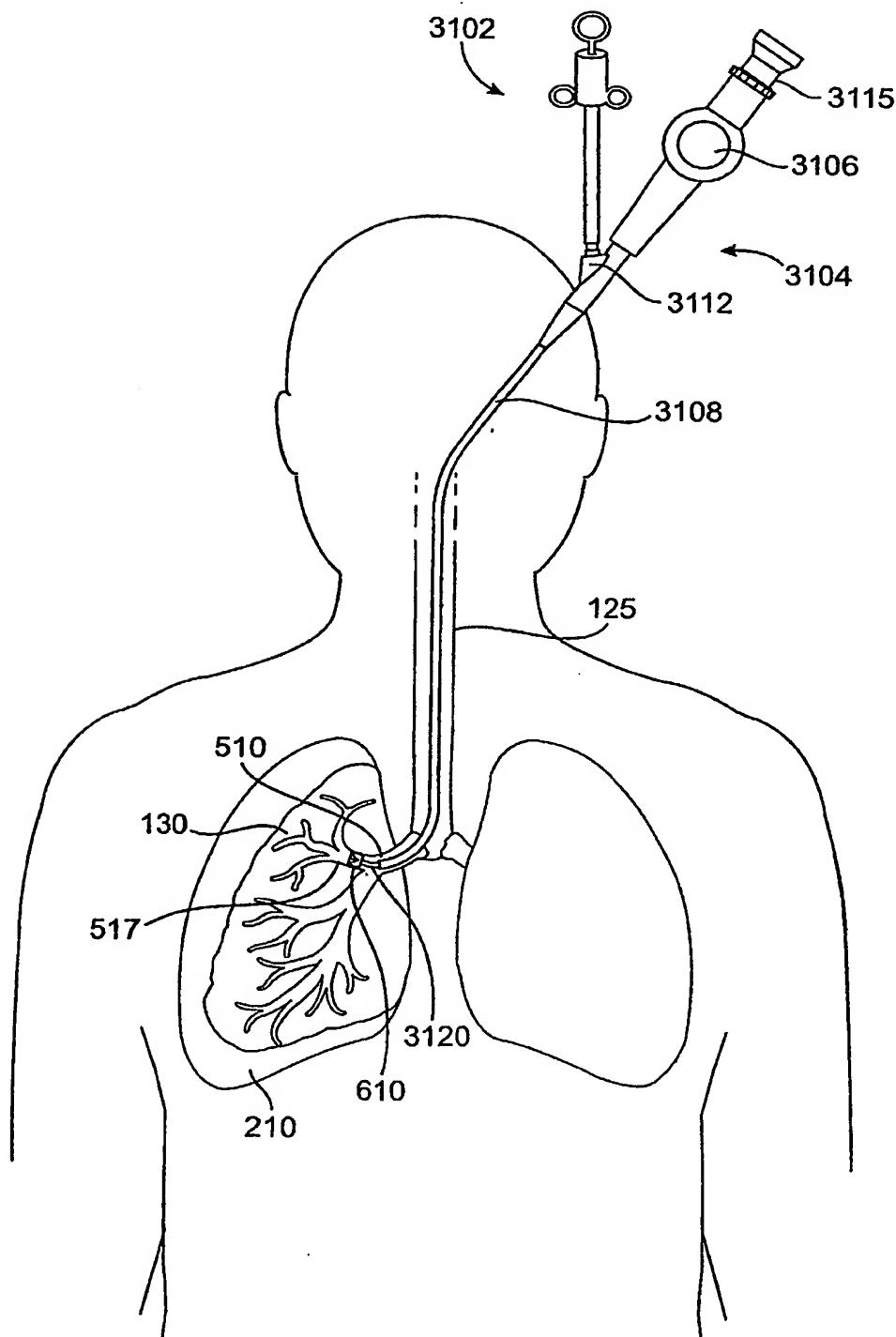


FIG. 33
SUBSTITUTE SHEET (RULE 26)

(43) International Publication Date
5 February 2004 (05.02.2004)

PCT

(10) International Publication Number
WO 2004/010845 A3

(51) International Patent Classification⁷: A61B 17/12, A61F 2/24, 2/00

(21) International Application Number: PCT/US2003/023295

(22) International Filing Date: 25 July 2003 (25.07.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/399,273 26 July 2002 (26.07.2002) US
60/429,902 27 November 2002 (27.11.2002) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier applications:
US 60/399,273 (CIP)
Filed on 26 July 2002 (26.07.2002)
US 60/429,902 (CIP)
Filed on 27 November 2002 (27.11.2002)

(71) Applicant (for all designated States except US): EMPHASYS MEDICAL, INC. [US/US]; 2686 Middlefield Road, Suite A, Redwood City, CA 94063 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): HENDRICKSEN, Michael [US/US]; 1733 Virginia Avenue, Redwood City, CA 94061 (US). WILSON, Peter, M. [US/US]; 1595 Adobe Drive, Pacifica, CA 95044 (US). HUNDERTMARK, Ronald [US/US]; 422 Alder Lane, San Mateo,

CA 94403 (US). FIELDS, Antony, J. [US/US]; 87 Vicksburg Street, San Francisco, CA 94114 (US).

(74) Agents: SEIDMAN, Stephanie, L. et al.; Fish & Richardson P.C., 12390 El Camino Real, San Diego, CA 92130 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

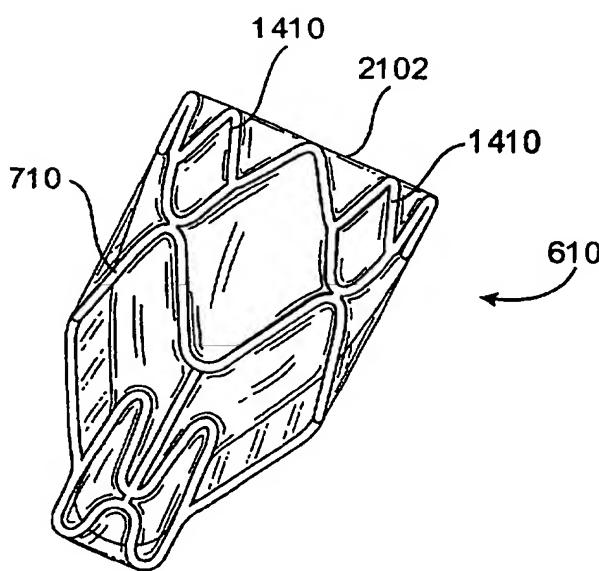
(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJK, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, BE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

— as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD,

[Continued on next page]

(54) Title: BRONCHIAL FLOW CONTROL DEVICES WITH MEMBRANE SEAL



(57) Abstract: Disclosed is a flow control device (610) for a bronchial passageway (15). The device can include a valve member (620) that regulates fluid flow through the flow control device (610), a frame (615) coupled to the valve member (620), and a membrane (625) attached to the frame. At least a portion of the flow control device (610) forms a seal with the interior wall of the bronchial passageway (15) when the flow control device (610) is implanted in the bronchial passageway (15). The membrane (625) forms a fluid pathway from the seal into the valve member (620) to direct fluid flowing through the bronchial passageway (15) into the valve member (610).



MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

— as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

— as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

Published:

— with international search report

(88) Date of publication of the international search report: 10 June 2004

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

INTERNATIONAL SEARCH REPORT

Int'l	Application No
PCT/US 03/23295	

A. CLASSIFICATION OF SUBJECT MATTER
 IPC 7 A61B17/12 A61F2/24 A61F2/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
 IPC 7 A61B A61F A63B A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 954 766 A (MARANO-FORD APRIL ET AL) 21 September 1999 (1999-09-21)	1-7, 9-44
A	abstract; figures column 2, line 26 -column 3, line 46 ---	8
Y	WO 01 66190 A (EMPHASYS MEDICAL INC) 13 September 2001 (2001-09-13)	1-7, 9-44
A	abstract; figures page 6, line 24-30 page 7, line 23 -page 8, line 30 page 9, line 19-32; figure 6 page 12, line 31 -page 15, line 25; figures 12-20 page 20, line 16-34 ---	8
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

7 November 2003

Date of mailing of the international search report

10/12/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Lager, J

INTERNATIONAL SEARCH REPORT

Inter'l Application No
PCT/US 03/23295

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT		
Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 01 87170 A (SHAW DAVID PETER ;SHAW MEDICAL INV S LTD (NZ)) 22 November 2001 (2001-11-22) abstract; figures page 2, line 19-27 page 4, line 2-16 page 6, line 3 -page 8, line 2; figure 8 ---	1-44
A	US 6 193 748 B1 (CLERC CLAUDE ET AL) 27 February 2001 (2001-02-27) abstract; figures ---	
A	US 6 110 201 A (LOYA ROBERT ET AL) 29 August 2000 (2000-08-29) abstract; figures -----	

INTERNATIONAL SEARCH REPORT

ational application No.
PCT/US 03/23295

Box 1 Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: 45-69 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

Box II Observations where unity of Invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 45-69

Claims 1, 45, 54, 60 and 66 have been drafted as independent claims and have at least partly overlapping scope. Such a plurality of independent claims with overlapping scope makes it difficult or even impossible to clearly determine the subject-matter for which protection is sought, so that the claims as a whole fail to comply with the clarity and conciseness requirements of Article 6 PCT. Consequently, only the subject-matter being regarded as clear and concise, namely independent claim 1 and dependent claims 2-44 have been searched.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

Information on patent family members

 Inte
al Application No
PCT/US 03/23295

Patent document cited in search report	Publication date	Patent family member(s)		Publication date
US 5954766	A 21-09-1999	EP 1023007 A1		02-08-2000
		US 2002095209 A1		18-07-2002
		US 2002077696 A1		20-06-2002
		WO 9913801 A1		25-03-1999
		US 6632243 B1		14-10-2003
		US 2003199972 A1		23-10-2003
WO 0166190	A 13-09-2001	AU 4341601 A		17-09-2001
		CA 2401331 A1		13-09-2001
		WO 0166190 A2		13-09-2001
		US 2003075169 A1		24-04-2003
		US 2003070683 A1		17-04-2003
		US 2003075170 A1		24-04-2003
		US 2003192550 A1		16-10-2003
		US 2003192551 A1		16-10-2003
		US 2001037808 A1		08-11-2001
WO 0187170	A 22-11-2001	AU 6084001 A		26-11-2001
		CA 2408923 A1		22-11-2001
		EP 1284663 A1		26-02-2003
		WO 0187170 A1		22-11-2001
		US 2003164168 A1		04-09-2003
US 6193748	B1 27-02-2001	US 5919224 A		06-07-1999
		CA 2229315 A1		12-08-1998
		EP 0864301 A1		16-09-1998
		JP 10225458 A		25-08-1998
US 6110201	A 29-08-2000	AU 3702300 A		04-09-2000
		EP 1152712 A1		14-11-2001
		WO 0048531 A1		24-08-2000

CORRECTED VERSION

(19) World Intellectual Property Organization International Bureau



(43) International Publication Date
5 February 2004 (05.02.2004)

PCT

(10) International Publication Number
WO 2004/010845 A3

(51) International Patent Classification⁷: A61B 17/12, A61F 2/24, 2/00

(21) International Application Number: PCT/US2003/023295

(22) International Filing Date: 25 July 2003 (25.07.2003)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:
60/399,273 26 July 2002 (26.07.2002) US
60/429,902 27 November 2002 (27.11.2002) US

(63) Related by continuation (CON) or continuation-in-part (CIP) to earlier applications:
US 60/399,273 (CIP)
Filed on 26 July 2002 (26.07.2002)
US 60/429,902 (CIP)
Filed on 27 November 2002 (27.11.2002)

(71) Applicant (for all designated States except US): EMPHASYS MEDICAL, INC. [US/US]; 700 Chesapeake Drive, Redwood City, CA 94063 (US).

(72) Inventors; and
(75) Inventors/Applicants (for US only): HENDRICKSEN, Michael [US/US]; 1733 Virginia Avenue, Redwood City, CA 94061 (US). WILSON, Peter, M. [US/US]; 1595 Adobe Drive, Pacifica, CA 95044 (US). HUNDERT-MARK, Ronald [US/US]; 422 Alder Lane, San Mateo, CA 94403 (US). FIELDS, Antony, J. [US/US]; 87 Vicksburg Street, San Francisco, CA 94114 (US).

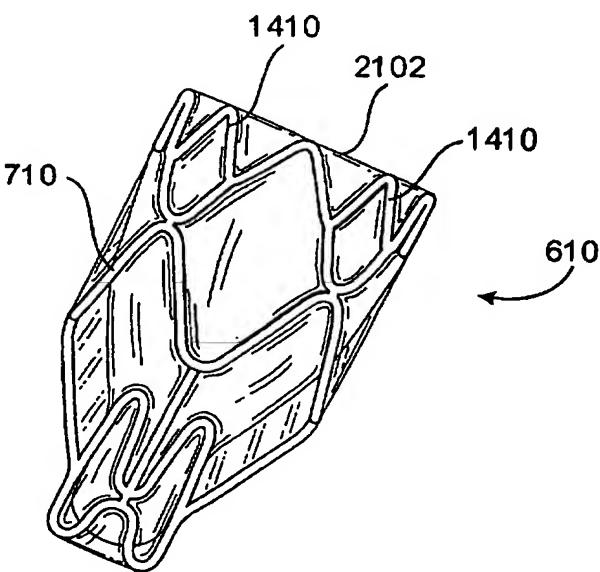
(74) Agents: SEIDMAN, Stephanie, L. et al.; Fish & Richardson P.C., 12390 El Camino Real, San Diego, CA 92130 (US).

(81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO,

[Continued on next page]

(54) Title: BRONCHIAL FLOW CONTROL DEVICES WITH MEMBRANE SEAL



(57) Abstract: Disclosed is a flow control device (610) for a bronchial passageway (15). The device can include a valve member (620) that regulates fluid flow through the flow control device (610), a frame (615) coupled to the valve member (620), and a membrane (625) attached to the frame. At least a portion of the flow control device (610) forms a seal with the interior wall of the bronchial passageway (15) when the flow control device (610) is implanted in the bronchial passageway (15). The membrane (625) forms a fluid pathway from the seal into the valve member (620) to direct fluid flowing through the bronchial passageway (15) into the valve member (610).

WO 2004/010845 A3



SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Declarations under Rule 4.17:

- *as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*
- *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*

— *as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii)) for the following designations AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)*

Published:

- *with international search report*

(88) Date of publication of the international search report: 10 June 2004

(48) Date of publication of this corrected version: 16 September 2004

(15) Information about Correction:

see PCT Gazette No. 38/2004 of 16 September 2004, Section II

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

BRONCHIAL FLOW CONTROL DEVICES WITH MEMBRANE SEAL**TECHNICAL FIELD**

This invention relates generally to methods and devices for use in performing pulmonary procedures and, more particularly, to devices and 5 procedures for treating lung diseases.

BACKGROUND ART

Pulmonary diseases, such as chronic obstructive pulmonary disease, (COPD), reduce the ability of one or both lungs to fully expel air during the exhalation phase of the breathing cycle. The term "Chronic Obstructive 10 Pulmonary Disease" (COPD) refers to a group of diseases that share a major symptom, dyspnea. Such diseases are accompanied by chronic or recurrent obstruction to air flow within the lung. Because of the increase in environmental pollutants, cigarette smoking, and other noxious exposures, the incidence of 15 COPD has increased dramatically in the last few decades and now ranks as a major cause of activity-restricting or bed-confining disability in the United States. COPD can include such disorders as chronic bronchitis, bronchiectasis, asthma, and emphysema. While each has distinct anatomic and clinical 20 considerations, many patients may have overlapping characteristics of damage at both the acinar (as seen in emphysema) and the bronchial (as seen in bronchitis) levels.

Emphysema is a condition of the lung characterized by the abnormal permanent enlargement of the airspaces distal to the terminal bronchiole, accompanied by the destruction of their walls, and without obvious fibrosis. It is

known that emphysema and other pulmonary diseases reduce the ability of one or both lungs to fully expel air during the exhalation phase of the breathing cycle. One of the effects of such diseases is that the diseased lung tissue is less elastic than healthy lung tissue, which is one factor that prevents full

5 exhalation of air. During breathing, the diseased portion of the lung does not fully recoil due to the diseased (e.g., emphysematic) lung tissue being less elastic than healthy tissue. Consequently, the diseased lung tissue exerts a relatively low driving force, which results in the diseased lung expelling less air volume than a healthy lung.

10 The problem is further compounded by the diseased, less elastic tissue that surrounds the very narrow airways that lead to the alveoli, which are the air sacs where oxygen-carbon dioxide exchange occurs. The diseased tissue has less tone than healthy tissue and is typically unable to maintain the narrow airways open until the end of the exhalation cycle. This traps air in the lungs
15 and exacerbates the already-inefficient breathing cycle. The trapped air causes the tissue to become hyper-expanded and no longer able to effect efficient oxygen-carbon dioxide exchange.

In addition, hyper-expanded, diseased lung tissue occupies more of the pleural space than healthy lung tissue. In most cases, a portion of the lung is
20 diseased while the remaining part is relatively healthy and, therefore, still able to somewhat efficiently carry out oxygen exchange. By taking up more of the pleural space, the hyper-expanded lung tissue reduces the amount of space available to accommodate the healthy, functioning lung tissue. As a result, the

hyper-expanded lung tissue causes inefficient breathing due to its own reduced functionality and because it adversely affects the functionality of adjacent relatively healthier tissue.

Lung reduction surgery is a conventional method of treating emphysema.

5 According to the lung reduction procedure, a diseased portion of the lung is surgically removed, which makes more of the pleural space available to accommodate the functioning, healthy portions of the lung. The lung is typically accessed through a median sternotomy or small lateral thoracotomy. A portion of the lung, typically the periphery of the upper lobe, is freed from the chest wall

10 and then resected, e.g., by a stapler lined with bovine pericardium to reinforce the lung tissue adjacent the cut line and also to prevent air or blood leakage. The chest is then closed and tubes are inserted to remove air and fluid from the pleural cavity. The conventional surgical approach is relatively traumatic and invasive, and, like most surgical procedures, is not a viable option for all

15 patients.

Some recently proposed treatments include the use of devices that isolate a diseased region of the lung in order to reduce the volume of the diseased region, such as by collapsing the diseased lung region. According to such treatments, isolation devices are implanted in airways feeding the targeted

20 region of the lung to regulate fluid flow to the diseased lung region in order to fluidly isolate the region of the lung. These implanted isolation devices can be, for example, one-way valves that allow flow in the exhalation direction only, occluders or plugs that prevent flow in either direction, or two-way valves that

control flow in both directions. However, such devices are still in the development stages. Thus, there is much need for improvement in the design and functionality of such isolation devices.

In view of the foregoing, there is a need for improved methods and
5 devices for regulating fluid flow to a diseased lung region.

DISCLOSURE OF INVENTION

Disclosed are methods and devices for regulating fluid flow to and from a region of a patient's lung, such as to achieve a desired fluid flow dynamic to a lung region during respiration and/or to induce collapse in one or more lung regions. In one aspect of the invention, there is disclosed a flow control device for a bronchial passageway. The flow control device comprises a valve member that regulates fluid flow through the flow control device, the valve having a default shape. The device further comprises a frame coupled to the valve member, the frame including a valve protector region that at least partially surrounds the valve member to maintain the default shape; and a retainer region connected to the valve protector region, the retainer region being formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein. The retainer region is movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway. The device further comprises a membrane covering at least a portion of the retainer region, wherein at least a portion of the flow control device forms a seal with the interior

wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

5 Also disclosed is a flow control device for a bronchial passageway. The device comprises a valve member that regulates fluid flow through the flow control device and has a default shape. The device further comprises a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed 10 location therein. The frame is movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway. The device further comprises a valve protector at least partially surrounding the valve member configured to maintain the valve member in the default shape, the valve 15 protector being collapsible from the default shape to a collapsed shape. The device further comprises a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway 20 from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

Also disclosed is a flow control device for a bronchial passageway. The device comprises a valve member that regulates fluid flow through the flow

control device; a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and at least one retention prong extending from the frame and configured to engage the interior wall of the bronchial passageway to resist migration therein.

5

Also disclosed is a flow control device for a bronchial passageway, the flow control device comprises a valve member that regulates fluid flow through the flow control device; a frame configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

10

15

20

Also disclosed is a flow control device for a bronchial passageway. The device comprises a valve member that regulates fluid flow through the flow control device; a frame coupled to the valve member; and a membrane

attached to the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane forms a fluid pathway from the seal into the valve member to direct fluid flowing 5 through the bronchial passageway into the valve member.

Other features and advantages of the present invention should be apparent from the following description of various embodiments, which illustrate, by way of example, the principles of the invention.

BRIEF DESCRIPTION OF THE DRAWINGS

10 Figure 1 shows an anterior view of a pair of human lungs and a bronchial tree with a bronchial isolation device implanted in a bronchial passageway to bronchially isolate a region of the lung.

 Figure 2 shows an anterior view of a pair of human lungs and a bronchial tree.

15 Figure 3 shows a lateral view of the right lung.

 Figure 4 shows a lateral view of the left lung.

 Figure 5 shows an anterior view of the trachea and a portion of the bronchial tree.

 Figure 6 shows a perspective view of a bronchial isolation device.

20 Figure 7 shows a side view of a frame of the bronchial isolation device.

 Figure 8 shows a front view of the frame of Figure 7.

 Figure 9 shows the frame of Figure 10 in a contracted state.

Figure 10 shows another embodiment of the frame of the bronchial isolation device.

Figure 11 shows another embodiment of the frame of the bronchial isolation device.

5 Figure 12 shows another embodiment of a bronchial isolation device including a frame with dual retainer portions.

Figure 13 shows an embodiment of the frame with cross struts, the frame in an expanded, annular state.

10 Figure 14 shows another embodiment of the frame with retention prongs.

Figure 15 shows a front view of another embodiment of the frame.

Figure 16 shows a cross-sectional, side view of the frame of Figure 16 along line 16-16 of Figure 15.

15 Figure 17A-17D each show a cross-sectional view of a portion of a frame strut and an embodiment of the membrane of the bronchial isolation device, the view along the line 17-17 of Figure 13.

Figure 18 shows a step in the process of forming a membrane on the frame of the bronchial isolation device.

Figure 19 shows another step in the process of forming a membrane on the frame of the bronchial isolation device.

20 Figure 20 shows another step in the process of forming a membrane on the frame of the bronchial isolation device.

Figure 21 shows an embodiment of the flow control device having an untrimmed membrane edge.

Figure 22 shows an embodiment of the flow control device having a reinforced membrane edge.

Figure 23 shows a perspective view of a duckbill valve member of the bronchial isolation device.

5 Figure 24 shows a top view of the duckbill valve member of Figure 23.

Figure 25 shows a cross-sectional, side view of the duckbill valve member of Figure 23.

Figure 26 shows a perspective view of another embodiment of a duckbill valve member with a straight leading edge.

10 Figure 27 shows a top view of the duckbill valve member of Figure 26.

Figure 28A shows a perspective view of an embodiment of a one-way duckbill valve that provides a controlled flow in a reverse direction.

Figure 28B shows an enlarged, perspective view of a mouth region of the valve of Figure 28A.

15 Figure 29 shows a cross-sectional view of the bronchial isolation device employing the frame of Figure 15 and 16.

Figure 30 shows a bronchial isolation device deployed in a bronchial passageway.

20 Figure 31 shows a bronchial isolation device deployed in a bronchial passageway and partially embedded in a bronchial wall of the passageway.

Figure 32A-32C each show an enlarged view of the portion of the flow control device that forms a seal with the bronchial wall.

Figure 33 shows a bronchoscope deployed within a bronchial tree of a patient for delivering a bronchial isolation device into a bronchial passageway.

BEST MODE FOR CARRYING OUT THE INVENTION

Unless defined otherwise, all technical and scientific terms used herein 5 have the same meaning as is commonly understood by one of skill in the art to which the invention(s) belong. Disclosed are various devices and method for treating bronchopulmonary diseases.

Disclosed are various embodiments of bronchial isolation devices 610 that can be used to isolate a diseased region of the lung in order to modify the 10 air flow to the lung region or to achieve volume reduction or collapse of the lung region. One or more of the bronchial isolation devices 610 are implanted in bronchial passageways that feed fluid to a targeted region of the lung. The bronchial isolation devices 610 block or regulate fluid flow to the diseased lung region through one or more bronchial passageways that feed air to the targeted 15 lung region.

As shown in Figure 1, the bronchial isolation of the targeted lung region is accomplished by implanting a bronchial isolation device 610 into a bronchial passageway 15 that feeds air to a targeted lung region 20. The bronchial isolation device 610 regulates airflow through the bronchial passageway 15 in 20 which the bronchial isolation device 10 is implanted. Various embodiments of bronchial isolation devices are described herein.

Exemplary Lung Regions

Throughout this disclosure, reference is made to the term "lung region". As used herein, the term "lung region" refers to a defined division or portion of a lung. For purposes of example, lung regions are described herein with

5 reference to human lungs, wherein some exemplary lung regions include lung lobes and lung segments. Thus, the term "lung region" as used herein can refer, for example, to a lung lobe or a lung segment. Such nomenclature conforms to nomenclature for portions of the lungs that are known to those skilled in the art. However, it should be appreciated that the term "lung region" does not

10 necessarily refer to a lung lobe or a lung segment, but can refer to some other defined division or portion of a human or non-human lung.

Figure 2 shows an anterior view of a pair of human lungs 110, 115 and a bronchial tree 120 that provides a fluid pathway into and out of the lungs 110, 115 from a trachea 125, as will be known to those skilled in the art. As used

15 herein, the term "fluid" can refer to a gas, a liquid, or a combination of gas(es) and liquid(s). For clarity of illustration, Figure 2 shows only a portion of the bronchial tree 120, which is described in more detail below with reference to Figure 5.

Throughout this description, certain terms are used that refer to relative

20 directions or locations along a path defined from an entryway into the patient's body (e.g., the mouth or nose) to the patient's lungs. The path of airflow into the lungs generally begins at the patient's mouth or nose, travels through the trachea into one or more bronchial passageways, and terminates at some point

in the patient's lungs. For example, Figure 2 shows a path 102 that travels through the trachea 125 and through a bronchial passageway into a location in the right lung 110. The term "proximal direction" refers to the direction along such a path 102 that points toward the patient's mouth or nose and away from the patient's lungs. In other words, the proximal direction is generally the same as the expiration direction when the patient breathes. The arrow 104 in Figure 2 points in the proximal or expiratory direction. The term "distal direction" refers to the direction along such a path 102 that points toward the patient's lung and away from the mouth or nose. The distal direction is generally the same as the inhalation or inspiratory direction when the patient breathes. The arrow 106 in Figure 2 points in the distal or inhalation direction.

The lungs include a right lung 110 and a left lung 115. The right lung 110 includes lung regions comprised of three lobes, including a right upper lobe 130, a right middle lobe 135, and a right lower lobe 140. The lobes 130, 135, 140 are separated by two interlobar fissures, including a right oblique fissure 126 and a right transverse fissure 128. The right oblique fissure 126 separates the right lower lobe 140 from the right upper lobe 130 and from the right middle lobe 135. The right transverse fissure 128 separates the right upper lobe 130 from the right middle lobe 135.

As shown in Figure 2, the left lung 115 includes lung regions comprised of two lobes, including the left upper lobe 150 and the left lower lobe 155. An interlobar fissure comprised of a left oblique fissure 145 of the left lung 115 separates the left upper lobe 150 from the left lower lobe 155. The lobes 130,

135, 140, 150, 155 are directly supplied air via respective lobar bronchi, as described in detail below.

Figure 3 is a lateral view of the right lung 110. The right lung 110 is subdivided into lung regions comprised of a plurality of bronchopulmonary segments. Each bronchopulmonary segment is directly supplied air by a corresponding segmental tertiary bronchus, as described below. The bronchopulmonary segments of the right lung 110 include a right apical segment 210, a right posterior segment 220, and a right anterior segment 230, all of which are disposed in the right upper lobe 130. The right lung 10 bronchopulmonary segments further include a right lateral segment 240 and a right medial segment 250, which are disposed in the right middle lobe 135. The right lower lobe 140 includes bronchopulmonary segments comprised of a right superior segment 260, a right medial basal segment (which cannot be seen from the lateral view and is not shown in Figure 3), a right anterior basal segment 280, a right lateral basal segment 290, and a right posterior basal segment 295.

Figure 4 shows a lateral view of the left lung 115, which is subdivided into lung regions comprised of a plurality of bronchopulmonary segments. The bronchopulmonary segments include a left apical segment 310, a left posterior segment 320, a left anterior segment 330, a left superior segment 340, and a left inferior segment 350, which are disposed in the left lung upper lobe 150. The lower lobe 155 of the left lung 115 includes bronchopulmonary segments comprised of a left superior segment 360, a left medial basal segment (which ..

cannot be seen from the lateral view and is not shown in Figure 4), a left anterior basal segment 380, a left lateral basal segment 390, and a left posterior basal segment 395.

Figure 5 shows an anterior view of the trachea 125 and a portion of the 5 bronchial tree 120, which includes a network of bronchial passageways, as described below. In the context of describing the lung, the terms "pathway" and "lumen" are used interchangeably herein. The trachea 125 divides at a lower end into two bronchial passageways comprised of primary bronchi, including a right primary bronchus 410 that provides direct air flow to the right lung 110, and 10 a left primary bronchus 415 that provides direct air flow to the left lung 115. Each primary bronchus 410, 415 divides into a next generation of bronchial passageways comprised of a plurality of lobar bronchi. The right primary bronchus 410 divides into a right upper lobar bronchus 417, a right middle lobar bronchus 420, and a right lower lobar bronchus 422. The left primary bronchus 15 415 divides into a left upper lobar bronchus 425 and a left lower lobar bronchus 430. Each lobar bronchus, 417, 420, 422, 425, 430 directly feeds fluid to a respective lung lobe, as indicated by the respective names of the lobar bronchi. The lobar bronchi each divide into yet another generation of bronchial passageways comprised of segmental bronchi, which provide air flow to the 20 bronchopulmonary segments discussed above.

As is known to those skilled in the art, a bronchial passageway defines an internal lumen through which fluid can flow to and from a lung or lung region. The diameter of the internal lumen for a specific bronchial passageway can vary

based on the bronchial passageway's location in the bronchial tree (such as whether the bronchial passageway is a lobar bronchus or a segmental bronchus) and can also vary from patient to patient. However, the internal diameter of a bronchial passageway is generally in the range of 3 millimeters

5 (mm) to 10 mm, although the internal diameter of a bronchial passageway can be outside of this range. For example, a bronchial passageway can have an internal diameter of well below 1 mm at locations deep within the lung.

Bronchial Isolation Device

Figure 6 shows a perspective view of a bronchial isolation device 610

10 that includes a frame 615, a valve member 620 mounted in the frame 615, and a membrane 625. As mentioned above, the bronchial isolation device 610 can be positioned within a bronchial passageway to regulate fluid flow through the bronchial passageway.

Frame

15 Figure 7 shows a side, perspective view of a first embodiment of the frame 615, which includes a retainer portion 715 and an integrally-connected valve protector portion 720. The frame 615 is comprised of a plurality of interconnected struts 710 that collectively form the outer periphery of the bronchial isolation device 610. As shown in the front view of Figure 8, at least

20 some of the struts 710 are collectively arranged in an annular configuration such that the shape of the frame 615 approximates the internal shape of a bronchial passageway.

With reference to Figure 7, the struts 710 are arranged so as to form a plurality of diamond-shaped cells 712. The cells are referred to generally using the reference numeral 712. Cells within the retainer portion 715 are referred to as "retainer cells 712a" and cells within the valve protector portion 720 are referred to as "valve protector cells 712b." The cells 712 can vary in size and shape. For example, the retainer cells 712a are longer and wider in size than the valve protector cells 712b. It should be appreciated that the size, shape and quantity of the struts 710 and the cells 712 can vary. For example, the struts 710 can be arranged in a z-pattern or a "zig-zag" pattern.

5 The retainer portion 715 of the frame 615 has a diameter that is larger than the diameter of the valve protector portion 720. When the bronchial isolation device 610 is deployed within a bronchial passageway, the diameter of the retainer portion 715 is sufficiently large to cause the retainer portion 715 to press against and anchor to the walls of the bronchial passageway to secure

10 the bronchial isolation device 610 in a fixed location relative to the bronchial passageway. Each retainer cell 712a of the frame 615 may be shaped to have a curved, distal edge 725 and a curved, proximal edge 730 that both assist in anchoring the retainer portion 715 to the bronchial passageway, as described more fully below. Each cell 712a is attached to an adjacent cell 712b at a cell

15 junction 735.

16 The valve protector portion 720 has valve protector cells 712b, which are smaller than the retainer cells 712a of the retainer portion 715 in order to compensate for the smaller diameter of the valve

20

With reference still to Figure 7, the valve protector portion 720 has valve protector cells 712b, which are smaller than the retainer cells 712a of the retainer portion 715 in order to compensate for the smaller diameter of the valve

protector portion 720. Each valve protector cell 712b may have a curved, distal edge 735 and a curved, proximal edge 740. As mentioned, the size and shape of the valve protector cells 712b and the retainer cells 712a can vary. The valve protector portion 720 at least partially surrounds the valve member 620 to

5 maintain a default shape of the valve member, as described more fully below. The valve protector portion 720 can be rigid or flexible and it can be configured to collapse and expand.

A plurality of linking struts 745 connect the valve protector portion 720 to the retainer portion 715 of the frame 615. In the embodiment shown in Figure

10 7, at least one linking strut 745 connects each retainer cell 712a to each valve protector cell 712b. In this regard, each linking strut 745 has a first end that connects to the curved, proximal edge 730 of a respective retainer cell 712a. Each linking strut 745 also has a second end that connects to a cell junction 735 between two valve protector cells 712b or to a distal point of a zig-zag strut

15 of the valve protector portion 720. The linking struts 745 extend in a longitudinal direction from the valve protector portion 720 to the retainer portion 715. The linking struts 745 can be manufactured to be stiff or flexible.

The linking struts 745 curve radially outward from the valve protector portion 715 to provide the frame 615 with a smoothly-shaped transition between

20 the retainer portion 715 and the valve protector portion 720 so as to eliminate sharp edges. As can be seen in Figure 7, the linking struts 745 have a smooth, curved contour without sharp edges to lessen the likelihood of the frame 615 digging into the bronchial wall during removal of the bronchial isolation device

610. In particular, the connection between the curved, proximal edges 730 of the retainer cells 712a and the first end of each linking strut 745 eliminates the proximal edges 730 of the retainer cells 712a from hanging in midair, which reduces the likelihood of the proximal edges 730 digging into the bronchial wall

5 during removal. The linking struts 745 also provide a structural connection between the retainer portion 715 of the frame 615 and the distal edges 730 of the retainer cells 712a. This allows the distal edges 730 of the retainer cells 712a to be radially constricted by pulling or constricting the valve protector cells 712b, which assists removal of the bronchial flow control device 610 from a

10 bronchial passageway, as described in more detail below.

The frame 615 can transition between a contracted state and an expanded state. In the contracted state, the frame 615 has a diameter that is smaller than the diameter of the frame 615 in the expanded state. Figure 9 shows a perspective view of another embodiment of a frame 615 in the contracted state, wherein the struts 710 form a series of undulating loops that can be, for example, in a zig-zag pattern. The struts 710 are arranged close to one another so that the cells 712 are constricted and the frame 615 has a reduced diameter. (The frame 615 in Figure 9 is a different embodiment than the frame of Figure 7. The frame 615 in Figure 9 is the frame of Figure 10 in a contracted state.) Figure 7 shows the frame 615 in the expanded state, wherein the entire frame 615 is stretched radially-outward so that the struts 710 separate from one another to form enlarged cells 712. Thus, in the contracted state, the struts 710 can form at least two connected rows of undulating loops.

The connected rows of undulating loops transition to diamond-shaped cells in the expanded state. It should be appreciated that the pattern of the struts can vary in both the contracted and expanded states.

During transition from the contracted state to the expanded state, the

5 retainer portion 715 of the frame 615 radially expands to a larger diameter than the valve protector portion 720 so that the frame takes on the shape shown in Figure 7. When the frame 615 is in the compressed state, the bronchial isolation device 610 has a compressed profile that facilitates insertion of the bronchial isolation device 610 into a delivery device, such as a bronchoscope,

10 and also facilitates insertion into a bronchial passageway.

Various mechanisms can be employed to achieve the expanded and contracted states of the frame 615. In one embodiment, the frame 615 is manufactured of a malleable material. The frame 615 can be manually expanded to the anchoring state, such as by inserting an inflatable balloon

15 inside the frame 615 once the bronchial isolation device 610 is implanted in the bronchial passageway, and then inflating the balloon to expand the frame beyond the material's yield point into an interfering engagement with the wall of the bronchial passageway.

Another mechanism that can be employed to achieve the two-state frame

20 size is spring resilience. The insertion state can be achieved through a preconstraint of the frame 615 within the elastic range of the frame material. Once positioned in the bronchial passageway, the frame 615 can be released from constraint so that spring resilience causes it to expand into an anchoring

state. Constraining tubes or other mechanisms may achieve the initial insertion state.

Another mechanism that can be used to achieve both the contracted and the expanded states of the frame 615 is the shape memory characteristics of

5 certain materials such as certain nickel titanium alloys, including Nitinol. The transition temperature of the frame 615 could be at a predetermined temperature, such as below body temperature. Under such a circumstance, a frame 615 that is at a temperature below the transition temperature can be deformed into a shape that is suitable for insertion, and will stay in this

10 unrecovered state until the temperature is brought above the transition temperature. The unrecovered state of the frame 615 would be in an insertion position with the frame 615 having a smaller diameter. Upon recovery of the frame material, the frame 615 would expand, such as when the frame achieves a predetermined temperature within the bronchial passageway.

15 The frame 615 can be manufactured of a variety of bio-compatible materials. In one embodiment, the frame 615 is manufactured of a superelastic material, such as Nitinol, that is heat-treated to attain the shape shown in Figure 7. In one embodiment, the entire frame 615 is manufactured out of a single piece of tubing made out of Nitinol or some other material. Figure 9 shows an

20 isometric view of a tubular piece of material that has been cut into a pattern that forms the desired strut pattern. Once the material has been cut, such as through laser-cutting or chemical etching, the material is

expanded and optionally heat treated so that it attains the shape shown in Figure 10.

Alternatively, the frame may be manufactured from a flat piece of material that is cut into a pattern that forms the desired strut pattern, rolled into 5 an annular configuration and then the mating edges are welded, bonded or otherwise joined. The material, as before, is then expanded and optionally heat treated so that it attains the shape shown in Figure 10. It should be appreciated that the frame 615 can be manufactured of other materials, such as plastic or stainless steel

10 Figure 10 shows a perspective view of another embodiment of the frame 615 wherein the linking struts 745 are connected to different locations on the retainer portion 715 and valve protector portion 720 of the frame. For each linking strut 745, the first end of the linking strut 745 is connected to the retainer portion 715 at the cell junction 735 between a pair of retainer cells 712a. The 15 second end of the linking strut 745 is connected to a cell junction 735 between a pair of valve protector cells 712b. Thus, the curved, proximal edges 730 of the retainer cells 712a are cantilevered in that they are not connected to or supported by linking struts 745.

Figure 11 shows yet another embodiment of the frame 615. In this 20 embodiment, valve protector portion 720 of the frame 615 comprises a tube 1210 formed of a solid wall rather than a plurality of struts. The tube 1210 can include at least one removal window 1215 that can be grasped by a removal device (such as a set of jaws) during removal of the bronchial isolation device

610 from a bronchial passageway. The retainer portion 710 comprises a plurality of struts 710 that are shown arranged in a zig-zag pattern, although the struts 710 can also form cells, as in the previous embodiments, or can be in other patterns. The valve protector portion 720 comprised of the tube 1210

5 does not undergo any expansion or contraction, but rather retains its size. However, the retainer portion 715 can expand and contract as in the previous embodiments.

Figure 12 shows another embodiment of the frame 615 (including the membrane 625) that includes two retainer portions including a proximal retainer portion 715a and a distal retainer portion 715b. A central valve protector portion 720 is disposed in-between the proximal retainer portion 715a and the distal retainer portion 715b and connected thereto by linking struts 745. The retainer portions 715a,b have larger diameters than the valve protector portion 720 so that the frame 615 has an hourglass-like shape. It should be appreciated that

10 the diameter of the proximal retainer portion 715a is not necessarily equal to the diameter of the distal retainer portion 715b.

15

The dual retainer portions 715a, 715b can provide an increase in stability when the bronchial isolation device 610 is mounted in a bronchial passageway, as both retainer portions 715a, 715b provide independent anchors to the

20 bronchial wall. Both retainer portions 715a, 715b also provide seals against the bronchial wall in which the bronchial isolation device is mounted. Thus, if the anchor or seal in one of the retainer portions weakens or fails, the other anchor portion 715a, 715b can compensate for the weakened or failed seal.

It is important to prevent the bronchial isolation device from migrating in either the proximal or the distal direction after implantation in the bronchial passageway. It has been determined that migration in the proximal (i.e., exhalation) direction can occur when the patient coughs, resulting in pressure buildup behind the bronchial isolation device. This can lead to migration or expulsion of the device from the bronchial passageway. One mitigating factor that can reduce the likelihood of this occurring is that the bronchial passageways are constricted by the pressure of the cough, and this can cause the passageway to tend to grip the device more firmly in place. In addition, exhalation is inherently difficult for patients with emphysema, and has the effect of reducing the pressure that can build up behind the device during exhalation. In addition, the exhalation flow produced by coughing or breathing will preferentially flow through the valve, and will thus reduce the pressure that can build up behind the device. Migration in the distal (i.e., inhalation) direction can occur when the patient takes a deep inhalation breath, which can result in the device being "sucked" deeper into the lung. This effect is exacerbated by the fact that the bronchial lumens expand when air is inhaled, and this can lead to a less secure retention of the device in the airway. In view of the foregoing, it is desirable to have features on the frame 615 to prevent or limit migration of the device after implantation, such as to prevent or limit migration in the distal direction.

Figures 13-16 show embodiments of the frame 615 that include features that prevent or limit migration of the device in the proximal or distal direction

once the device is implanted in a bronchial passageway. In one embodiment, the frame 615 includes retention prongs comprised of cross struts that resist migration of the bronchial isolation device in a bronchial passageway, such as by limiting the depth that the cell portions of the frame can penetrate into a bronchial wall in which the bronchial isolation device 610 is located. Figure 13 shows the frame 615 in an expanded, annular state, wherein the frame includes at least one distal cross strut 1410 and at least one proximal cross strut 1415. The cross struts 1410, 1415 comprise additional struts or projections that have v-shape in the expanded state and extend outward between the struts of the frame 615. The cross struts 1410, 1415 can be loop shaped in the contracted state. The cross struts 1410, 1415 extend outward from the cells 712 at connection locations 1420, which serve as stopping points that limit the cells 712 from penetrating into a bronchial wall beyond a predetermined distance, as described in more detail below. The cross struts 1410, 1415 also form additional cells 1425 that can be engaged with the membrane 625 to provide additional sealing capability between the frame 615 and the bronchial wall. It should be appreciated that the cross struts 1410, 1415 can be positioned at various locations on the frame beside that which is shown in Figure 13.

Figure 14 shows another embodiment of the frame 615 with retention prongs 1610 that project radially-outward from the struts 710 in the expanded state. The prongs 1610 are bent so that they protrude radially-outward from the radial periphery of the retainer portion of the frame 615. When the bronchial isolation device 610 is implanted in a bronchial passageway, the prongs 1610

can at least partially sink in the bronchial wall tissue and prevent migration of the device in the distal direction. Unlike the distal cross struts 1410 that limit migration in the distal direction by limiting the depth of penetration of the cells 712, the retention prongs 1610 limit migration in the distal direction by fixing the 5 frame 615 to the bronchial wall and thus preventing the cells 712 from penetrating the bronchial wall tissue.

With reference to Figures 15 and 16, there is shown another embodiment of the frame 615. Figure 15 shows a front view of the frame 615 and Figure 16 shows a cross-sectional view of the frame 615. The valve member 620 is 10 shown in Figure 16 mounted within the valve protector portion 720. Figure 16 does not show the membrane 625 for clarity of illustration, although it should be appreciated that the membrane 625 would be attached to the frame 615 and the valve member 620 and would thereby secure the valve member 620 to the frame 615. The frame 615 includes distal cross struts 1410 that form distal cells 1425 that can be smaller than the retainer cells 712a. The cells 1425 can move and flex independently of the retainer cells 712a that form the remainder of the 15 retainer section 715 of the frame 615. This allows different portions of the retainer sections to independently conform to the shape of a bronchial wall.

Membrane

20 With reference again to Figure 13, the membrane 625 is connected to the frame 615. Figure 17A shows a cross-sectional view of a portion of the membrane 625 and a strut 710, cut along the line 17-17 of Figure 13. As shown in the embodiment of Figure 17A, the membrane 625 can fully encapsulate the

struts 710 of the frame 615 so that the membrane 625 is integrally attached to the frame 615. The encapsulation of the membrane 625 over the struts 710 can be accomplished by using a dipping manufacturing process described below. The membrane 625 can also be connected to the valve member 620.

5 As shown in Figure 13, the membrane 625 extends in a web-like manner between the struts 710 within the spaces of the cells 712 of the frame 615. The membrane 625 can be stretched in tension across the cells, although this is not necessary. As shown in Figure 17A, the membrane has a thickness T. The membrane 625 generally bulges outwardly from the struts 710 in the region 10 where the membrane 625 surrounds the struts. In one embodiment, the thickness T is not substantially larger than the diameter or thickness of the struts 710. In this manner, the membrane 625 does not substantially contribute to or increase the diameter of the frame 615. The membrane 625 can be disposed over the entire frame 615, including both the retainer portion 715 and 15 the valve protector portion 720, or the membrane can be disposed only over a portion of the frame, such as the enlarged-diameter retainer portion 715. It should be appreciated that the thickness T of the membrane can vary relative to the diameter of the struts 710 and that the thickness T need not be uniform across the entire membrane.

20 Figure 17B shows another embodiment of the configuration of the membrane 625 relative to the struts 710. In this embodiment, the membrane 710 does not entirely encapsulate the strut 710 but rather leaves a portion of the strut 710 uncovered or exposed. In this regard, the membrane 710 covers a

radially-outward portion 1602 and sides of the strut 710 and does not cover a radially-inward portion 1604 of the struts 710. An inner surface 1606 of the membrane 625 is positioned substantially flush with the radially-inward portion 1604 of the strut 710, thereby leaving the radially-inward portion 1604 exposed.

5 Figure 17C shows another embodiment of the configuration of the membrane 625 relative to the strut 710 wherein the membrane 625 does not entirely encapsulate the strut 710. In this embodiment, the membrane covers the radially-inward portion 1604 but does not cover the radially-outward portion 1602 of the strut 710. An outer surface 1608 of the membrane 625 is
10 positioned substantially flush with the radially-outward portion 1602 of the strut 710, thereby leaving the radially-outward portion 1602 exposed.

Figure 17D shows yet another embodiment of the configuration of the membrane 625 relative to the strut 710. In this embodiment, the membrane 625 is mounted on one side of the strut 710 and does not encapsulate or surround
15 the strut 710. Figure 17D shows the membrane 625 mounted on the radially-
inward portion 1604 of the strut 710 with the outer surface 1608 of the
membrane juxtaposed with the radially-inward portion 1604. It should be
appreciated that the membrane 625 can also be mounted on the radially-
outward portion 1602. Furthermore, it should be appreciated that the
20 membrane 625 can be mounted on the struts 710 in other manners and that the
membrane 625 can encapsulate other portions of the struts and leave various
portions of the struts 710 uncovered or exposed.

In one embodiment, the membrane 625 is disposed over the entire retainer portion of the frame 615 such that the retainer portion can expand and contract without causing the membrane 625 to wrinkle against the bronchial wall when disposed in a bronchial passageway. The membrane 625 can be thin and 5 elastic so that the membrane 625 can expand along with the frame 615 without inhibiting the frame from exerting a sufficient radial force to grip the bronchial wall. Thus, the membrane 625 does not inhibit the frame 615 from expanding or contracting, but rather expands and contracts according to any expansion or contraction of the frame 615.

10 The membrane 625 is desirably attached to the valve member 620 in a leak-free manner. That is, when the bronchial isolation device 610 is positioned in a bronchial passageway, fluid is prevented from flowing in-between the membrane 625 and the valve member, but must rather flow through a fluid-flow opening in the valve member 620. In this regard, the membrane 625 provides a 15 fluid pathway into an entry mouth of the valve member that directs fluid in a bronchial passageway into the valve member, as described more fully below. It is not necessary that the membrane 625 completely cover the self-expanding valve protector section of the frame or that the membrane 625 completely cover the valve member 620.

20 The membrane 625 is firmly attached to the self-expanding, retainer portion 715 of the frame 615. When the bronchial isolation device 610 is properly positioned within a bronchial passageway, the self-expanding retainer portion 715 radially expands to sealingly anchor against the bronchial

passageway wall. It should be appreciated that the seal can be either between the membrane 625 and the bronchial wall or the frame 615 and the bronchial wall, as described more fully below. Thus, the bronchial isolation device 610 seals inside the bronchial passageway, and flow of fluid around the bronchial 5 isolation device 610 is prevented in a desired direction, such as the inhalation direction or in the exhalation direction. In general, the bronchial isolation device 610 also prevents flow around the device in the exhalation direction, and the only flow that is allowed is through the valve member 620 in the exhalation direction. However, the self-expanding retainer portion 715 of the frame 615 10 can be configured to allow fluid flowing in the exhalation direction to flow between the frame and the bronchial lumen wall as well as through the one-way valve, yet still prevent flow in the inhalation direction (either through the one-way valve or between the frame and the bronchial lumen wall).

The flexible membrane 625 can be thin so that it provides a relatively 15 small amount of material that must be compressed when the bronchial isolation device 610 is in the compressed state. In one embodiment, the membrane 625 is contoured so as to increase the tendency of the membrane 625 to deform in a desired manner when the flow control device 610 is compressed. The thickness of the membrane 625 can vary. In one embodiment, the membrane 20 625 has a thickness in the range of about 0.0005 inch to about 0.005 inch, although other thickness outside this range are possible. In one embodiment, the membrane 625 has a thickness in the range of about 0.001 inch to about 0.010 inch. In one embodiment, the thickness of the membrane is 0.003 inch.

The flexible membrane 625 is formed of a biocompatible, flexible material, such as, for example, silicone, urethane, or a urethane/silicone composite. It should be appreciated that the membrane can be manufactured of other materials.

5 The thin membrane 625 can be manufactured according to a variety of processes. In an exemplary process, described with reference to Figure 18, the membrane 625 is manufactured by dipping a frame 615 into a dispersion 1810 of material used to manufacture the membrane 625. The dispersion 1810 is located within a container 1815. According to the exemplary process, the frame 10 615 is first expanded, such as to the maximum possible diameter. This may be accomplished, for example, by mounting the frame 615 onto a mounting tool, such as a mandrel 1820 that is sized to receive the frame 615. The frame 615 is then dipped into the dispersion 1810, such as in a dispersion of silicone, polyurethane or other polymer. In one exemplary embodiment, the dispersion 15 1810 is generated by mixing platinum cure silicone (uncured) with xylene. Another exemplary dispersion 1810 comprises polyurethane dissolved in a solvent such as THF (tetrahydrofuran) or DMAC (dimethyl acetamide).

When the frame 615 is dipped into the dispersion 1810, the material of the dispersion adheres to the frame 615. The adhesion of a polymeric 20 dispersion to a Nitinol frame can be enhanced with a primer such as a naphtha solution, which is especially useful for silicone membranes. After dipping the frame 615, the frame 615 is removed from the dispersion so that a portion of the

dispersion adheres to the frame 615. The dispersion is then allowed to cure and form the membrane 625.

The thickness of the membrane 625 can be controlled by adjusting the percent solids of the dispersion and the dip rate, or through the use of a dipping 5 mandrel, such as the mandrel 1810 shown in Figure 18. In one embodiment, the dipped frame 615 is rotated during the cure process about an axis that is either in a vertical orientation, a horizontal orientation, or both. The rotation of the frame 615 uniformly coats the dispersion about the frame 615. A variety of membrane thicknesses can be achieved using this process.

10 One specific method of applying the dispersion 1810 to the frame 615 is to dip the frame 615 into the dispersion 1810 (such as silicone), rotate the frame 615 to a horizontal position, and spin the frame 615 about a horizontal axis 1915 to evenly distribute the dispersion 1810 about the frame 615, as shown in Figure 19. After a period of time, such as about three minutes, the frame 615 is 15 rotated to a vertical position that is upside down relative to the original dipped position, and spun about a vertical axis 2010 to continue to evenly distribute the dispersion, as shown in Figure 20. The silicone can then be cured in an oven. One curing method includes a two stage cure. In the first stage, the silicone is cured at a first temperature for a first amount of time, such as at 140°F for 10 20 minutes. In the second stage, the silicone is cured at a second temperature for a second amount, such as at 325°F for 10 minutes.

As mentioned, the membrane 625 can have a contoured shape that increases the tendency of the membrane to deform in a desired manner when

the flow control device is compressed. The contours on the membrane 625 can be created by dipping a stent that has a number of closed cells or perimeters, such as the cells 712. The dispersion fills the cells and creates a surface tension within the cells, which creates a dispersion membrane across each 5 closed perimeter so that the membrane solidifies upon curing. The membrane contours can be altered by conducting the dipping process with the stent placed over a formed tool or mandrel 1820. In one embodiment, the mandrel 1820 is manufactured of a material that does not adhere to the dispersion, such as PFA or some other fluoropolymer, in order to eliminate or reduce adhesion of the 10 membrane 625 to the mandrel 1820. By using this method, the shape of the membrane 625 can be altered when the frame 615 is compressed for delivery. For example, the mandrel 1820 can be contoured to create concave surfaces 15 on the membrane, wherein the concave surfaces will pleat inward during compression of the frame 615. This protects the membrane during compression, loading, and deployment of the bronchial isolation device.

In the embodiment shown in Figure 6, the membrane 625 does not extend distally or proximally past the frame 615. That is, the membrane 625 is trimmed so that its distal edge follows the contours of the distal-most struts 710. In another embodiment, shown in Figure 21 using the embodiment of the frame 20 of Figures 15 and 16, the membrane 625 extends distally past the struts 710 such that the membrane 625 has an untrimmed, distal edge 2102. In another embodiment of the untrimmed-membrane, shown in Figure 22, the distal edge 2102 is folded over and bonded to itself to form a flap. It can be folded over

either inside or outside of the membrane 625. The folded-over flap provides a thicker distal edge 2102 to reinforce the structural integrity of the distal edge 2102. Alternately, the distal edge 2102 can be structurally-reinforced by dipping the distal edge 2102 into a dispersion of the membrane material or by applying 5 a section of the material to the distal edge 2102 with a syringe, and then curing the membrane 625 to form a reinforcing bead on the distal edge 2102.

Valve Member

With reference to Figure 6, the valve member 620 is disposed within the valve protection portion 720 of the frame 615. The valve member 620 can be 10 configured to either permit fluid flow in two directions (i.e., proximal and distal directions), permit fluid flow in only one direction (proximal or distal direction), completely restrict fluid flow in any direction through the bronchial isolation device 610, or any combination of the above. The valve member 620 can be configured such that when fluid flow is permitted, it is only permitted above a 15 certain pressure, referred to as the cracking pressure. The valve member 620 is desirably formed of an elastic, biocompatible material, such as silicone, although other materials can be used.

When the valve member 620 comprises a one-way valve, it desirably has the following characteristics: low cracking pressure, high flow in the forward 20 direction, rapid closure upon flow reversal, and complete sealing in the reverse direction, as discussed below.

Low cracking pressure

During exhalation from a lung that is damaged by emphysema or COPD, the amount of driving pressure that can be generated to force air out of the lung is quite low. Given this condition, in order for air to be exhaled from the isolated lung region, it is desirable that the cracking pressure in the exhalation direction 5 be as low as possible. In one embodiment, the cracking pressure is in the range of 0 to 10 inches of water. In another embodiment, the cracking pressure is in the range of 0 to 5 inches of water. In another embodiment, the cracking pressure is in the range of 0 to 2 inch of water. Given the 100% humidity conditions that exist in the lungs, these cracking pressures can be measured 10 under similar or wet conditions in order to simulate the conditions of the lungs.

High flow rate in the forward direction

Rapid exhalation of air from the region of the lung isolated behind a one-way or two-way valve device is important for the rapid and complete collapse of the isolated lung portion, or for the maximal improvement in flow dynamics in 15 the absence of collapse. Thus, the higher the flow through the bronchial isolation device at a given pressure across the device, the better the performance of the device. To this end, in one embodiment, the pressure differential across the valve at a flow of 50 ml/min in the exhalation direction is in the range of 0 to 4 inches of water. In another embodiment, the pressure 20 differential across the valve at a flow of 50 ml/min in the exhalation direction is in the range of 0 to 2 inch of water. In another embodiment, the pressure differential across the valve at a flow of 50 ml/min in the exhalation direction is in the range of 0 to 1 inches of water.

Rapid valve closure when flow is reversed

The responsiveness of the valve is a measure of how quickly the valve closes once flow through the valve in the exhalation direction is stopped. For example, a high-performing valve would close instantly when the valve 5 encounters a reverse-direction flow and not allow any flow in the reverse direction back through the valve. When a flow of 120 ml/min is sent in the exhalation direction of the valve, and then reversed to flow in the inhalation direction, the valve closes and seal completely in less than 4 seconds in one embodiment, in less than 2 seconds in another embodiment, and in less than 1 10 second in another embodiment.

Complete sealing of valve in reverse direction

It is desirable for implanted one-way valve bronchial isolation devices to seal completely in the inhalation direction at all times after deployment in a bronchial passageway under all conditions. The valve desirably stays sealed 15 regardless of the orientation of the patient's body, regardless of the rotational orientation of the valve in the bronchial lumen, regardless of whether or not the implanted device is being compressed by external compression of the bronchial lumen, regardless of whether or not there is mucus present on the valve seal surfaces, etc. In addition, the valve should remain sealed even if there is little 20 or no pressure differential across the valve

Exemplary Valves

In one embodiment, the valve member 620 comprises a duckbill valve that permits flow in one direction and prevents or restricts flow in a second

direction. Figures 23-25 show an embodiment of a valve member 620 comprised of a duckbill valve 2310 that can be used in the bronchial isolation device 610. The duckbill valve 2310 includes a tubular base 2315 that has an outer diameter that fits within the annular valve protector portion 720 of the frame 615. As best shown in Figures 23 and 25, the duckbill valve 2310 includes a pair of opposed, inclined walls 2320 having ends that meet at lips 2325. The lips 2325 meet at two opposed corners. The walls 2320 can move with respect to one another so as to separate at the lips 2325 and form an opening through which fluid can travel. When exposed to fluid flow in a first direction (represented by the arrow 2330 in Figure 23) at the cracking pressure, the walls 2320 separate from one another to form the opening. When exposed to fluid flow in a second direction (represented by the arrow 2335 in Figure 23), the walls 2320 remain closed and prevent fluid from flowing through the duckbill valve 2310.

The valve protector portion 720 of the frame 615 provides structural support to the valve member 620 and serves to prevent the valve member 620 from being deformed to the extent that the performance of the valve member 620 is adversely affected. For example, a bronchial passageway can constrict or otherwise change shape during inhalation, exhalation, or cough. The valve protector portion 720 substantially shields the valve member 620 from excessive deformation when the bronchial passageway changes shape. The valve member 620 can optionally include a reinforcement member 2202 comprised of a curved or looped wire that extends between the two corners of

the lips and around the duckbill valve 2310 or other type of valve. For clarity of illustration, the reinforcement member is only shown in Figure 24. The curved wire is rigid so as to provide structural protection to the valve 2310, such as to maintain the distance between the corners of the lips, in the event that the

5 valve 2310 is exposed to forces that might deform the valve 2310. The reinforcement member 2202 may be used in combination with the valve protector portion 720 of the frame, or may be used as a substitute for the valve protector portion 720.

With reference to Figures 23-25, the walls 2320 have an outwardly-domed outer surface 2342 and a leading edge 1910 that forms an outward curve. The curved-shape of the leading edge 1910 provides a longer lips 2325 than the lip for a straight leading edge, such as the straight leading edge 2502 of the duckbill valve 2504 shown in Figures 26 and 27. The result is that for a given valve size, the flow rate at a given differential pressure across the lips is

10 higher for a curved leading edge valve than for a straight leading edge valve. Table 1 lists the pressure drop across two duckbill valves, including a duckbill valve with a curved leading edge (i.e., a curved mouth) and a diameter of about 0.128 inches and a duckbill valve with a straight leading edge (i.e., a straight mouth) and a diameter of about 0.116 inches. As shown in Table 1, even

15 though the curved-mouth valve has a smaller diameter, the pressure drop across the curved-mouth valve is lower than the straight mouth valve at both 50 ml/min and 500 ml/min.

Table 1: Curved vs. Straight Duckbill Valve Pressure Drop

Valve Type	Valve Diameter, inches	Pressure Drop Across Valve, in-H ₂ O	
		50 ml/min	500 ml/min
Straight Mouth	0.128	0.5	2.8
Curved Mouth	0.116	0.4	2.4

Thus, the curved-mouth duckbill valve provides a higher flow rate than the straight-mouthed duckbill valve, which makes the curved mouth duckbill 5 valve particularly well suited for use in a one-way valve bronchial isolation device. As mentioned above, for bronchial implantation, the higher the flow rate once the valve is cracked open, the better the performance of the valve.

It has been determined that the cracking pressure of a duckbill valve can be altered by changing the angle between the faces of the two lips of the 10 valves. The cracking pressure will be reduced if this angle is reduced, and the cracking pressure will be increased if this angle is increased. As the angle is reduced, the length of the valve increases, and this should be taken into account if a very short valve is desired.

There may be situations where a controlled reverse flow through a one- 15 way valve might be desirable. Figures 28A and 28B shows an embodiment of a one-way duckbill valve 2702 that provides a controlled flow in a reverse direction. The valve 2702 behaves as a one-way valve in the forward direction (represented by the arrow 2704 in Figure 28A) in that the valve 2702 allows free flow of fluid through the valve at or above the valve's cracking pressure. The 20 valve 2702 also allows a small, controlled rate of flow in the reverse direction (represented by the arrow 2706 in Figure 28A). In this regard, the duckbill valve

2702 includes a small flow channel 2708 that extends through the lips of the valve, as best shown in the enlarged view of Figure 28B. The flow channel 2708 allows fluid to flow in the reverse direction through the lips. The rate of flow is a function of the diameter and length of the flow channel 2708.

5 It should be appreciated that the valve member 620 can comprise valve types other than a duckbill valve. For example, the one-way duckbill valve could be replaced with another type of one-way valve, such as a flap valve, a Heimlich valve, a tri-lobe duckbill valve or other multi-lobe valves, a diaphragm valve, a ball valve, etc. In addition, the one-way valve can be replaced with a
10 blocking element to prevent flow through the device in either direction, or a two-way valve to allow controlled flow in both directions, or one-way or two-way valves that are designed to allow the passage of a catheter to suction, inject therapeutic substances or otherwise treat the isolated lung region distal to the implanted bronchial isolation device.

15 Use and Deployment of the Bronchial Isolation Device

Figure 29 shows a cross-sectional view of the flow control device 610 employing the embodiment of the frame 615 shown in Figures 15 and 16. The valve member 620 is mounted within the valve protector portion 720 of the frame 615. The membrane 625 is attached to the valve member 620 at one or
20 more locations to secure the valve member 620 to the frame 615. The valve member is positioned such that an entry mouth 2903 is located at a distal region of the valve protector portion 720 and an exit mouth 2905 is located at a proximal region. The valve protector portion 720 at least partially surrounds the

valve member 620 to maintain a default shape of the valve member 620. For example, if the bronchial passageway deforms while the bronchial isolation device 610 is mounted therein, the valve protector portion 720 protects the valve member 620 from deformation. The default shape of the valve member 5 620 can be a closed configuration that prohibits fluid flow or the default shape can be some other default shape.

The membrane 625 is positioned in a web-like manner within the cells 712. The membrane 625 covers or is connected to at least a portion of the frame 615. The membrane covers at least a portion of the retainer portion 715 10 and can also cover at least a portion of the valve protector portion 720. The membrane 625 can cover the entire retainer portion 715 or it can cover a portion of the retainer portion 715. In addition, the membrane 625 can cover the entire valve protector portion 720 or it can cover a portion of the valve protector portion 720.

15 The membrane 625 defines a fluid pathway that is open at a distal end of the frame 615 and that leads into the entry mouth 2903 of the valve 620. The general outer contour of the fluid pathway is represented by a bold line 2907 in Figure 29. In the valve retainer portion 715, the membrane 625 follows the outer contour of the frame 615 such that the fluid pathway is generally 20 cylindrical in this portion of the frame 615. However, it is not necessary that the fluid pathway be cylindrical as long as it leads into the entry mouth 2903 of the valve member 620. Moreover, it is not necessary that the membrane cover the entire bronchial isolation device 610 as long as the membrane forms the fluid

pathway. Moving toward the valve member 625, the outer contour of the membrane 625 slopes radially inward (as exhibited by the bold lines 2911) moving toward the entry mouth 2903 of the valve member 620. In this manner, the membrane 625 forms a funnel-shaped fluid pathway that leads into the 5 valve member 620. The membrane 625 also forms radially-extending fins 2914 between the outer membrane wall of the funnel-shaped fluid pathway and the linking struts 745.

Figure 30 shows a cross-sectional view of bronchial passageway 2910 having interior walls 2915 that define a lumen of the bronchial passageway 10 2910. As is known to those skilled in the art, fluids can travel to and from a region of the lung through the lumen of the bronchial passageway 2910. The embodiment of the bronchial isolation device 610 of Figure 29 is shown positioned within the bronchial passageway 2910. The bronchial isolation device 610 is shown in Figure 30 with the membrane 625 trimmed along the 15 distal edge of the frame 615. When the bronchial isolation device 610 is positioned within the bronchial passageway 2910, the frame 615 is in an expanded state so that it exerts a radial force against the interior walls 2915, as represented by the arrows 2912 in Figure 30. The radial force causes the frame 615 to press against the bronchial wall 2915 with a pressure sufficient to retain 20 the bronchial isolation device 610 in a fixed position relative to the bronchial passageway. The distal edges 725 of the retainer cells 712a are positioned such that they lodge against the interior walls 2915 and inhibit the bronchial isolation device 610 from migrating in the distal direction 206.

As discussed above, the proximal edges 730 of the retainer cells 712a are attached to the linking struts 745. The linking struts 745 provide a smooth transition between the retainer section and the valve protector section of the frame 615. The linking struts 745 also lessen any sharpness of the proximal edges 730 of the retainer cells 712a and prevent the proximal edges 730 from penetrating into the bronchial wall 2915 if the bronchial isolation device 610 is pulled in the proximal direction during removal. In addition, the linking struts 745 assist in radially constricting the retainer section of the frame 615 during removal of the bronchial isolation device 610. When the valve protector section 10 is radially constricted (such as by using forceps that are deployed to the location of the bronchial isolation device 610), the linking struts 745 transfer the radial constriction to the retainer section of the frame 615.

As discussed above, the frame 615 can optionally include one or more distal cross struts 1410 and one or more proximal cross struts 1415. The cross struts 1410, 1415 function to limit the distance that the cells 712 can penetrate into the bronchial wall 2915. For example, Figure 31 shows the bronchial isolation device 610 after it has migrated in the distal direction 206 such that some of the distal edges 725 of the retainer cells 712a have penetrated into the bronchial wall 2915. The connection point 3010 between the distal cross strut 20 1410 and the cell 712a provides a stopping point that will prevent the retainer cell 712a from penetrating any deeper beyond the connection point 3010.

With reference again to Figure 30, the expanded frame 615 presses against the bronchial wall 2915 so that the bronchial isolation device 610

sealingly engages the bronchial wall 2915 around the entire circumference of the bronchial isolation device 610. The seal can be formed between the frame 615 and the bronchial wall 2915, between the membrane 625 and the bronchial wall 2915, between both the membrane 625/frame 615 and the bronchial wall 2915, or any combination thereof. For example, Figure 32A shows an enlarged view of the portion of the flow control device 610 that forms a seal with the bronchial wall 2915. In the embodiment of Figure 32A, the membrane 625 is positioned between a frame strut 710 and the bronchial wall 2915 such that the membrane 625 seals with the bronchial wall 2915. The situation shown in 5 Figure 32A corresponds to use of the frame/membrane configuration shown in Figures 17A, 17B.

10 Figure 32A corresponds to use of the frame/membrane configuration shown in Figures 17A, 17B.

In another embodiment, shown in Figure 32B, both the membrane 625 and the frame strut 710 seal with the bronchial wall 2915. The situation shown in Figure 32B corresponds to use of the frame/membrane configuration shown 15 in Figures 17C.

In another embodiment, shown in Figure 32C, the frame strut 710 seals with the bronchial wall 2915 but the membrane 625 does not necessarily seal with or contact the bronchial wall 2915. The situation shown in Figure 32C corresponds to use of the frame/membrane configuration shown in Figures 17D.

20 In the embodiment shown in Figure 30, the frame 615 is sealed with the bronchial wall 2915. It should be appreciated that the seal can occur at any location along the frame 615 as long as the membrane 625 provides a fluid pathway between the location of the seal and the valve member 620. As

mentioned, the cross struts 1410 create additional cells that permit the frame to flex at different locations along its length. In this manner, the struts that form the cells can form independent and redundant seals with the bronchial wall 2915.

5 Thus, the frame 615 and/or the membrane 625 provide a seal that prevents fluid from flowing between the interior walls 2915 and the bronchial isolation device 610. The membrane 625 engages with the portion of the bronchial isolation device that is sealed to the bronchial wall 2915 such that the fluid pathway formed by the membrane directs fluid into the valve member 620 10 so that fluid must flow through the valve member 620 in order to flow from a proximal side 2730 of the bronchial isolation device 610 to a distal side 2735 or vice-versa. That is, the sealing engagement between the bronchial isolation device 610 and the bronchial wall 2915 prevents fluid from flowing around the periphery of the bronchial isolation device 610, thereby forcing fluid flow to 15 occur through the membrane path and into the valve member 620. In this manner, the membrane 625 provides a fluid pathway into the valve member 620. It is not necessary for the membrane 625 to cover the entire bronchial isolation device as long as the membrane 625 forms a pathway from the location of the seal to the entry mouth of the valve member 620.

20 It should be appreciated that in certain circumstances it may be desirable to permit a limited amount of fluid to flow around the bronchial isolation device rather than through the valve member. In such circumstances, the bronchial isolation device 610 can be modified to permit such limited flow.

The bronchial isolation device 610 can be deployed to a desired location in a bronchial passageway according to a variety of methods. In one embodiment, the bronchial isolation device is coupled to the distal end of a delivery catheter, which is then inserted through the patient's mouth or nose, 5 into the trachea, and to the location in the bronchial passageway. The bronchial isolation device 610 is then uncoupled from the delivery catheter such that it is positioned at a desired location in the bronchial passageway.

With reference to Figure 33, a delivery catheter 3102 can be deployed using a bronchoscope 3104, which in an exemplary embodiment has a steering 10 mechanism 3106, a shaft 3108, a working channel entry port 3112, and a visualization eyepiece 3115. The bronchoscope 3104 has been passed into a patient's trachea 125 and guided into the right primary bronchus 510 according to well-known methods. The delivery catheter 3102 is then inserted into the bronchoscope through the working channel entry port 3112 and fed into the 15 working channel so the distal end 3120 of the delivery catheter (and the attached bronchial isolation device 610) protrude out of the distal end of the bronchoscope. The bronchial isolation device 610 is then released from the delivery catheter so that the frame 615 expands and anchors at a desired location, as shown in Figure 1.

20 Although embodiments of various methods and devices are described herein in detail with reference to certain versions, it should be appreciated that other versions, embodiments, methods of use, and combinations thereof are

also possible. Therefore the spirit and scope of the appended claims should not be limited to the description of the embodiments contained herein.

CLAIMS**What is claimed:**

1. A flow control device for a bronchial passageway, comprising:

5 a valve member that regulates fluid flow through the flow control device, the valve having a default shape;

a frame coupled to the valve member, the frame including:

a valve protector region that at least partially surrounds the valve member to maintain the default shape; and

10 a retainer region connected to the valve protector region, the retainer region being formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the retainer region being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for

15 engaging the interior wall of the bronchial passageway; and

a membrane covering at least a portion of the retainer region, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve

20 member to direct fluid flowing through the bronchial passageway into the valve member.

2. A flow control device as defined in claim 1, wherein the membrane covers the entire retainer region of the frame.

3. A flow control device as defined in claim 1, wherein the membrane covers the retainer region of the frame and the valve protector region of the frame.

4. A flow control device as defined in claim 1, wherein the struts of the frame are arranged to form a plurality of cells, and wherein the cells are covered by the membrane.

5. A flow control device as defined in claim 1, wherein the frame comprises a superelastic material.

10

6. A flow control device as defined in claim 1, wherein the valve member limits fluid flow in an inhalation direction and permits fluid flow in the exhalation direction.

15

7. A flow control device as defined in claim 1, wherein the valve member blocks fluid flow in an inhalation direction and permits fluid flow in an exhalation direction.

20

8. A flow control device as defined in claim 1, wherein the valve member blocks fluid flow in both an inhalation direction and in an exhalation direction.

9. A flow control device as defined in claim 1, wherein the valve member comprises a duckbill valve having a pair of opposed walls having edges that form opposed lips of a mouth, wherein the walls can move with respect to one another to open and close the mouth.

5

10. A flow control device as defined in claim 9, wherein the lips of the mouth are curved.

11. A flow control device as defined in claim 9, wherein the lips of the mouth
10 are straight.

12. A flow control device as defined in claim 9, wherein the opposed walls have domed outer surfaces.

15 13. A flow control device as defined in claim 9, wherein the opposed walls have flat outer surfaces.

14. A flow control device as defined in claim 9, wherein a flow channel is located between the lips of the valve mouth.

20

15. A flow control device as defined in claim 1, wherein the valve protector region comprises a tube.

16. A flow control device as defined in claim 15, wherein the tube has at least one window formed therein.

17. A flow control device as defined in claim 15, wherein the tube is non-
5 expandable.

18. A flow control device as defined in claim 1, wherein the valve protector region comprises a plurality of struts.

10 19. A flow control device as defined in claim 1, wherein the valve protector region is flexible.

20. A flow control device as defined in claim 1, wherein the valve protector region is rigid.

15

21. A flow control device as defined in claim 1, wherein the valve protector region and the retainer region are formed from a single piece of material.

22. A flow control device as defined in claim 21, wherein the single piece of
20 material comprises a tube.

23. A flow control device as defined in claim 1, wherein the valve protector region and the retainer region are composed of the same material.

24. A flow control device as defined in claim 23, wherein the material is metal.

25. A flow control device as defined in claim 1, wherein the struts form a 5 series of undulating loops in the contracted state.

26. A flow control device as defined in claim 25, wherein the undulating loops form a series of zig-zags in the expanded state.

10 27. A flow control device as defined in claim 1, wherein the struts form at least two connected rows of undulating loops in the contracted state, the connected rows of undulating loops forming a series of diamond-shaped cells in the expanded state.

15 28. A flow control device as defined in claim 1, further comprising a plurality of linking struts connecting the valve protector region to the retainer region.

20 29. A flow control device as defined in claim 28, wherein the linking struts extend in a longitudinal direction from the valve protector region to the retainer region.

30. A flow control device as defined in claim 28, wherein the membrane secures the valve member to the frame.

31. A flow control device as defined in claim 28, wherein the linking struts are flexible.

32. A flow control device as defined in claim 28, wherein the linking struts 5 and the retainer region are formed of the same material.

33. A flow control device as defined in claim 32, wherein the linking struts, retainer region and valve protector region are formed of the same material.

10 34. A flow control device as defined in claim 28, wherein valve protector region has a first diameter and the retainer region has a second diameter larger than the first diameter, the linking struts extending radially outwardly from the valve protector region to the retainer region.

15 35. A flow control device as defined in claim 34, wherein the linking struts are curved radially outwardly from the valve protector region to the retainer region.

36. A flow control device as defined in claim 28, wherein the struts of the retainer region form a plurality of zig-zags in the expanded state, each zig-zag 20 having a proximal point and a distal point with a strut extending therebetween, each linking strut being connected to one of the proximal points.

37. A flow control device as defined in claim 28, wherein the struts of the retainer region form a plurality of zig-zags in the expanded state, each zig-zag having a proximal point and a distal point with a strut extending therebetween, each linking strut being connected to one of the distal points.

5

38. A flow control device as defined in claim 1, wherein the frame comprises a second retainer region connected to the valve protector region.

39. A flow control device as defined in claim 38, wherein the retainer region 10 is on a first side of the valve protector region and the second retainer region is on a second side of the valve protector region.

40. A flow control device as defined in claim 39, wherein the valve protector region has a diameter less than both of the retainer region and the second retainer 15 region such that the frame has an hourglass-like shape.

41. A flow control device as defined in claim 38, wherein the membrane at least partially covers the second retainer region.

20 42. A flow control device as defined in claim 1, wherein the retainer region is self-expanding from the contracted state to the expanded state.

43. A flow control device as defined in claim 1, wherein the valve protector region is collapsible from a normal shape to a collapsed shape.

25

44. A flow control device as defined in claim 43, wherein the valve protector region is self-expandable from the collapsed shape to the normal shape.

45. A flow control device for a bronchial passageway, comprising:

5 a valve member that regulates fluid flow through the flow control device and has a default shape;

a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for 10 introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway;

a valve protector at least partially surrounding the valve member configured to maintain the valve member in the default shape, the valve protector being collapsible from the default shape to a collapsed shape;

15 a membrane covering at least a portion of the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve 20 member.

46. A flow control device as defined in claim 45, wherein the valve member has a mouth that is movable between open and closed configurations, and wherein the valve protector comprises a loop extending around the mouth.

47. A flow control device as defined in claim 46, wherein the mouth has a pair of lips connected at two corners, the loop extending from one corner to the other corner to maintain the distance therebetween.

5 48. A flow control device as defined in claim 45, wherein the valve protector is formed of a plurality of struts.

49. A flow control device as defined in claim 45, wherein membrane covers at least a portion of the valve protector.

10 50. A flow control device as defined in claim 45, further comprising a plurality of linking struts connecting the valve protector to the frame.

51. A flow control device as defined in claim 45, wherein the valve protector 15 has a first diameter and the frame has a second diameter, the first diameter being smaller than the second diameter.

52. A flow control device as defined in claim 45, wherein the frame and valve protector are formed of the same material.

20 53. A flow control device as defined in claim 52, wherein the material is superelastic.

54. A flow control device for a bronchial passageway, comprising:
25 a valve member that regulates fluid flow through the flow control device;

a frame formed of a plurality of interconnected struts configured to engage an interior wall of the bronchial passageway to retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for

5 engaging the interior wall of the bronchial passageway;

at least one retention prong extending from the frame and configured to engage the interior wall of the bronchial passageway to resist migration therein.

55. The flow control device of claim 54, wherein the retention prong is fixed
10 to the struts of the frame.

56. The flow control device of claim 55, wherein the struts form a series of undulating loops in the contracted state, the retention prong being disposed between at least a portion of the loops.

15

57. The flow control device of claim 56, wherein the retention prong is loop shaped in the contracted state, and are expandable to have a V-shape in the expanded state.

20

58. The flow control device of claim 54, wherein the retention prong comprises an axial post having a first end connected to the frame and a free end opposite the first end.

25

59. The flow control device of claim 54, wherein the retention prong is configured to project radially outwardly in the expanded state.

60. A flow control device for a bronchial passageway, comprising:

a valve member that regulates fluid flow through the flow control device;

a frame configured to engage an interior wall of the bronchial passageway to

5 retain the flow control device in a fixed location therein, the frame being movable from a contracted state suitable for introduction into the bronchial passageway to an expanded state suitable for engaging the interior wall of the bronchial passageway; and

a membrane covering at least a portion of the frame, wherein at least a

10 portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane provides a fluid pathway from the seal to the valve member to direct fluid flowing through the bronchial passageway into the valve member.

15

61. A flow control device as defined in claim 60, wherein the membrane has a thickness of about .001-.010 inch.

62. A flow control device as defined in claim 60, wherein the membrane

20 encapsulates the struts.

63. A flow control device as defined in claim 60, wherein the membrane is positioned on top of the struts.

64. A flow control device as defined in claim 60, wherein the membrane is expandable and collapsible with the frame.

65. A flow control device as defined in claim 60 wherein the membrane is
5 elastic.

66. A flow control device for a bronchial passageway, comprising:
a valve member that regulates fluid flow through the flow control device;
a frame coupled to the valve member; and
10 a membrane attached to the frame, wherein at least a portion of the flow control device forms a seal with the interior wall of the bronchial passageway when the flow control device is implanted in the bronchial passageway, and wherein the membrane forms a fluid pathway from the seal into the valve member to direct fluid flowing through the bronchial passageway into the valve member.

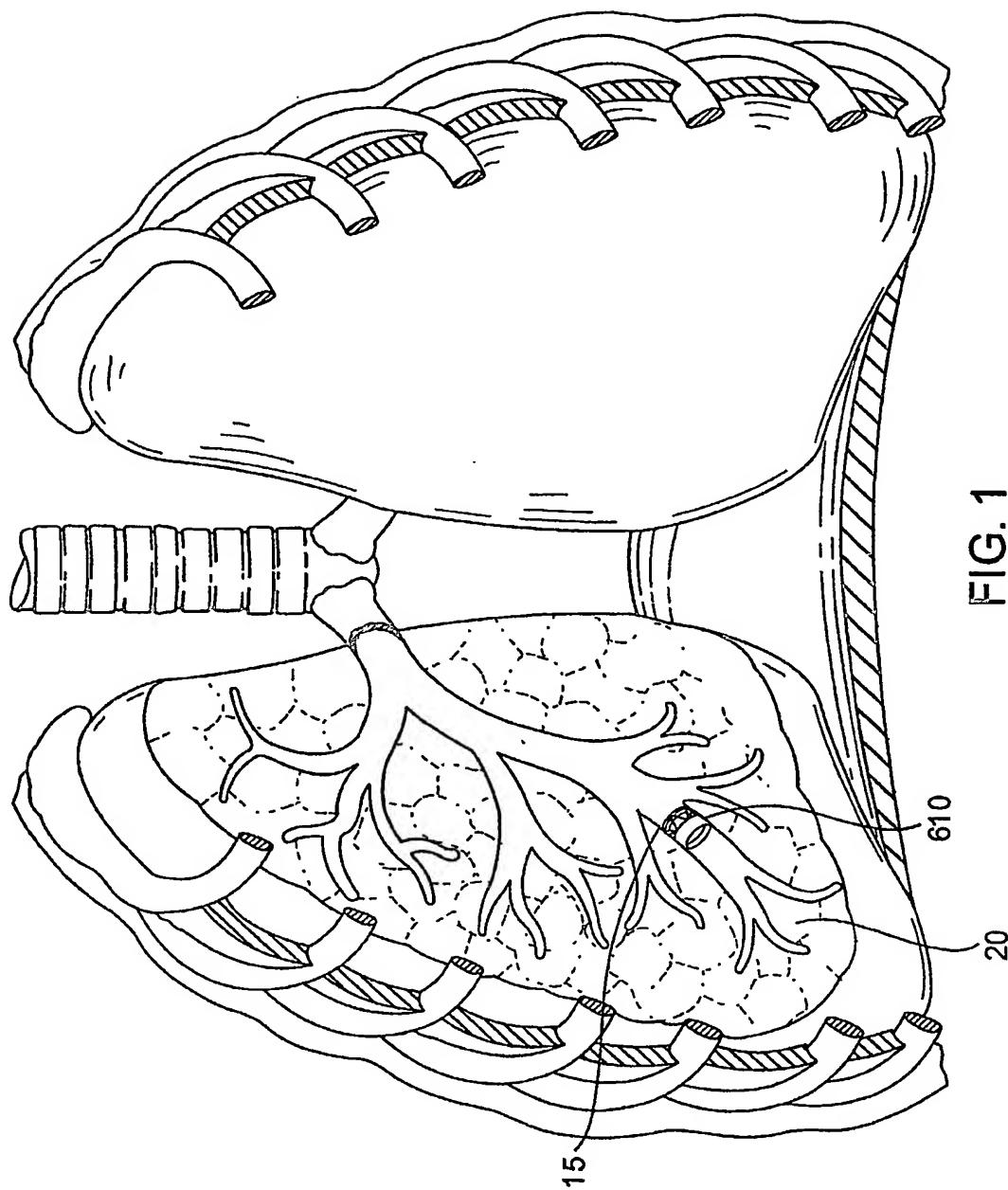
15

67. A flow control device as defined in claim 66, wherein the frame forms a seal with the interior wall of the bronchial passageway.

68. A flow control device as defined in claim 66, wherein the membrane
20 forms a seal with the interior wall of the bronchial passageway.

69. A flow control device as defined in claim 66, wherein the both the frame and the membrane form a seal with the interior wall of the bronchial passageway.

1 / 27



2 / 27

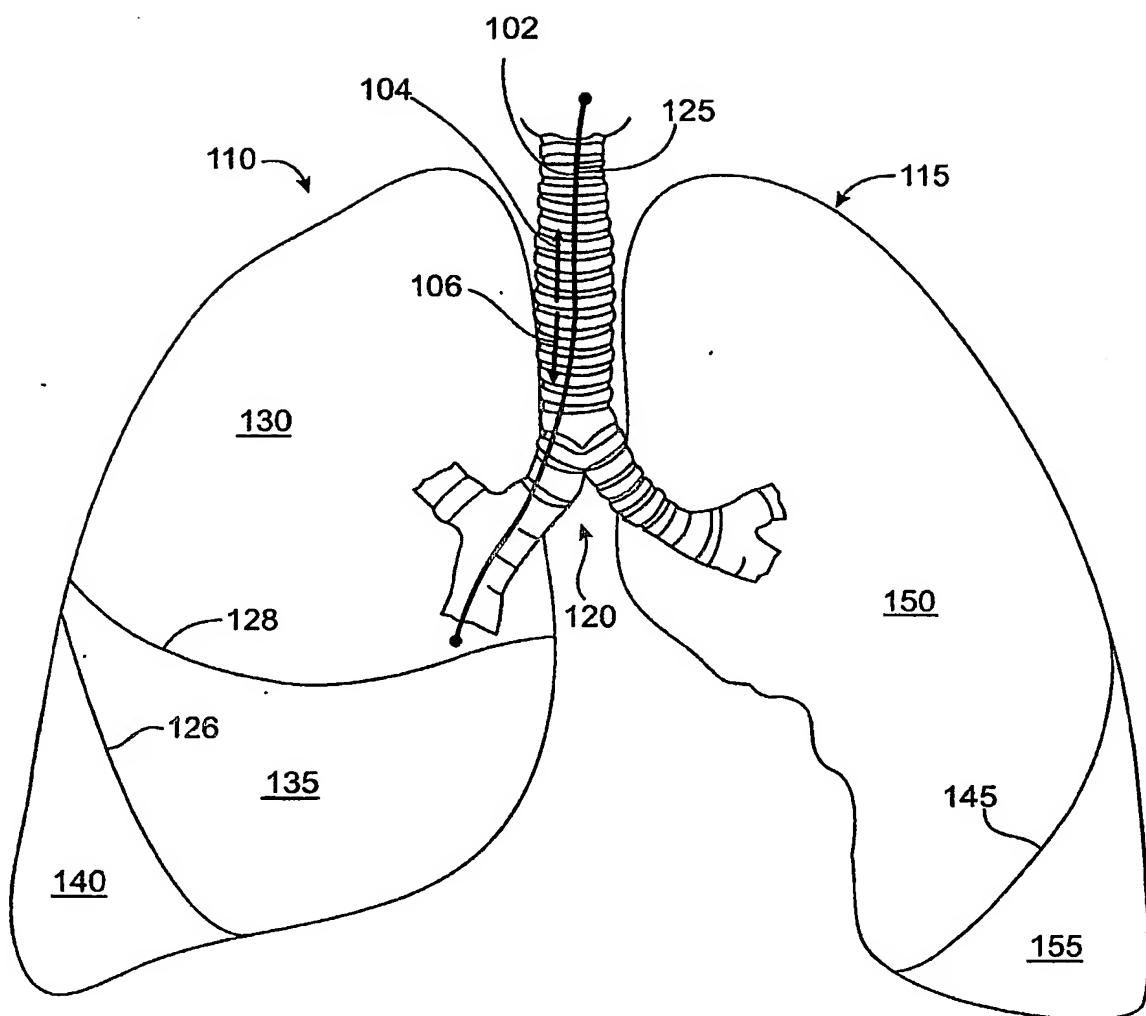


FIG. 2

3 / 27

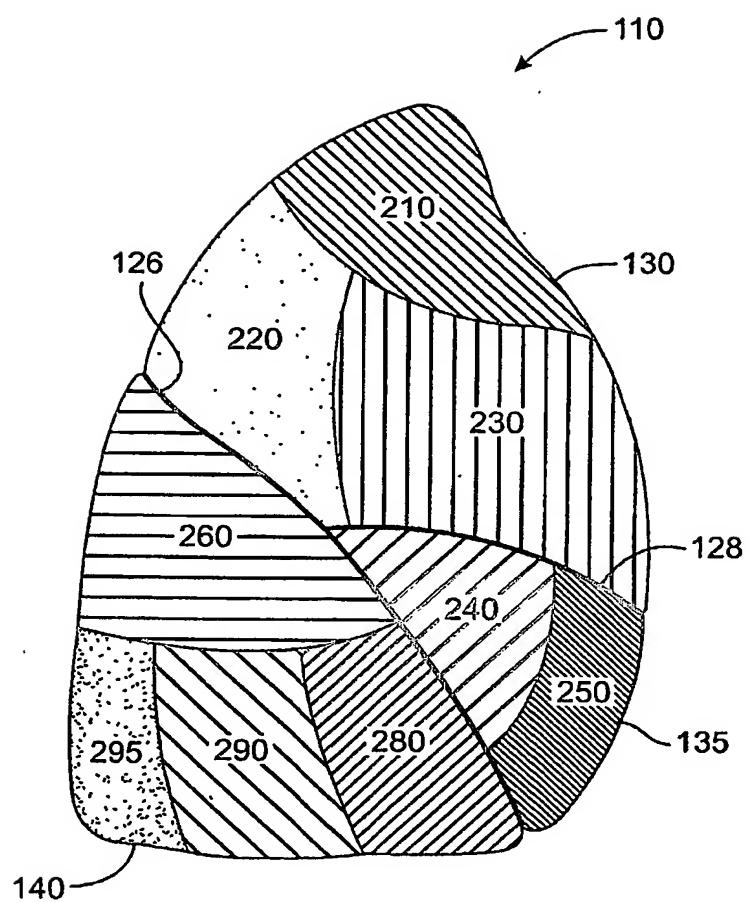


FIG. 3

4 / 27

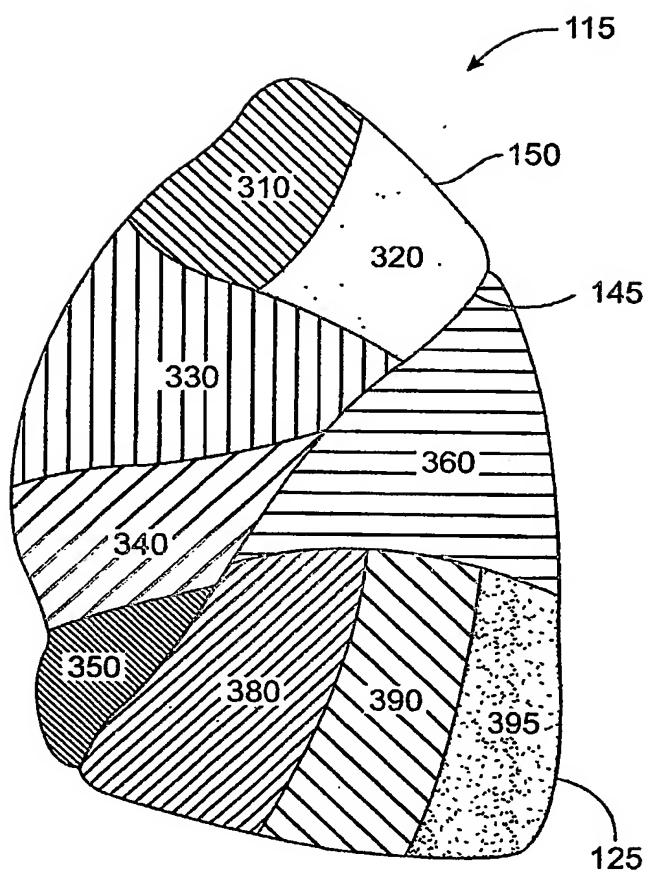


FIG. 4

5 / 27

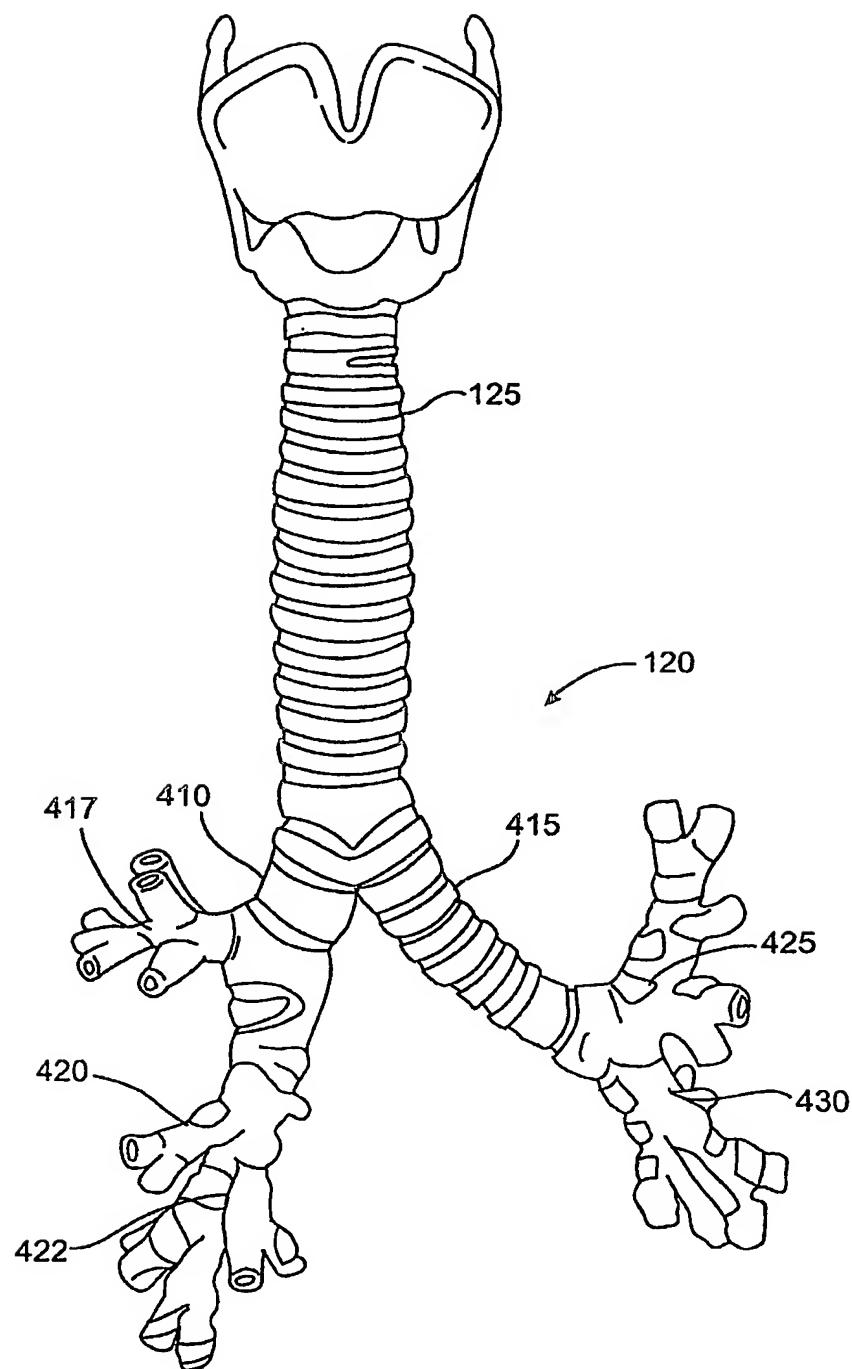


FIG. 5
SUBSTITUTE SHEET (RULE 26)

6 / 27

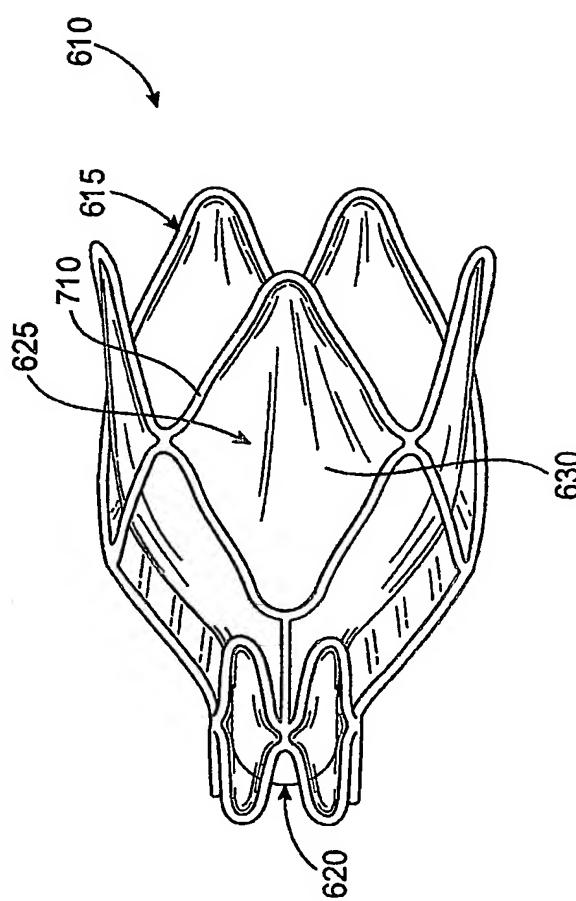


FIG. 6

7 / 27

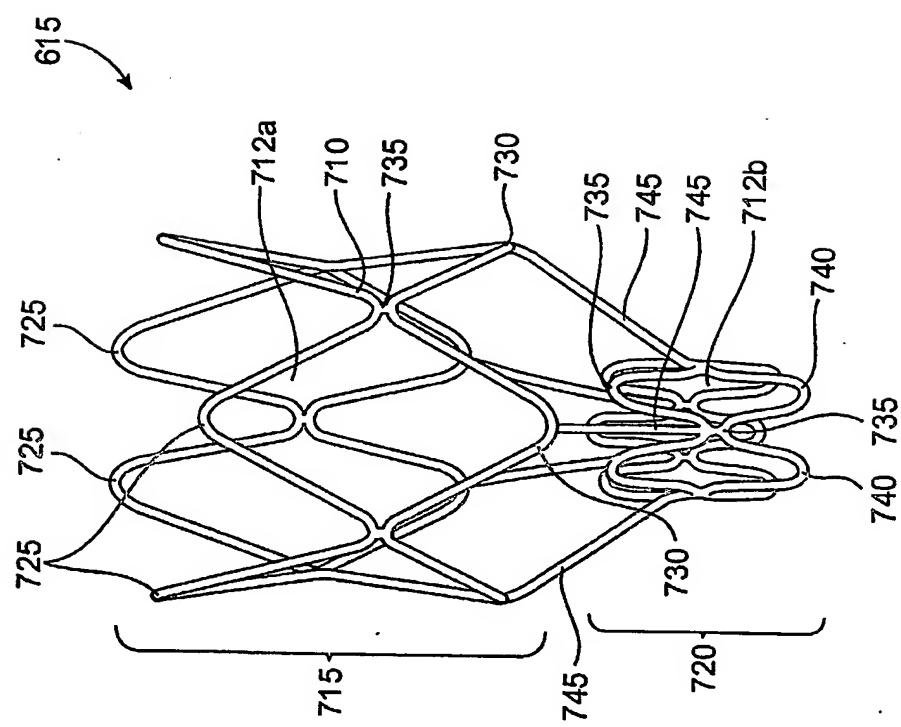


FIG. 7

8 / 27

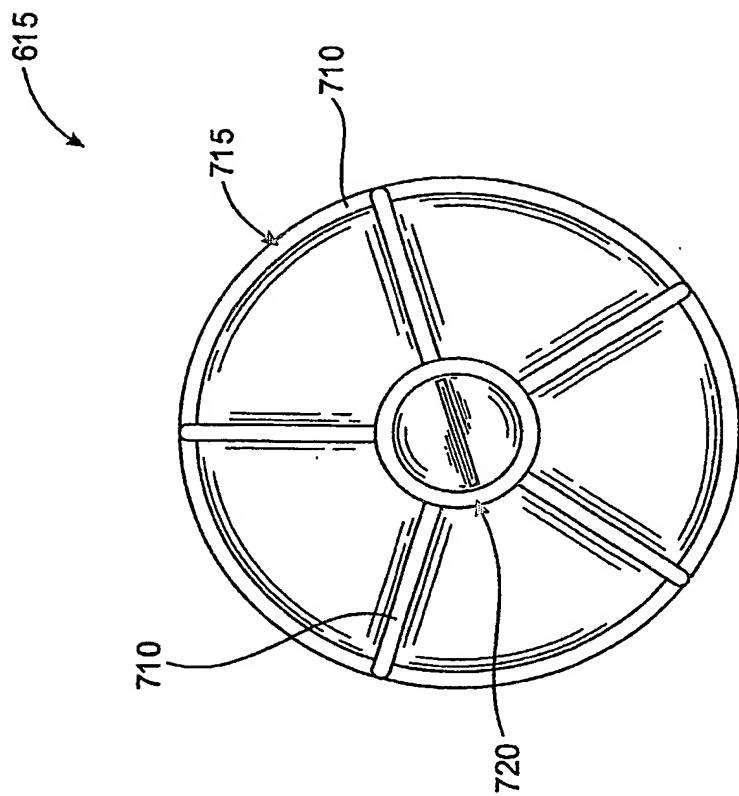


FIG. 8

9 / 27

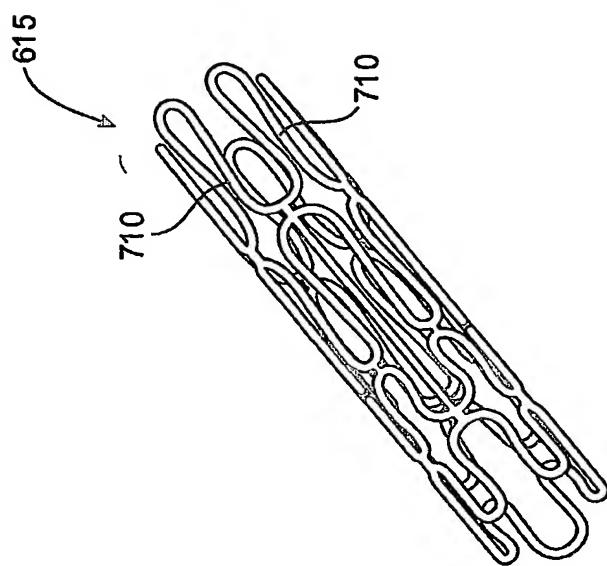


FIG. 9

10 / 27

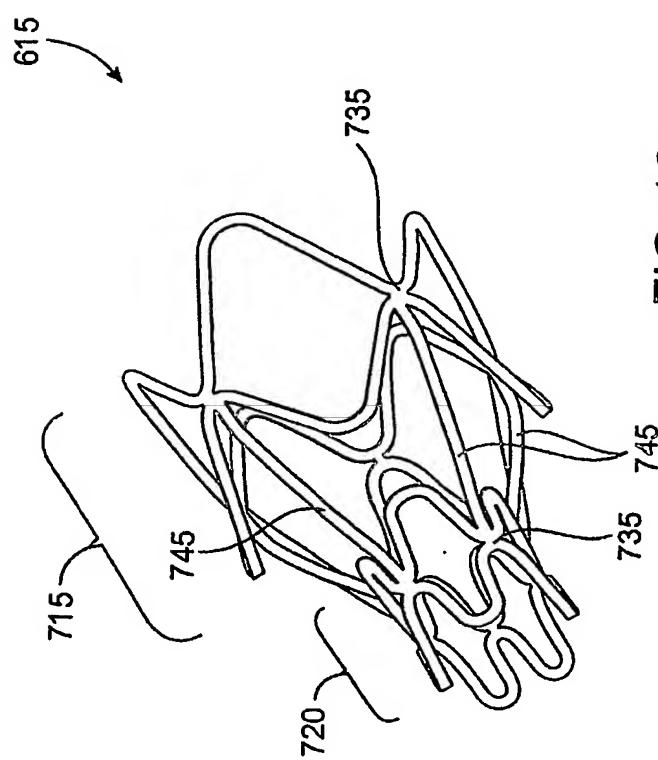


FIG. 10

11 / 27

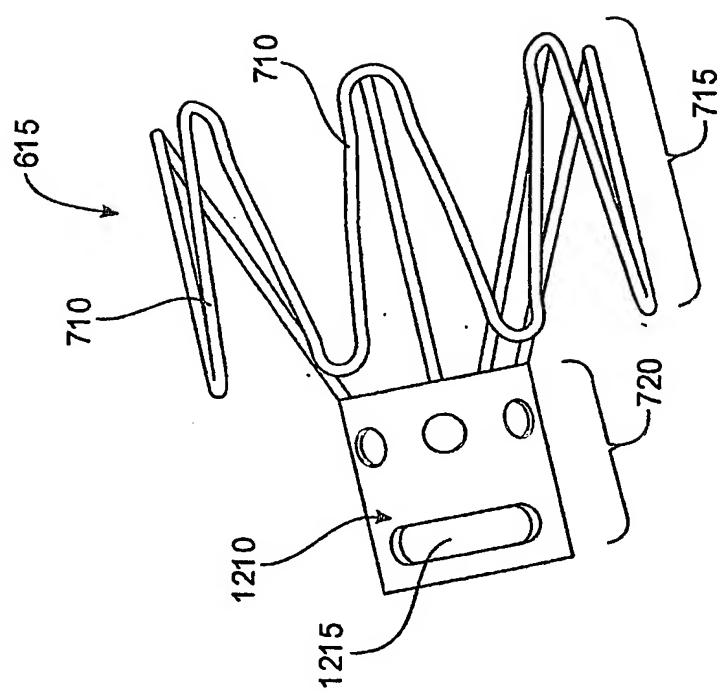


FIG. 11

12 / 27

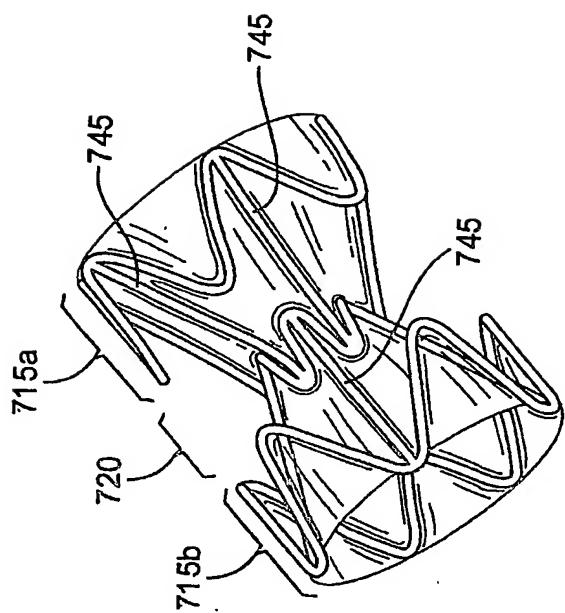


FIG. 12

13 / 27

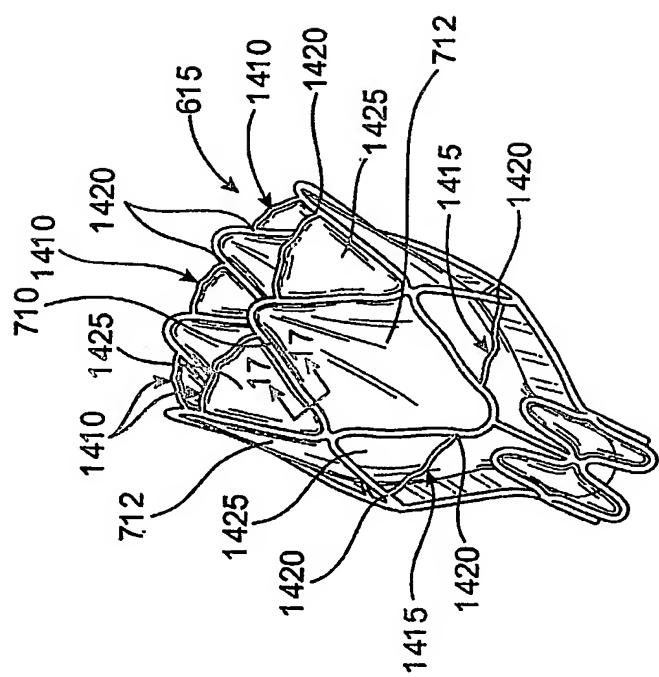


FIG. 13

14 / 27

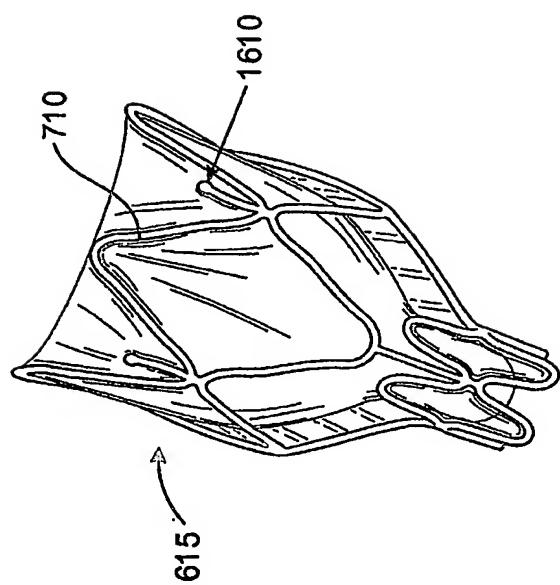


FIG. 14

15 / 27

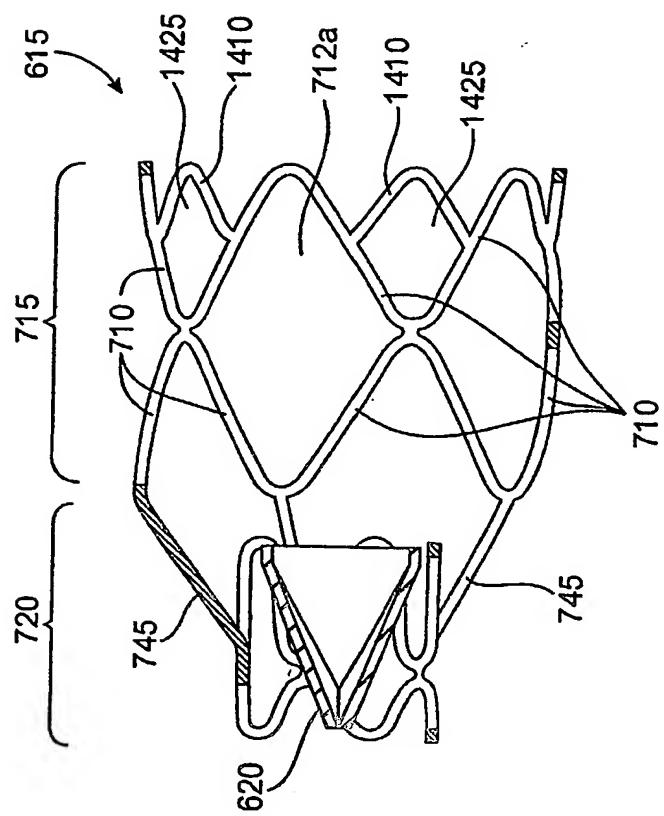


FIG. 16

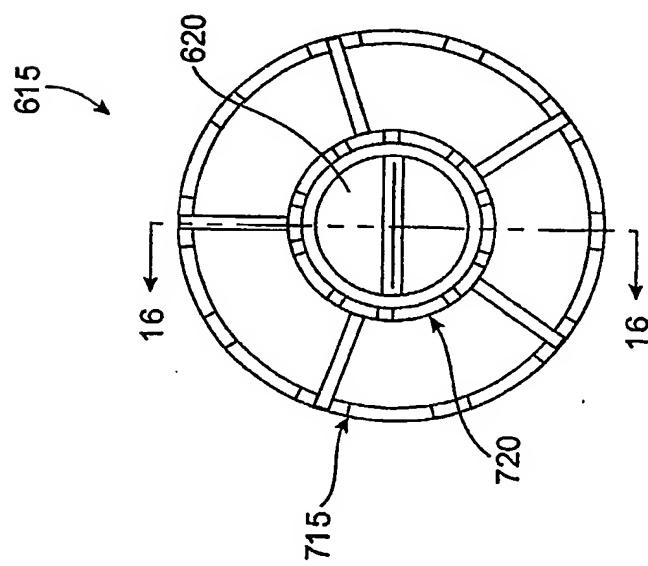


FIG. 15

SUBSTITUTE SHEET (RULE 26)

16 / 27

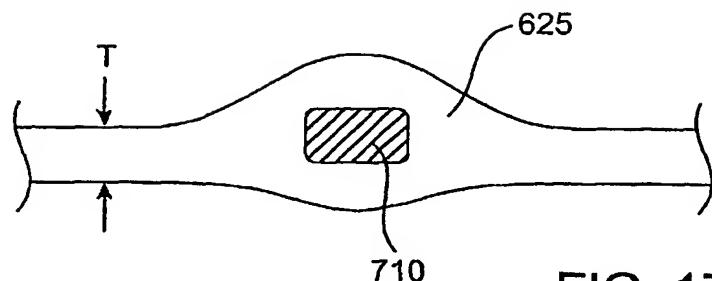


FIG. 17A

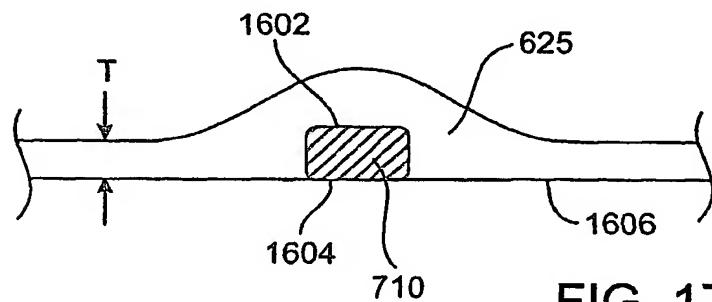


FIG. 17B

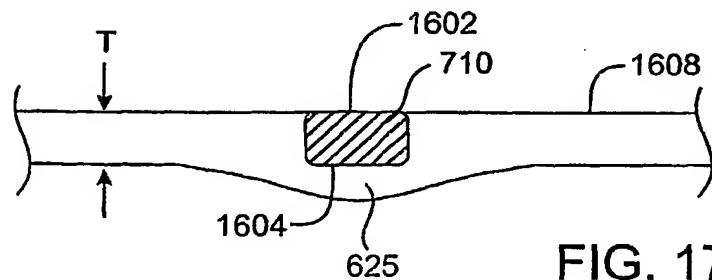


FIG. 17C

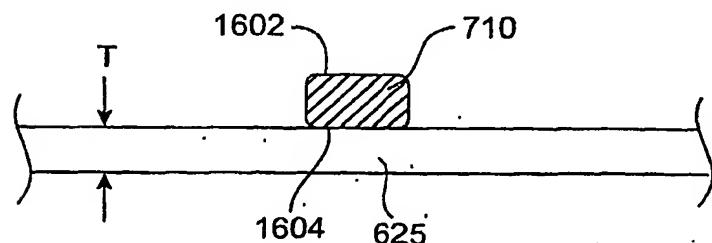


FIG. 17D

17 / 27

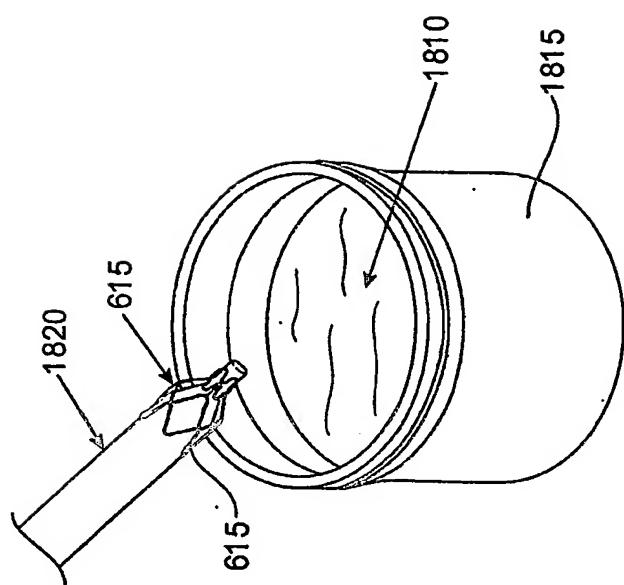


FIG. 18

18 / 27

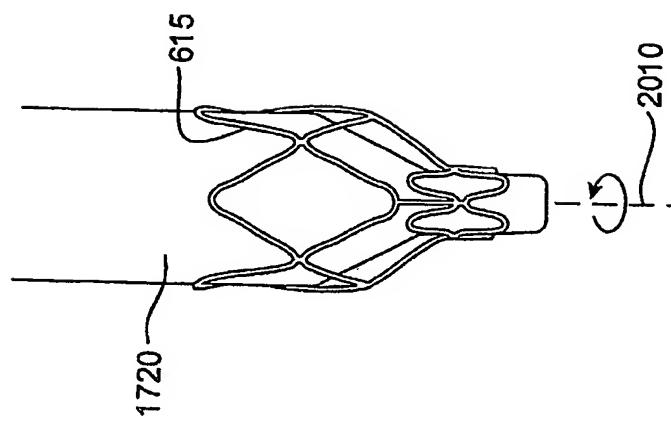


FIG. 20

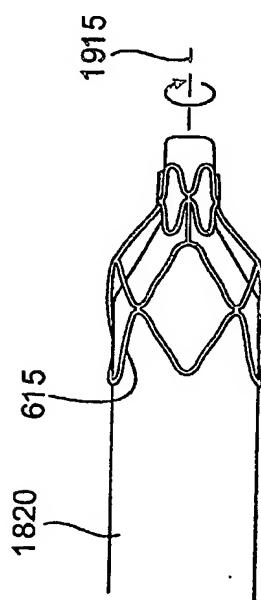


FIG. 19

19 / 27

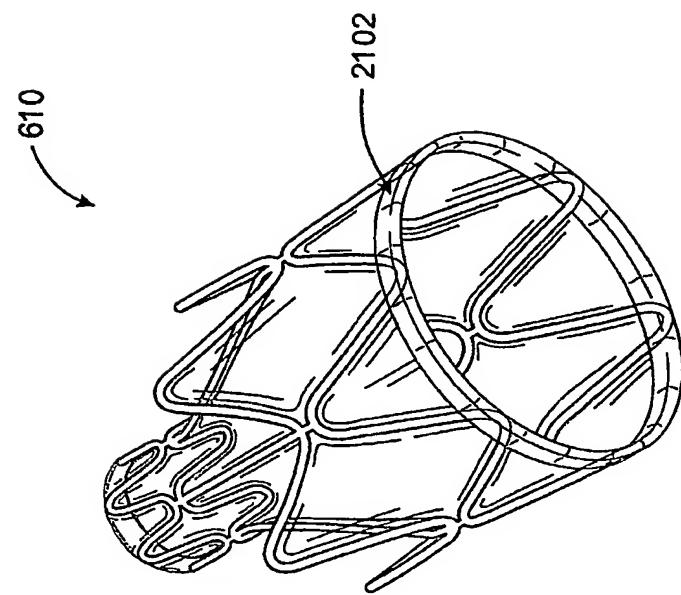


FIG. 22

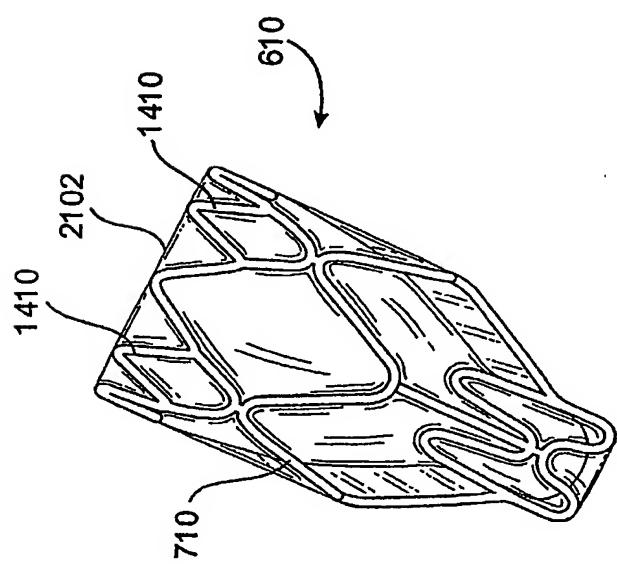


FIG. 21

20 / 27

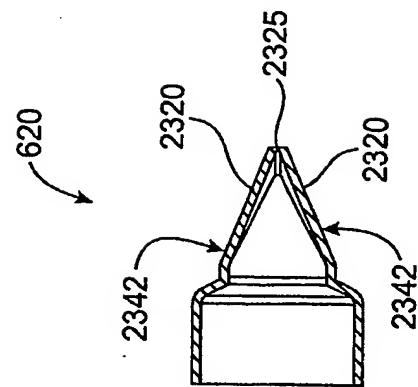


FIG. 25

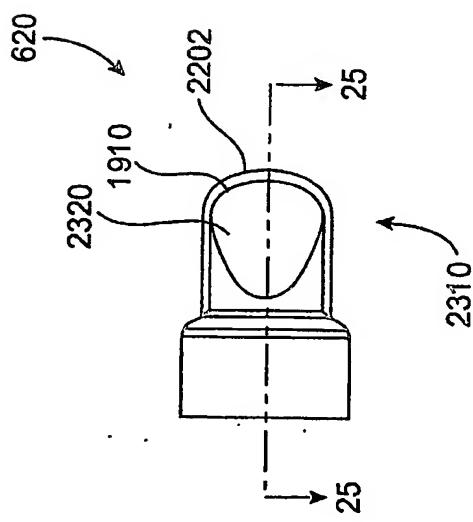


FIG. 24

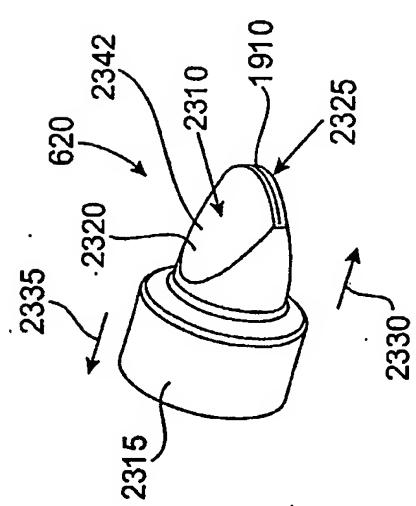


FIG. 23

SUBSTITUTE SHEET (RULE 26)

21 / 27

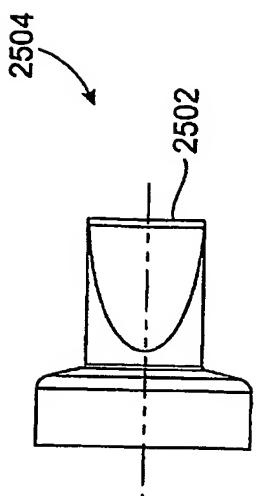


FIG. 27

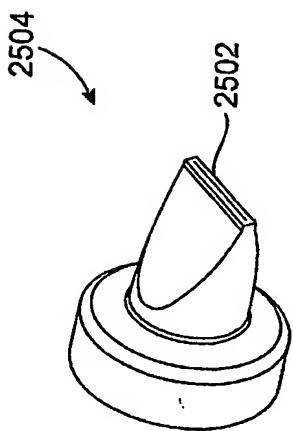
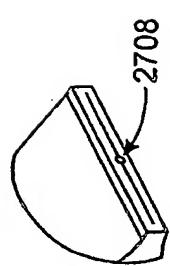
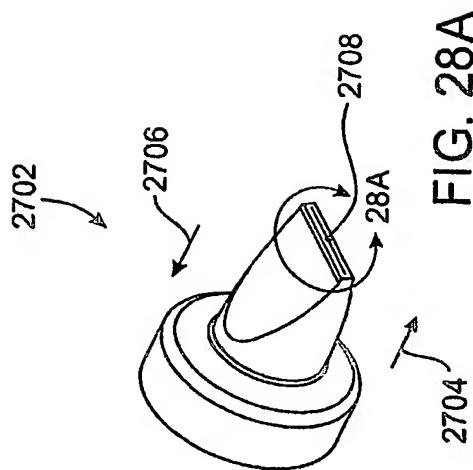


FIG. 26

22 / 27



23 / 27

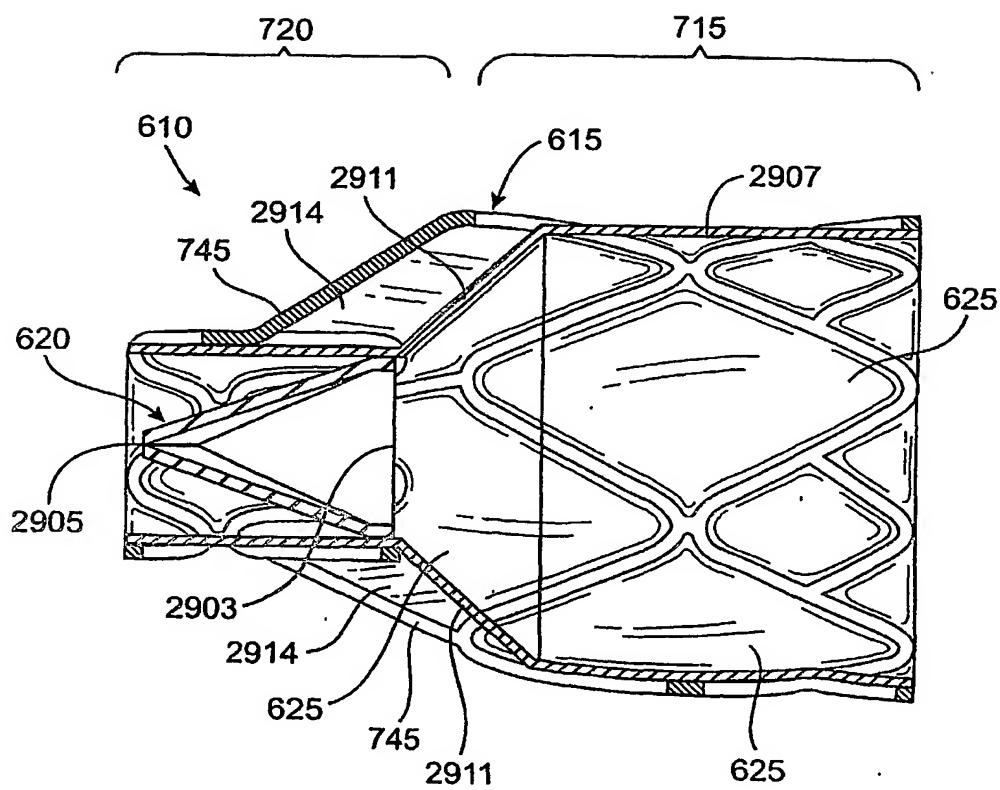


FIG. 29

24 / 27

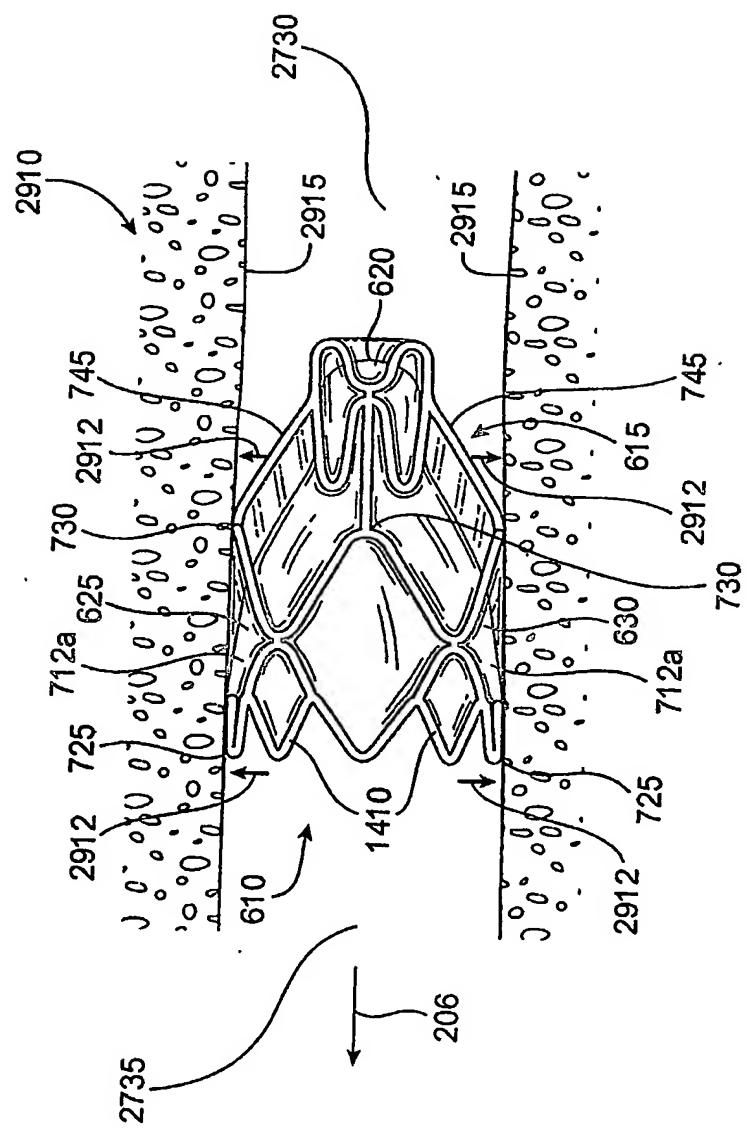


FIG. 30

25 / 27

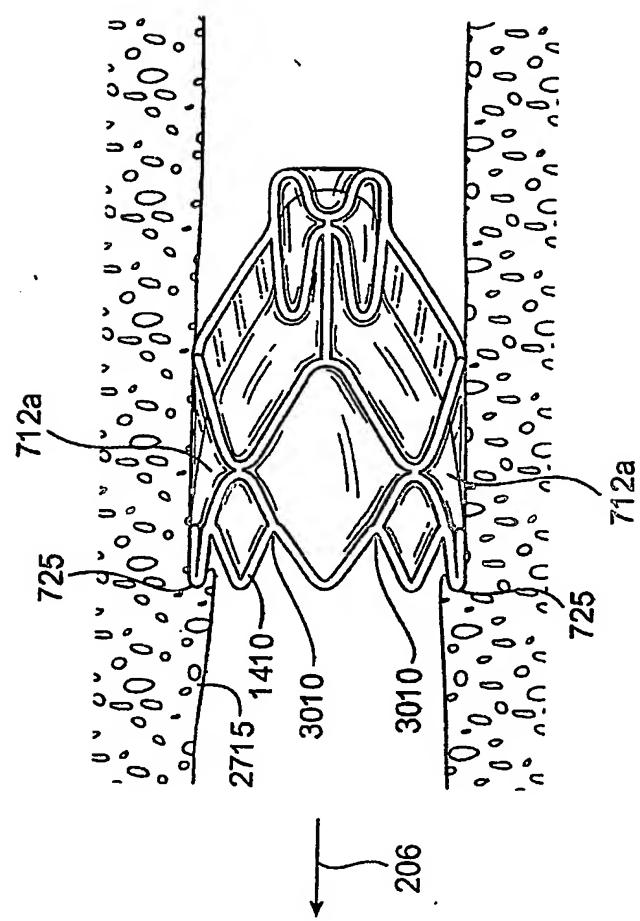


FIG. 31

26 / 27

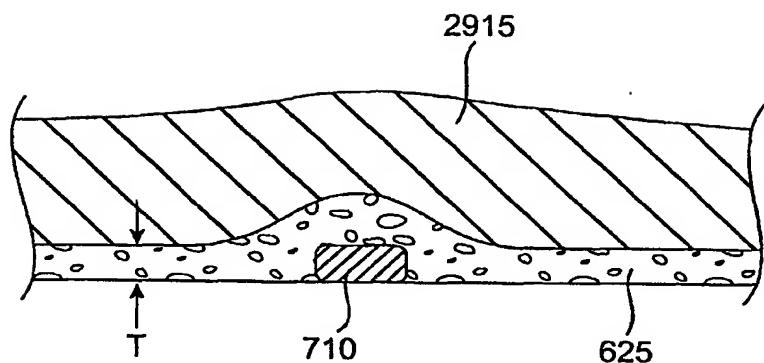


FIG. 32A

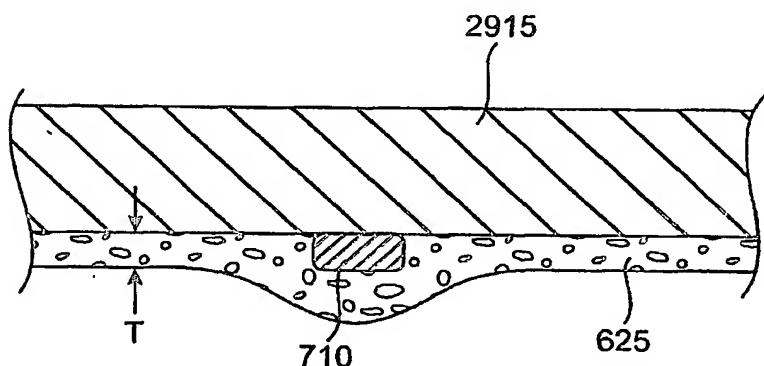


FIG. 32B

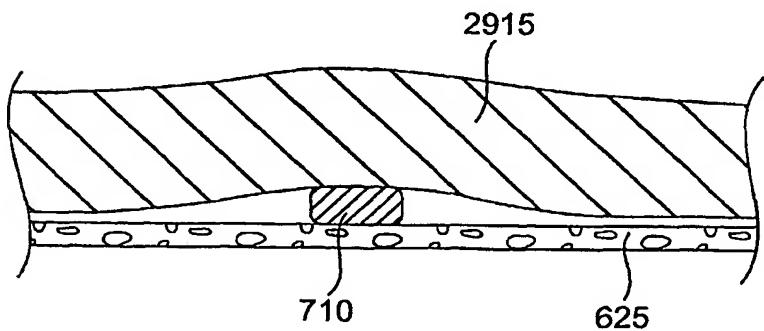


FIG. 32C

27 / 27

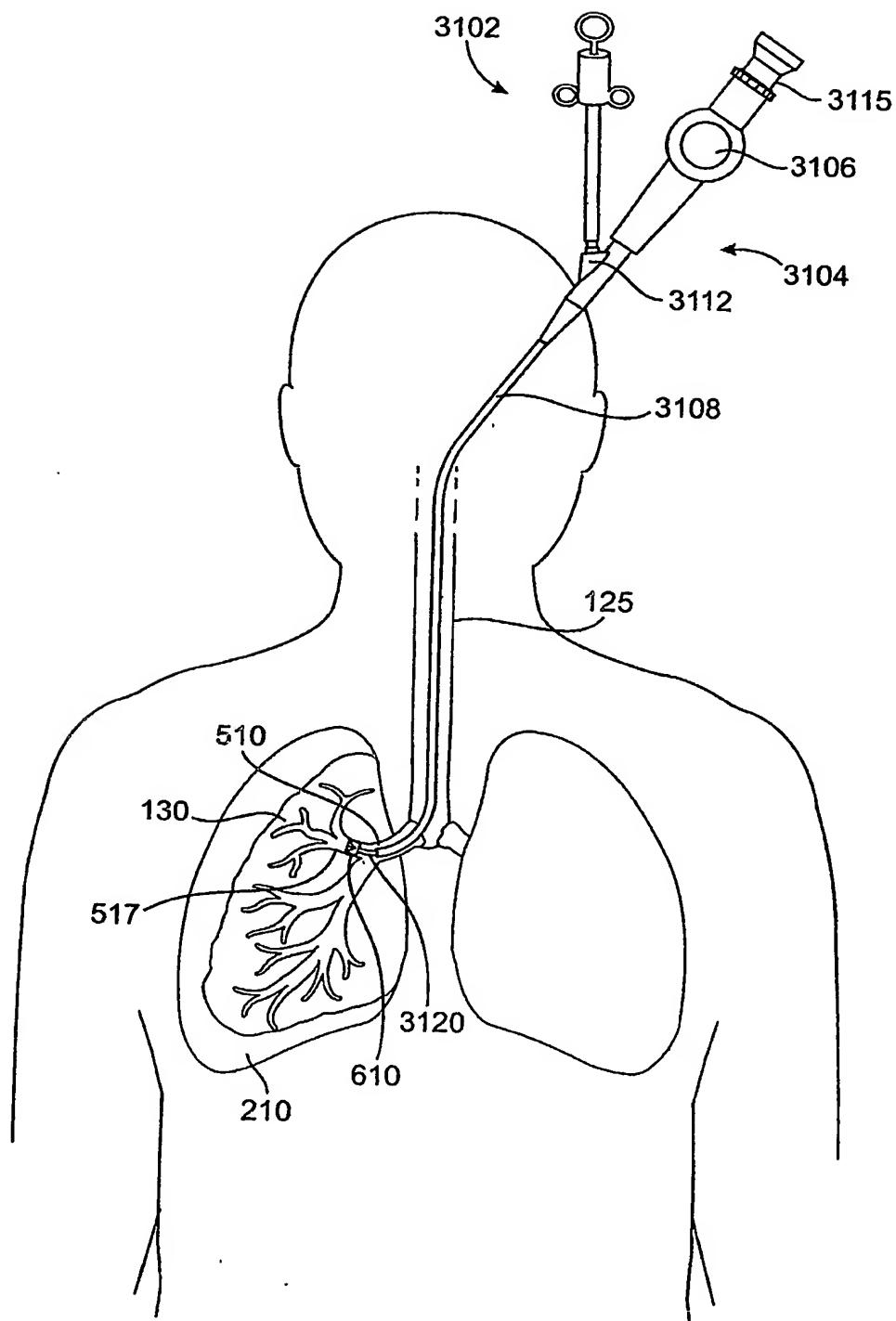


FIG. 33

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 03/23295

A. CLASSIFICATION OF SUBJECT MATTER
IPC 7 A61B17/12 A61F2/24 A61F2/00

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 7 A61B A61F A63B A61M

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 954 766 A (MARANO-FORD APRIL ET AL) 21 September 1999 (1999-09-21)	1-7,9-44
A	abstract; figures column 2, line 26 -column 3, line 46 ---	8
Y	WO 01 66190 A (EMPHASYS MEDICAL INC) 13 September 2001 (2001-09-13)	1-7,9-44
A	abstract; figures page 6, line 24-30 page 7, line 23 -page 8, line 30 page 9, line 19-32; figure 6 page 12, line 31 -page 15, line 25; figures 12-20 page 20, line 16-34 ---	8
		-/-

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the International filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the International filing date but later than the priority date claimed

- "T" later document published after the International filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

7 November 2003

Date of mailing of the international search report

10/12/2003

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax: (+31-70) 340-3016

Authorized officer

Lager, J

INTERNATIONAL SEARCH REPORT

International Application No
PCT/US 03/23295

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 01 87170 A (SHAW DAVID PETER ;SHAW MEDICAL INV S LTD (NZ)) 22 November 2001 (2001-11-22) abstract; figures page 2, line 19-27 page 4, line 2-16 page 6, line 3 -page 8, line 2; figure 8 ---	1-44
A	US 6 193 748 B1 (CLERC CLAUDE ET AL) 27 February 2001 (2001-02-27) abstract; figures ---	
A	US 6 110 201 A (LOYA ROBERT ET AL) 29 August 2000 (2000-08-29) abstract; figures -----	

INTERNATIONAL SEARCH REPORT

International application No.
PCT/US 03/23295

Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely:

2. Claims Nos.: 45-69 because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
see FURTHER INFORMATION sheet PCT/ISA/210

3. Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a)

Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple Inventions in this international application, as follows:

1. As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the Invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

The additional search fees were accompanied by the applicant's protest.

No protest accompanied the payment of additional search fees.

FURTHER INFORMATION CONTINUED FROM PCT/ISA/ 210

Continuation of Box I.2

Claims Nos.: 45-69

Claims 1, 45, 54, 60 and 66 have been drafted as independent claims and have at least partly overlapping scope. Such a plurality of independent claims with overlapping scope makes it difficult or even impossible to clearly determine the subject-matter for which protection is sought, so that the claims as a whole fail to comply with the clarity and conciseness requirements of Article 6 PCT.

Consequently, only the subject-matter being regarded as clear and concise, namely independent claim 1 and dependent claims 2-44 have been searched.

The applicant's attention is drawn to the fact that claims, or parts of claims, relating to inventions in respect of which no international search report has been established need not be the subject of an international preliminary examination (Rule 66.1(e) PCT). The applicant is advised that the EPO policy when acting as an International Preliminary Examining Authority is normally not to carry out a preliminary examination on matter which has not been searched. This is the case irrespective of whether or not the claims are amended following receipt of the search report or during any Chapter II procedure.

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 03/23295

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
US 5954766	A	21-09-1999	EP	1023007 A1	02-08-2000
			US	2002095209 A1	18-07-2002
			US	2002077696 A1	20-06-2002
			WO	9913801 A1	25-03-1999
			US	6632243 B1	14-10-2003
			US	2003199972 A1	23-10-2003
WO 0166190	A	13-09-2001	AU	4341601 A	17-09-2001
			CA	2401331 A1	13-09-2001
			WO	0166190 A2	13-09-2001
			US	2003075169 A1	24-04-2003
			US	2003070683 A1	17-04-2003
			US	2003075170 A1	24-04-2003
			US	2003192550 A1	16-10-2003
			US	2003192551 A1	16-10-2003
			US	2001037808 A1	08-11-2001
WO 0187170	A	22-11-2001	AU	6084001 A	26-11-2001
			CA	2408923 A1	22-11-2001
			EP	1284663 A1	26-02-2003
			WO	0187170 A1	22-11-2001
			US	2003164168 A1	04-09-2003
US 6193748	B1	27-02-2001	US	5919224 A	06-07-1999
			CA	2229315 A1	12-08-1998
			EP	0864301 A1	16-09-1998
			JP	10225458 A	25-08-1998
US 6110201	A	29-08-2000	AU	3702300 A	04-09-2000
			EP	1152712 A1	14-11-2001
			WO	0048531 A1	24-08-2000

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- BLACK BORDERS**
- IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- FADED TEXT OR DRAWING**
- BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- SKewed/SLANTED IMAGES**
- COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- GRAY SCALE DOCUMENTS**
- LINES OR MARKS ON ORIGINAL DOCUMENT**
- REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.